

BIO & PHARMA ANALYTICAL TECHNIQUES

Chapter 12 Stability Test

by

Dr. Siti Umairah Mokhtar
Faculty of Engineering Technology
umairah@ump.edu.my



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

Chapter Description

- **Aims**
 - Discuss theory, principles and application of analytical techniques used in material characterization, pre-formulation development, manufacturing process and storage stability.
- **Expected Outcomes**
 - Explain general facts of stability testing in pharmaceutical industry
 - Differentiate the procedures between types of stability testing
 - Discuss on the significance/importance of stability testing in pharmaceutical industry.
- **References**
 - ICH Q1R(2) Stability Testing of New Drug Substances and Products
 - ASEAN Guideline on Stability Study of Drug Product (Update revision 2013)



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

STABILITY



- Stability of pharmaceutical product:
- may be defined as the capability of a certain formulation in a specific container/closure system to remain within its physical, chemical, microbiological, therapeutic and toxicological specification.



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

Importance of stability testing

Provide an evidence on how the ***quality*** of a drug substance or drug product varies with time under the influence of a variety of environmental factors such as:

- Temperature, Humidity, Light

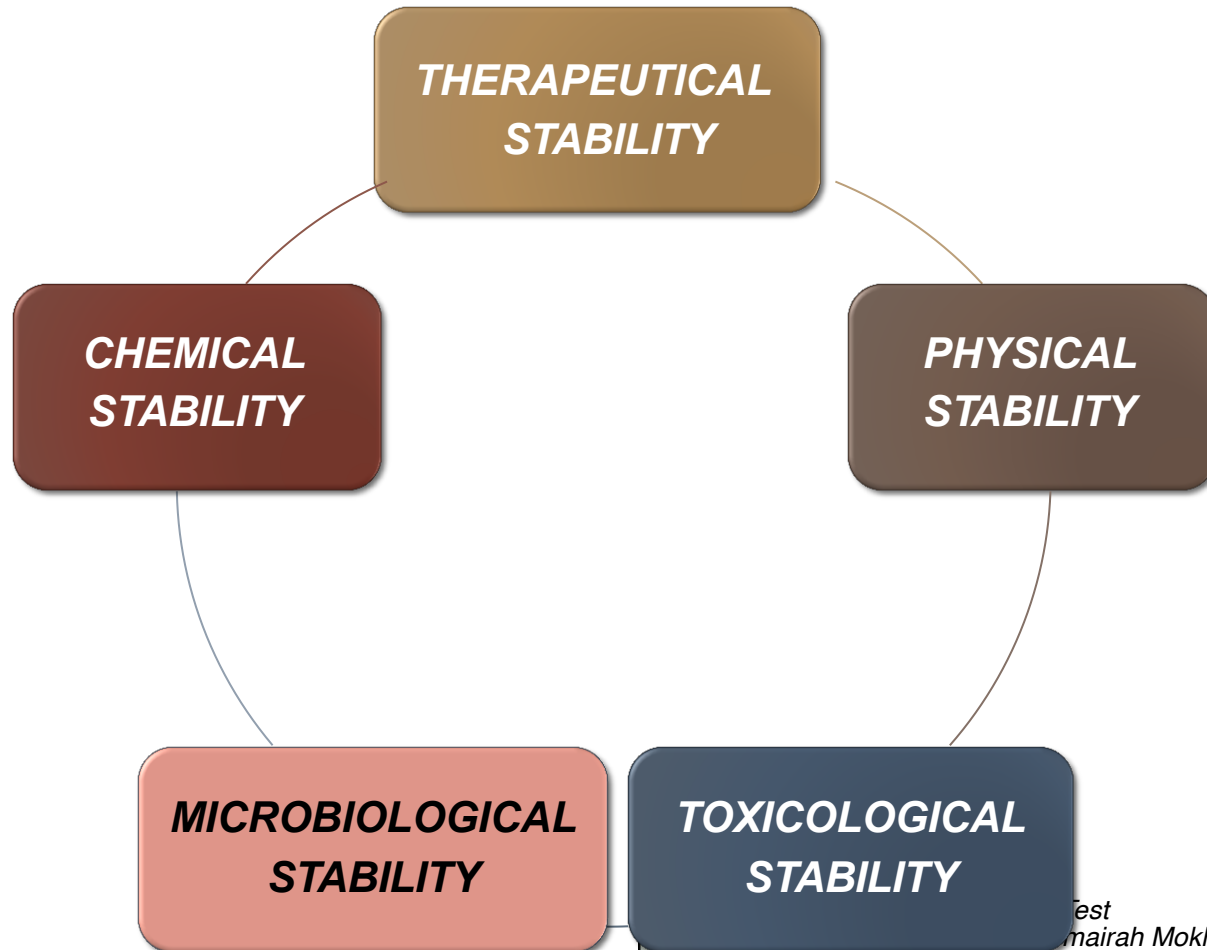
Objectives:

1. To determine shelf life of a product.
2. To prepare good storage condition for the product.
3. To provide suitable packaging components (container & closure system).
4. To provide safety point of view of patient



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

Types of STABILITY



est
mairah Mokhtar

BY NC SA

<http://ocw.ump.edu.my/course/view.php?id=611>

TYPES OF STABILITY

❑ CHEMICAL

Each API/final product retains its *chemical properties*

❑ PHYSICAL

The API/product retains its physical stability properties e.g. appearance, palatability, uniformity, dissolution and suspendability.

❑ MICROBIOLOGICAL

The product retains its sterility or *resistance to microbial growth* according to specified requirement.



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

❑ THERAPEUTIC

Therapeutic activity/clinical use of each product remains unchanged .

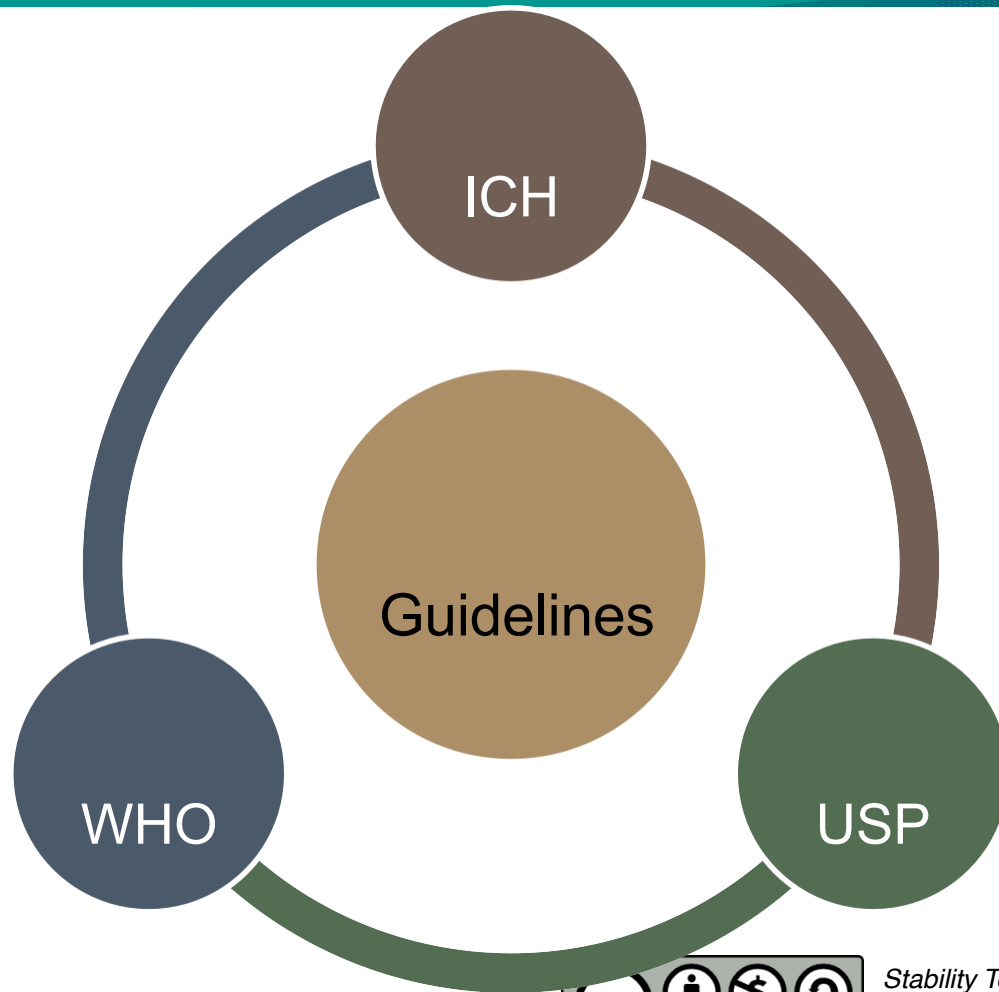
❑ TOXICOLOGIC

Rate of toxicity has no significant increase.



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view/php?id=611>

REGULATORY REQUIREMENTS



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

GUIDELINES FOR STABILITY

1. **ICH guidelines Q1A-Q1F.**
2. **ASEAN** Guideline on Stability of Drug Product
3. **WHO** “Guidelines for stability testing of pharmaceutical products containing **well established drug substances in conventional dosage forms**”
4. **USP** (US Pharmacopeia)
 5. USP <1150> & USP<1151> Pharmaceutical Stability
6. **EMA** Note for Guidance on Stability Testing of existing active substance and Related Finished products (Draft), February 2002



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

ICH STABILITY GUIDELINES

ICH GUIDELINES	TITLE
Q1A (R2)	Stability testing of new drug substances and products
Q1B	Stability testing: Photostability testing of new drug substance and products.
Q1C	Stability testing for new dosage forms
Q1D	Bracketing and matrixing designs for stability testing of drug substances and products
Q1E	Evaluation of stability data
Q1F	Stability data package for registration application in climatic zones III and IV

(Source: ICH Guidelines)



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

PHARMACEUTICAL DEGRADATION

TYPES OF PHARMACEUTICAL DEGRADATION

1. Physical degradation

2. Chemical degradation

3. Microbiological degradation



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

FACTORS AFFECTING PHYSICAL DEGRADATION

The physical stability properties are retained. E.g. appearance, palatability, uniformity, dissolution and suspendability.

Loss of
volatile
contents

Loss of water

Absorption of
water

Polymorphism

Color
changes



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

FACTORS AFFECTING

LOSS OF VOLATILE CONTENT:

- Volatile compounds used such as alcohol ether and camphor oils.
- The compounds **escape from the formulation → leads to degradation of formulation.**
- **Ex:** Nitroglycerine from drugs evaporate.

LOSS OF WATER:

- **Loss of water from liquid preparation** (O/W Emulsion) → crystallization → increase in potency and decrease in weight → leads to changes in stability
- **Ex:** Water evaporates from $\text{Na}_2\text{SO}_4 \cdot \text{Borax}$.

ABSORPTION OF WATER

- Hygroscopic pharmaceutical formulations → **absorb the water from its external environment → degradation.**
- **Ex:** Gelatin capsule



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

POLYMORPHISM:

- ❑ A stable crystal form is effected → the formation of polymorph, cause instability in formulation. This may lead to alteration in solubility, dissolution of drug.
- ❑ Ex: Chloramphenicol (amorphous → crystalline)

COLOR CHANGE:

- ❑ Loss or development of color may occur .
(Due to change in pH, use of reducing agent, exposure to light)
- ❑ Ex: Phenolphthalein. It is colorless in acidic solution and pink in basic.



CHEMICAL DEGRADATION

- Chemical degradation of a dosage form can occur through several reactions.
- These reactions may lead → **lowering of therapeutic agent in the dosage form, formation of toxic product, decreased bioavailability etc.**

HYDROLYSIS

OXIDATION

PHOTOLYSIS

ISOMERIZATION

POLYMERIZATION



By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

1. HYDROLYSIS

- For drugs which are affected by the moisture.
- Drugs with functional groups such as esters, amides, lactones or lactams → may be susceptible to hydrolytic degradation.

HOW TO AVOID HYDROLYSIS:

- Avoids contact with moisture during manufacture.
- Packs the products in suitable moisture resistant packs (e.g. strip packs and storage in controlled humidity and T).
- Addition of specific complexing agent (for certain drugs; benzocaine, procaine)
- Formulate in the dry powder form for reconstitution or dispersible tablets (for penicillin and derivatives)



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

2. OXIDATION

- Oxidation is depends by environment (light, trace elements, oxygen and oxidizing agent).
- When exposed to atmospheric oxygen.
- Either the addition of oxygen or removal of hydrogen .

Example of drugs decomposed by oxidation pathways:

- Archis oil, Ascorbic acid, Morphine, Vitamin B12.

Protection against oxidation:

1. Use of antioxidants
2. Use of chelating agents (EDTA)



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

3. PHOTOLYSIS

- **Exposure to light** → substantial degradation of drug molecule.
- When molecules are exposed to electromagnetic radiation - **absorb light (photons) at characteristic wavelength** → cause increase in energy.
- It can :
 - Cause decomposition
 - Retained or transferred
 - Be converted to heat
 - Result in light emission at a new wavelength (fluorescence, phosphorescence)



- **Protection against photolysis:**

- Use of amber colored bottles .
- Store the product in dark
- Package in cartons.
- Coat the tablets with polymer films.



By Lucasbosch
<https://commons.wikimedia.org>

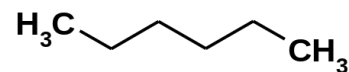


Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view/php?id=611>

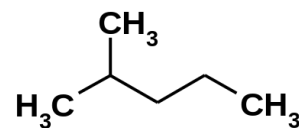
4. ISOMERIZATION

- **DEFINITION:** Process of one molecule is transformed into another molecule which has exactly the same atoms, but the atoms are rearranged (e.g. A-B-C → B-A-C).
- Conversion of *an active drug into a less active or inactive isomer* having same structural formula but different stereochemical configuration.

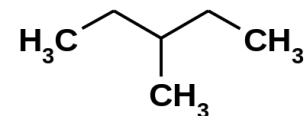
Ex:



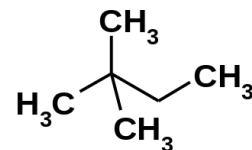
(1)



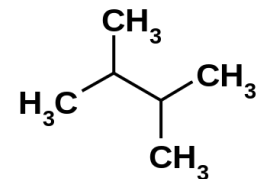
(2)



(3)



(4)



(5)



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

5. POLYMERIZATION

- **Definition:** Combination of two or more identical molecules to form a much *larger and more complex molecule*.
- Ex. Degradation of *antiseptic formulations* and *aldehydes* is due to polymerization.



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

PHARMACEUTICAL INSTABILITY

Formulation	Likely instability problems	Effects
Oral solutions	Loss of flavor Change in taste Presence of off flavors due to interaction with plastic bottle Loss of dye Precipitation Discoloration	Change in smell or feel or taste



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

Formulation	Likely instability	Effects
Parenteral solutions	<p>Discoloration due to photo chemical reaction or oxidation</p> <p>Presence of precipitate due to interaction with container or stopper</p> <p>Clouds due to Chemical changes (hydrolysis)</p>	Change in appearance and in bio-availability



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

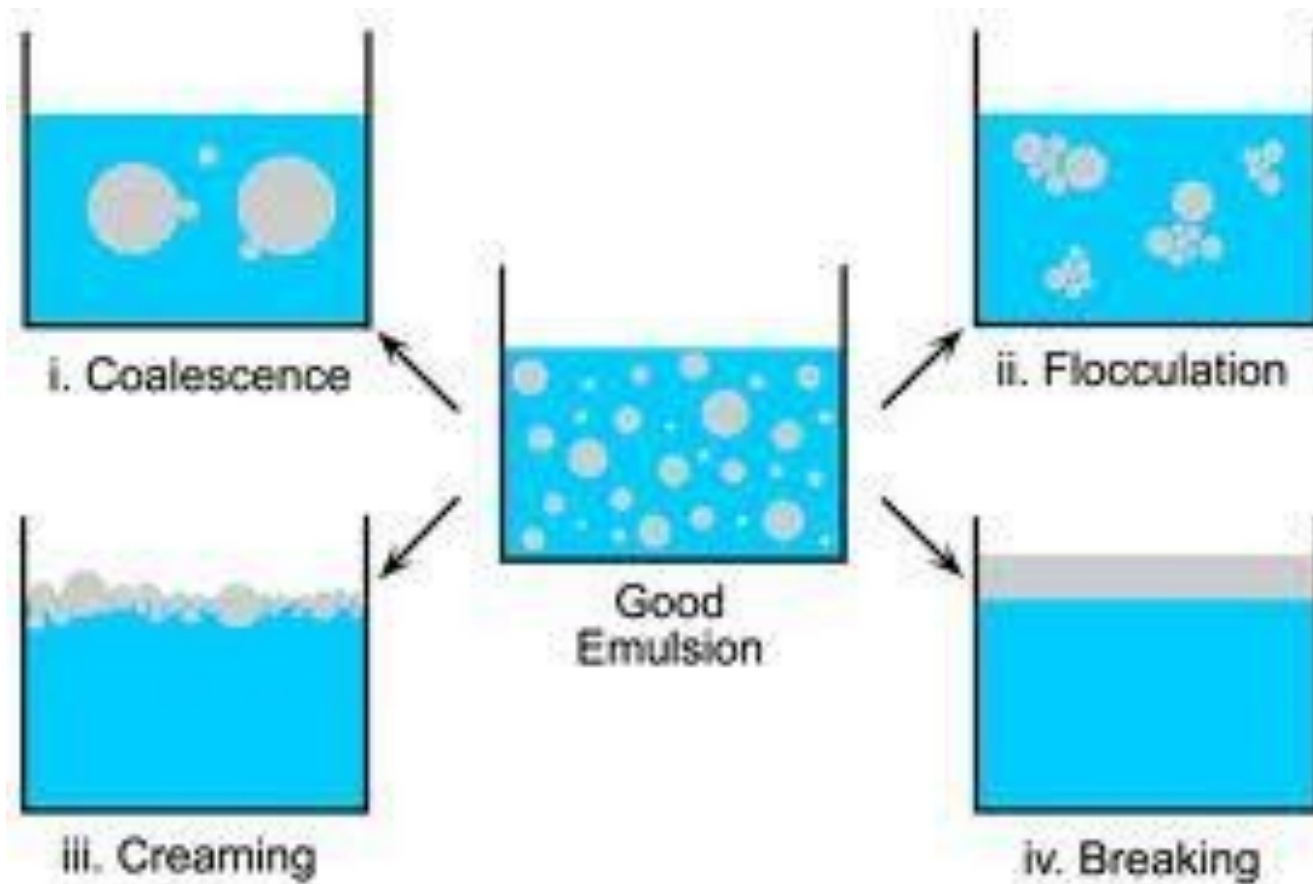
Formulation	Likely instability problems	Effects
Suspensions	Settling Caking Crystal growth	Loss of drug content uniformity in different doses from the bottle



Formulation	Likely instability problems	Effects
Emulsions	Creaming Coalescence	Loss of drug content uniformity in different doses from the bottle



EMULSIONS



Source: <http://sparror.cubecinema.com/>



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

Formulation	Likely instability problems	Effects
Semisolids (Ointments and suppositories)	Changes in: <ul style="list-style-type: none"> • Particle size • Consistency • Caking or coalescence • Bleeding 	Loss of drug content uniformity Loss of elegance



Formulation	Likely instability problems	Effects
Tablets	Change in: <ul style="list-style-type: none"> • Disintegration time • Dissolution profile • Hardness • Appearance (soft and ugly or become very hard) 	Change in drug release



MICROBIAL DEGRADATION

- **Contamination** of a product may sometimes cause a *lot of damage* and sometimes may *not be anything at all*.
- It depends on the type of microbe and its level of toxicity it may produce.
- If parenteral or ophthalmic formulations are contaminated → **cause serious harm**.
- Pyrogens - **hazardous product released by gram negative bacteria** (metabolic products of bacterial growth) → cause coldness and fever if administered accidentally.



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

PREVENTION OF MICROBIAL DEGRADATION

1. Design the most suitable **containers for final products.**
2. Use single dose containers
3. Stick to **right storage conditions**
4. Add an **antimicrobial** substance as preservative.



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

What happens if drug is degraded?



Lowering of concentration/potency



Active to toxic product



Appearance/Loss of elegance



Reduction in bioavailability

TYPES OF REGULATORY STABILITY TESTING



Long term stability testing

Intermediate stability testing

Accelerated stability testing



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view/php?id=611>

LONG TERM STABILITY TESTING

- Long term stability testing is normally performed for **longer duration of the test period** in order to allow significant product degradation under **recommended storage conditions**.

STUDY	STORAGE CONDITION	MINIMUM TIME PERIOD COVERED BY DATA AT SUBMISSION
Long term	25°C ± 2°C/60% RH ± 5% RH	12 months
	OR	
	30°C ± 2°C/65% RH ± 5% RH	

*RH: Relative humidity



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

INTERMEDIATE STABILITY TESTING

STUDY	STORAGE CONDITION	MINIMUM TIME PERIOD COVERED BY DATA AT SUBMISSION
Intermediate	30°C ± 2°C/65% RH ± 5% RH	6 months

If 30°C ± 2°C/65% RH ± 5% RH is the long term condition, there is no intermediate condition



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view/php?id=611>

ACCELERATED STABILITY TESTING

In accelerated stability testing, a product is stressed at several high (warmer than ambient) temperatures.

STUDY	STORAGE CONDITION	MINIMUM TIME PERIOD COVERED BY DATA AT SUBMISSION
Accelerated	40°C ± 2°C/75% RH ± 5% RH	6 months



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

STABILITY TEST FOR TABLETS

Stable tablets retain their original size, shape, weight, roughness, colour variation, cracking under normal handling and storage conditions throughout their shelf life.

Friability Test

- Physical instability if any in tablet

Hardness Test

- Resistance to crushing

Color Stability

- by colorimeter, reflectometer with heat, sunlight and intense artificial light



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

STABILITY TEST FOR CAPSULES

- Gelatin capsules are **stable in dry conditions** - but they rapidly reach equilibrium with the atmospheric conditions under they are stored.
- **If too humid** - capsule shell softens and becomes sticky.
- **If too dry** - capsule shell becomes brittle and crack.
- Testing for capsules:
 1. **Brittleness**
 2. **Dissolution**
 3. **Water content**
 4. **Level of microbial contamination.**



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view/php?id=611>

STABILITY PARAMETERS FOR OTHER DOSAGE

DOSAGE FORM	PARAMETER
EMULSIONS	Phase separation, pH, viscosity, level of microbial contamination & distribution of dispersed globules.
ORAL SOLUTION & SUSPENSION	Clarity for solutions, formation of precipitate, pH, viscosity, microbial contamination, rheological properties & distribution of particles.
NASAL SPRAY	Clarity, level of microbial contamination, pH, particulate matter, unit spray medication, content uniformity, droplet and/or particle size distribution.



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

STABILITY PARAMETERS FOR OTHER DOSAGE

DOSAGE FORM	PARAMETER
TOPICAL	Clarity, pH, suspendibility for lotions, consistency, viscosity, homogeneity, level of microbial contamination/sterility & weight loss
OPHTHALMIC	Sterility, particulate matter & extractable.
SUPPOSITORIES	Softening range, dissolution (at 37°C)
PARENTERALS	Color clarity, particulate matter, pH, sterility



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>

Conclusion of The Chapter

1. Stability testing is very important to make sure the API and final product are in good conditions to be supplied to patient.
2. Several factors are affecting the stability of drug substance and/or drug products which needs to be considered.
3. There are 3 stability testing have been applied in industry for stability testing program.



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view.php?id=611>



Any Question?

Please refer to:
Dr. Siti Umairah Mokhtar
umairah@ump.edu.my



Stability Test
By Siti Umairah Mokhtar
<http://ocw.ump.edu.my/course/view/php?id=611>