

## BIO & PHARMA ANALYTICAL TECHNIQUES

### **Chapter 1 Introduction**

by Dr. Siti Umairah Mokhtar Faculty of Engineering Technology umairah@ump.edu.my



#### **Chapter Description**

- Aims
  - Explain Process Analytical Techniques (PAT) and the benefits to pharmaceutical industry
- Expected Outcomes
  - Discuss on the significance of process analytical technology (PAT) in industry
  - Analyze the role of PAT in pharmaceutical manufacturing
  - Apply the benefits of PAT in pharmaceutical industry
- References
  - Ravindra Kamble, Sumeet Sharma, Venus Varghese and KR Mahadik (2013) Process Analytical Technology (PAT) in Pharmaceutical Development and its Application, Int. J. Pharma. Sci. Rev. Res. 23(2), 212-223.



#### Process Analytical Technology (PAT)

- BACKGROUND:
- Conventional pharmaceutical manufacturing accomplished using <u>batch processing</u> with laboratory testing.
- □ Recently, there are some improvement in:
  - Pharmaceutical development
  - Quality assurance
  - Manufacturing

□ Efficient pharmaceutical manufacturing process → effective health care system.





#### DEFINITION:

 "A system for designing and controlling manufacturing through timely measurements of critical quality and performance attributes for raw and in-process materials and also processes with the goal of ensuring final product quality"(FDA).



#### Introduction

- PAT applied to manufacturing processes long time ago.
- FDA implemented it into an initiative focusing on improving several aspects of the pharmaceutical industry.
- Initially, the PAT initiative was intended for traditional pharmaceutical manufacturers.
- However, FDA's PAT guidance now clearly states it <u>applies to all</u> <u>manufacturers of human and veterinary drug products.</u>





#### **PAT** focuses on:

- <u>Building quality product and manufacturing</u> processes
- Continuous process improvement

#### **PAT** involves:

**Testing the quality** of the finished drug product → **building quality** into products by testing several intermediate steps.



# What PAT DOES in pharmaceutical manufacturing???



#### **Raw Materials**

➤ Raw material testing – ensures raw materials → suitable for their intended use.

- Conducting raw material analysis can:
  - prevent costly production problems
  - diminish quality variations.
- ➢ Raw material qualification → defined in GMP procedures and placed under strict change control.
- > The status of a raw material is related directly to:
  - 1. Reason of usage in the process
  - 2. potential risk in the raw material → impact the product's identity, purity, potency, toxicity or efficacy.





- USP-NF, the Japanese Pharmacopoeia and the European Pharmacopoeia -> provide standardized test methods.
- ➢ Pharmacopoeia monographs → establish minimum standards for identity, purity and quality requirements for raw materials.
- Some qualify a raw materials supplier by performing an initial detailed vendor audit
- Annual qualification consisting of full pharmacopoeial monograph testing.



#### **Raw Material Testing:**

Most common pharmacopoeial raw material tests include:

- Titration (purity assays)
- Loss of drying (moisture contents, organic volatile impurities)
- Karl Fisher titration (moisture content)
- IR spectrophotometry (identification)
- ✤ HPLC (assay, impurities)
- ✤ GC (assay, impurities)
- TLC (identity, impurities)



#### **Raw Materials Contamination**

- The raw materials used may also lead to microbiological contamination. Thus, the control of bio-burden, endotoxins and viral contamination (animal origin), is of special regulatory concern.
- ≻ Ex:
  - Committee for Proprietary Medicinal Product (CPMP) [part of the EMEA] – published a guidance for use of bovine serum in the manufacture of medicinal products.
  - CPMP establishes the general control requirements for utilisation of bovine serum in the manufacture of a human biological medicinal product.



#### Viral Contamination

The risk of viral contamination - common to all biotechnology products (derived from cell lines).

Safety of these products can be ensured by:

- 1. Application of a virus testing program
- 2. Assessment of the virus removal and inactivation
  - The processes need to be proven effective and validated. Proper validation will include both DNA and RNA viruses {single/double-stranded configurations}



#### Formulation development

- Influencing factors: the purification, stability requirements, API stability (T, P)
- Generally, the final formulation is either liquid (concentrates)/ solid (powder, tablets)
- <u>Standard tests:</u> Content uniformity, dissolution, purity/ impurity, residual solvents, related substances.



#### **Process Control**

 Process monitoring/measurements is one of the key issues when applying PAT → to improve processing efficiency and guarantee end-product quality.





- Stability studies an important study of pharmaceutical development which allows evaluation of API stability or drug product stability under the influence of a variety of environmental factors (T, humidity and light).
- Applied to various phases; raw material selection, pre-clinical enabling stability on API's through to phase III and phase IV clinical trials, end products storage.
- Results from these studies recommended storage conditions, retest intervals and shelf lives to be established.
- ICH guidelines Q1A and Q1B (stability studies)



#### **Objectives of Stability Testing**

- to provide evidence on how the quality of a drug substance or drug product varies with time under the influence of a variety of environmental factors
- > To establish a retest period for the drug substance
- > To establish a shelf life for the drug product
- To establish recommended storage conditions





Additional non-standard T/ humidity conditions upon request

# Transport/dispatch testing under controlled conditions



#### **Benefits of PAT**

- Get better understanding of each process
- Reproducibility in batch-to-batch production
- Less batch failures
- Enhanced operating efficiency
- Reduce cycle time
- Greater utilization of production equipment



#### Conclusion of the Chapter

- 1. PAT encourage manufacturer's to implement high technologies to ensure high quality of end products
- 2. PAT helps in reducing the cost and operation time
- 3. Cut shorter the batch release time by providing QC data
- 4. Reduce the risk and errors





# Any Question?

# Please refer to: Dr. Siti Umairah Mokhtar umairah@ump.edu.my

