

### Numerical Methods Roots of Equations

by

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### Description

#### AIMS

This chapter is aimed to compute the root(s) of the equations by using graphical method and numerical methods.

#### **EXPECTED OUTCOMES**

- 1. Students should be able to find roots of the equations by using graphical approach and incremental search.
- 2. Students should be able to find the roots of the equations by using bracketing and open methods.
- 3. Students should be able to provide the comparison between bracketing and open methods.
- 4. Students should be able to calculate the approximate and true percent relative error.

#### REFERENCES

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- 2. Chapra, C. S. & Canale, R. P. *Numerical Methods for Engineers*, Sixth Edition, McGraw–Hill, 2010.



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### INTRODUCTION



- Mathematical model in science and engineering involve equations that need to be solved.
- Equation of one variable can be formulated as

$$f(x) = 0 \tag{1}$$

- Equation (1) can be in the form of linear and nonlinear.
- Solving equation (1) means that finding the values of x that satisfying equation (1).









**Example 1: Algebraic Equation** 

$$4x - 3x^2y - 15 = 0$$

**Example 2: Polynomial Equation** 

$$x^2 + 2x - 4 = 0$$

**Example 3: Transcendental Equation** 

$$\sin(2x) - 3x = 0$$





#### Finding Roots for Quadratic Equations $f(x) = ax^2 + bx + c$





- All above mentioned methods to solve quadratic equations are analytical methods
- The solution obtained by using analytical methods is called **exact solution**
- Due to the complexity of the equations in modelling the real life system, the exact solutions are often difficult to be found.
- Thus require the used of **numerical methods**.
- The solution that obtained by using numerical methods is called numerical solution.







### **GRAPHICAL METHOD**



- # Graphical method is the simplest method
- **#** The given function is plotted on Cartesian coordinate and x –values (roots) that satisfying f(x) = 0 is identified.
- **#** x -values (roots) satisfying f(x) = 0 provide approximation roots for the underlying equations.
- $\ddagger f(x) \text{ can have one or possibly many root(s).}$







#### Figure 2 : Two Solutions



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#### Figure 1 : One Solution





**Figure 3 : Many Solutions** 





#### Example 4

Find root(s) of  $f(x) = x^2 - 8x + 3$  by using graphical method.

#### **Solution**



Based on the graph, the function f(x)cross x –axis at two points. Therefore there are two roots for f(x)The approximate roots of f(x) are 0.364 and 7.663



#### **Example 5**

Find root(s) of f(x) = cos(x) + sin(3x) for  $0 \le x \le 4\pi$  by using graphical method.

#### Solution



There are twelve roots for f(x) since the function cross x –axis at twelve points. The approximate roots of f(x) are 1.238, 2.401, 2.701, 4.239, 5.439, 5.852, 7.39, 8.628, 8.966, 10.691, 11.704 and 12.154



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#### Example 6 [Chapra & Canale]

The velocity of a free falling parachutist is given as

$$v = \frac{gm}{c} \left( 1 - e^{-\left(\frac{c}{m}\right)t} \right)$$

Use the graphical approach to determine the drag coefficient, *c* needed for a parachutist of mass, m = 68.1 kg to have a velocity of  $40 \text{ ms}^{-1}$  after free falling for time, 10s. Given also gravity is  $9.8 \text{ ms}^{-2}$ 

#### **Solution**

To determine the root of drag coefficient, *c*. we need to have a function f(c) = 0. Substituting the values given in the equation and rearranging yield

$$f(c) = \frac{9.8(68.1)}{c} \left(1 - e^{-(c/68.1)^{10}}\right) - 40 = 0$$

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Plot the function f(c) and determine where the graph crosses the horizontal axis.



From the graphical view, the root exists between c = 12 and c = 16, where the functions f(12) and f(16) have opposite sign, that is  $f(12) \times f(16) < 0$ .



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### **INCREMENTAL SEARCH**



- Incremental search is a technique of calculating f(x) for incremental values of x over the interval where the root lies.
- It starts with an initial value,  $x_0$ .
- The next value  $x_n$  for n = 1,2,3,... is calculated by using

```
x_n = x_{n-1} + h
```

where h is referred to a step size.

If the sign of two f(x) changes or if

 $f(x_n) \cdot f(x_{n-1}) < 0$ 

then the root exist over the prescribed interval of the lower bound,  $x_l$  and upper bound,  $x_u$ .

The root is estimated by using

$$x_r = \frac{x_l + x_u}{2}$$



### INCREMENTAL SEARCH (Cont.)

#### **Example 6**

Find the first root of  $f(x) = 4.15x^2 - 16x + 8$  by using incremental search. Start the procedure with the initial value,  $x_0 = 0$  and the step size, h = 0.1. Perform three iterations of the incremental search to achieve the best approximation root.

#### **Solution**

Start the estimation with initial value  $x_0 = 0$  and step size, h = 0.1.



### INCREMENTAL SEARCH (Cont.)

#### Solution (Cont.)

Increasing the accuracy of root estimation with step size, h = 0.01 for  $x \in [0.5, 0.6]$ 

X	f(x)
0.5	1.0375
0.51	0.919415
0.52	0.80216
0.53	0.685735
0.54	0.57014
0.56	0.455375
0.57	0.34144
0.58	0. <u>1</u> 1 <u>6</u> 06
0.59	0.004615
0.60	-0.106



### INCREMENTAL SEARCH (Cont.)

#### Solution (Cont.)

Increasing the accuracy of root estimation with step size, h = 0.001 for  $x \in [0.59, 0.6]$ 



For three iterations, the first root of  $f(x) = 4.15x^2 - 16x + 8$  is 0.5905 with  $\varepsilon_a = 0.76\%$ 



### **BRACKETING METHODS**



- Figure 1 illustrates the basic idea of bracketing method-that is guessing an interval containing the root(s) of a function.
- Starting point of the interval is a lower bound,  $x_l$ . End point of the interval is an upper bound,  $x_u$ .
- By using bracketing methods, the interval will split into two subintervals and the size of the interval is successively reduced to a smaller interval.
- The subintervals will reduce the range of intervals until its distance is less than the desired accuracy of the solution



Figure 4: Graphical Illustration of Bracketing Method



### **BRACKETING METHODS**



- Bracketing methods always converge to the true solution.
- There are two types bracketing methods; bisection method and false position method.



### **BISECTION METHOD**



- Bisection method is the simplest bracketing method.
- The lower value,  $x_l$  and the upper value,  $x_u$  which bracket the root(s) are required.
- The procedure starts by finding the interval  $[x_l, x_u]$  where the solution exist.
- **a** As shown in **Figure 5**, at least one root exist in the interval  $[x_l, x_u]$  if





#### Algorithm

For the continuous equation of one variable, f(x) = 0,

**Step 1:** Choose the lower guess,  $x_l$  and the upper guess,  $x_u$  that bracket the root such that the function has opposite sign over the interval,  $x_l \le x \le x_u$ .

**Step 2:** The estimation root,  $x_r$  is computed by using

$$x_r = \frac{x_l + x_u}{2}$$

Step 3: Use the following evaluations to identify the subinterval that the root lies

- ✓ If  $f(x_l) \cdot f(x_r) < 0$ , then the root lies in the lower subinterval. Therefore, set  $x_u = x_r$  and repeat **Step** 2.
- ✓ If  $f(x_l) \cdot f(x_r) > 0$ , then the root lies in the upper subinterval. Therefore set  $x_l = x_r$  and repeat **Step** 2.
- ✓ If  $f(x_l) \cdot f(x_r) = 0$ , then the root is equal to  $x_r$ . Terminate the computation.

Step 4: Calculate the approximate percent relative error,

$$\varepsilon_{a} = \left| \frac{x_{r}^{present} - x_{r}^{previous}}{x_{r}^{present}} \right| \times 100\%$$

**Step 5:** Compare with. If  $\varepsilon_a < \varepsilon_s$ , then stop the computation. Otherwise go to **Step 2** and repeat the process by using the new interval.





#### **Example 7**

Use three iterations of the bisection method to determine the root of  $f(x) = -0.6x^2 + 2.4x + 5.5$ . Employ initial guesses,  $x_l = 5$  and  $x_u = 10$ . Compute the approximate percent relative error,  $\varepsilon_a$  and true percent relative error,  $\varepsilon_t$  after each iteration.

#### Solution

Calculate the true value for the given quadratic function  $f(x) = -0.6x^2 + 2.4x + 5.5$  using quadratic formula (or you can calculate directly by using the calculator)

$$x = \frac{-2.4 \pm \sqrt{(2.4)^2 - 4(-0.6)(5.5)}}{2(-0.6)}$$
  
x = -1.6286, x = 5.6286

Choose the true value, x = 5.6286 for the highest root of f(x). Estimate the root of f(x) using bisection method with initial guess  $x_l = 5$  and  $x_u = 10$ .





#### Solution (Cont.)

Estimate the root of f(x) using bisection method with initial interval [5,10].

First iteration,  $x \in [5,10]$ 

f(5) = 2.50

f(10) = -30.50

First estimate using bisection method formula

$$x_r = \frac{5+10}{2} = 7.5$$
$$f(7.5) = -10.25$$

Since  $f(x_l) \cdot f(x_r) < 0$ , the root lies in the lower subinterval. Then set  $x_u = 7.5$ .

$$\varepsilon_t = \left| \frac{5.6286 - 7.5}{5.6286} \right| \times 100\% = 33.23\% \text{ and } \varepsilon_a = -$$





#### Solution (Cont.)

Second iteration,  $x \in [7.5,10]$ f(5) = -10.25f(10) = -30.50

First estimate using bisection method formula

$$x_r = \frac{7.5 + 10}{2} = 6.25$$
$$f(6.25) = -2.9375$$

Since  $f(x_l) \cdot f(x_r) < 0$ , the root lies in the lower subinterval. Then set  $x_u = 6.25$ .

$$\varepsilon_t = \left| \frac{5.6286 - 6.25}{5.6286} \right| \times 100\% = 11.04\% \text{ and } \varepsilon_a = 20\%$$





#### Solution (Cont.)

Continue the third iteration for  $x \in [5, 6.25]$ . The results are summarized in the following table.

i	x <sub>l</sub>	x <sub>u</sub>	x <sub>r</sub>	$f(x_l)$	$f(x_u)$	$f(x_r)$	$f(x_l) \cdot f(x_r)$	ε <sub>t</sub>	ε <sub>a</sub>
1	5	10	7.5	2.5	-30.50	-10.25	-25.625	33.25	-
2	5	7.5	6.25	2.5	-10.25	-2.9375	-7.3438	11.04	20.00
3	5	6.25	5.625	2.5	-2.9375	0.0156	-0.0391	0.06	11.11

Therefore, after three iterations the approximate root of f(x) is  $x_r = 5.6250$  with  $\varepsilon_t = 0.06\%$  and  $\varepsilon_a = 11.11\%$ .



- It is an improvement of the Bisection method.
- The bisection method converges slowly due to its behavior in redefined the size of interval that containing the root.
- The procedure begins by finding an initial interval [x<sub>l</sub>, x<sub>u</sub>] that bracket the root.
- $f(x_l)$  and  $f(x_u)$  are then connected using a straight line.
- The estimated root, x<sub>r</sub> is the xvalue where the straight line crosses x-axis.
- Figure 6 indicates the graphical illustration of False Position method.



### Figure 6: Graphical Illustration of False Position Method



#### **False Position Method Formula**

Straight line joining the two points  $(x_l, f(x_l))$  and  $(x_u, f(x_u))$  is given by

$$\frac{f(x_{u}) - f(x_{l})}{x_{u} - x_{l}} = \frac{y - f(x_{u})}{x - x_{u}}$$

Since the line intersect the x-axis at  $x_r$ , so for  $x = x_r$ , y = 0, the following is obtained

$$x_{r} - x_{u} = -\frac{f(x_{u})(x_{u} - x_{l})}{f(x_{u}) - f(x_{l})}$$

Rearranging the second equation yields the **False Position Method Formula** 

$$x_r = x_u - \left[\frac{f(x_u)(x_l - x_u)}{f(x_l) - f(x_u)}\right]$$



#### Algorithm

For the continuous equation of one variable, f(x) = 0,

**Step 1:** Choose the lower guess,  $x_l$  and the upper guess,  $x_u$  that bracket the root such that the function has opposite sign over the interval,  $x_l \le x \le x_u$ .

**Step 2:** The estimation root,  $x_r$  is computed by using

$$x_r = x_u - \left[\frac{f(x_u)(x_l - x_u)}{f(x_l) - f(x_u)}\right]$$

Step 3: Use the following evaluations to identify the subinterval that the root lies

- ✓ If  $f(x_l) \cdot f(x_r) < 0$ , then the root lies in the lower subinterval. Therefore, set  $x_u = x_r$  and repeat **Step** 2.
- ✓ If  $f(x_l) \cdot f(x_r) > 0$ , then the root lies in the upper subinterval. Therefore set  $x_l = x_r$  and repeat **Step** 2.
- ✓ If  $f(x_l) \cdot f(x_r) = 0$ , then the root is equal to  $x_r$ . Terminate the computation.

Step 4: Calculate the approximate percent relative error,

$$\varepsilon_{a} = \left| \frac{x_{r}^{present} - x_{r}^{previous}}{x_{r}^{present}} \right| \times 100\%$$

**Step 5:** Compare with. If  $\varepsilon_a < \varepsilon_s$ , then stop the computation. Otherwise go to **Step 2** and repeat the process by using the new interval.



#### **Example 8**

Determine the first root  $f(x) = -3x^3 + 19x^2 - 20x - 13$  by using False position method. Use the initial guesses of  $x_l = -1$  and  $x_u = 0$  with stopping criterion,  $\varepsilon_s = 1\%$ .

#### Solution

First iteration,  $x \in [-1,0]$ 

$$f(-1) = 29$$
  
 $f(0) = -13$ 

First estimate using False position method is

$$x_r = 0 - \frac{(-13)(-1-0)}{29 - (-13)} = -0.3095$$
$$f(-0.3095) = -4.9010$$

Since  $f(x_l) \cdot f(x_r) < 0$ , the root lies in the lower subinterval. Then set  $x_u = -0.3095$ .

$$\mathcal{E}_a = -$$





#### Solution

Second iteration,  $x \in [-1, -0.3095]$ .

Second estimate is

$$x_r = -0.3095 - \frac{(-4.9010)(-1+0.3095)}{29 - (-4.9010)} = -0.4093$$
$$f(-0.4093) = -1.4253$$

Since  $f(x_l) \cdot f(x_r) < 0$ , the root lies in the lower subinterval. Then set  $x_u = -0.4093$ .

 $\varepsilon_a = 24.38\%$ 





#### Solution (Cont.)

Continue the third iteration for  $x \in [-1, -0.4093]$ . The results are summarized in the following table.

i	x <sub>l</sub>	x <sub>u</sub>	<i>x</i> <sub>r</sub>	$f(x_l)$	$f(x_u)$	$f(x_r)$	$f(x_l) \cdot f(x_r)$	ε <sub>a</sub>
1	-1	0	-0.3095	29	-13	-4.0910	-142.1290	-
2	-1	-0.3095	-0.4093	29	-4.9003	-1.4253	-41.3337	24.38
3	-1	-0.4093	-0.4370	29	-1.4241	-0.3812	-11.0548	6.33
4	-1	-0.4370	-0.4443	29	-0.3820	-0.1002	-2.0907	1.65
5	-1	-0.4443	-0.4462	29	-0.1002	-0.0267	-0.7743	0.43

Therefore, after fifth iterations the approximate root of f(x) is  $x_r = -0.4462$  with  $\varepsilon_a = 0.43\%$ .



### **OPEN METHODS**



- The idea of this method is to consider at least one initial guess which is not necessarily bracket the root.
- Normally, the chosen initial value(s) must be close to the actual root that can be found by plotting the given function against its independent variable.
- In every step of root improvement,  $x_r$  of previous step is considered as the previous value for the present step.
- In general, open methods provides no guarantee of convergence to the true value, but once it is converge, it will converge faster than bracketing methods.



### NEWTON RAPHSON METHOD

- It is an open method for finding roots of f(x) = 0 by using the successive slope of the tangent line.
- The Newton Raphson method is applicable if f(x) is continuous and differentiable.
- Figure 6 shows the graphical illustration of Newton Raphson methoc
- Numerical scheme starts by choosing the initial point, x<sub>0</sub> as the first estimation of the solution.
- The improvement of the estimation of  $x_1$  is obtained by taking the tangent line to f(x) at the point  $(x_0, f(x_0))$  and extrapolate the tangent line to find the point of intersection with an *x*-axis.



### NEWTON RAPHSON METHOD (Cont.)



Slope for the first iteration is:  $f'(x_0) = \frac{f(x_0) - 0}{x_0 - x_1} \quad (1)$ Rearranging equation (1) yields:  $x_1 = x_0 - \frac{f(x_0)}{f'(x_0)}$ 

- The next estimation,  $x_2$  is the intersection of the tangent line f(x) at the point  $(x_1, f(x_1))$ .
- The estimation,  $x_{i+1}$  is the intersection of the tangent line f(x) at the point  $(x_i, f(x_i))$ . The slope of the  $i^{th}$  iteration is

$$f'(x_i) = \frac{f(x_i) - 0}{x_i - x_{i+1}}$$
(2)  
ranging equation (2) gives  
ton Raphson Formula:  

$$x_{i+1} = x_i - \frac{f(x_i)}{f'(x_i)}$$

$$(2)$$

$$x_{i+1} = x_i - \frac{f(x_i)}{f'(x_i)}$$

Rea

New

## NEWTON RAPHSON METHOD (Cont.)



For the continuous and differentiable function, f(x) = 0: **Step 1:** Choose initial value,  $x_0$  and find  $f'(x_0)$ .

**Step 2:** Compute the next estimate,  $x_{i+1}$  by using Newton Raphson formula

$$x_{i+1} = x_i - \frac{f(x_i)}{f'(x_i)}$$

**Step 3:** Calculate the approximate percent relative error,  $\varepsilon_a$ 

$$\varepsilon_a = \left| \frac{x_{i+1} - x_i}{x_{i+1}} \right| \times 100\%$$

**Step 4:** Compare  $\varepsilon_s$  with  $\varepsilon_a$ . If  $\varepsilon_a < \varepsilon_s$ , the computation is stopped. Otherwise, repeat **Step 2**.



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### NEWTON RAPHSON METHOD (Cont.)



#### **Example 8**

Determine the first root  $f(x) = 8e^{-x} \sin(x) - 1$  by using Newton Rapshon method. Use the initial guesses of  $x_0 = 0.3$  and perform the computation up to three iterations. (Use radian mode in your calculator)

**Solution**  $f(x) = 8e^{-x}\sin(x) - 1$ Step 1  $f'(x) = 8e^{-x} \left(\cos(x) - \sin(x)\right)$ First iteration,  $x_0 = 0.3$  $f(0.3) = 8e^{-0.3}\sin(0.3) - 1 = 0.7514,$  $f'(0.3) = 8e^{-0.3} (\cos(0.3) - \sin(0.3)) = 3.9104,$ Step 2  $x_1 = x_0 - \frac{f(x_0)}{f'(x_0)} = 0.3 - \frac{0.7514}{3.9104} = 0.1078$  $\varepsilon_a = \left| \frac{0.1078 - 0.3}{0.1078} \right| \times 100\% = 178.18\%$ Numerical Methods by Norhayati Rosli http://ocw.ump.edu.my/course/view.php?id=449 Communitising Technology

### NEWTON RAPHSON METHOD (Cont.)



Solution (Cont.)

Continue the second iteration and the results are summarised as follows.

No. of iteration	i	<i>xi</i>	$f(x_i)$	$f'(x_i)$	$x_{i+1}$	$\boldsymbol{\varepsilon}_{a}\left(\% ight)$
1	0	0.3	0.7514	3.9104	0.1078	178.18
2	1	0.1078	-0.2270	6.3674	0.1435	24.84
3	2	0.1435	-0.0090	5.8684	0.1450	1.05

Therefore, after three iterations the approximated root of f(x) is  $x_3 = 0.1450$  with  $\varepsilon_a = 1.05\%$ .



### NEWTON RAPHSON METHOD ( (Cont.)



**Pitfalls of the Newton Raphson Method** 

**Case 1:** The tendency of the results obtained from the Newton Raphson method to oscillate around the local maximum or minimum without converge to the actual root.



**Case 2:** Division by zero involve in the Newton Raphson formula when f'(x) = 0.



### NEWTON RAPHSON METHOD ( (Cont.)



**Pitfalls of the Newton Raphson Method** 

**Case 3:** In some cases where the function f(x) is oscillating and has a number of roots, one may choose an initial guess close to a root. The guesses may jump and converge to some other roots and the process become oscillatory, which leads to endless cycle of fluctuations between  $x_i$  and  $x_{i+1}$  without converge to the desired root.





### **SECANT METHOD**



#### Introduction

- In many cases, the derivative of a function is very difficult to find or even is not differentiable.
- Alternative approach is by using secant method.
- The slope in Newton's Rapshon method is substituted with backward finite divided difference

$$f'(x_i) = \frac{f(x_{i-1}) - f(x_i)}{x_{i-1} - x_i}$$

The secant method formula is:

$$x_{i+1} = x_i - \left[\frac{f(x_i)(x_{i-1} - x_i)}{f(x_{i-1}) - f(x_i)}\right]$$



### Figure 7: Graphical Illustration of Secant Method



### **SECANT METHOD (Cont.)**



#### Algorithm

For the continuous function, f(x) = 0: **Step 1:** Choose initial values,  $x_{-1}$  and  $x_0$ . Find  $f(x_{-1})$  and  $f(x_0)$ . **Step 2:** Compute the next estimate,  $x_{i+1}$  by using secant method formula

$$x_{i+1} = x_i - \left[\frac{f(x_i)(x_{i-1} - x_i)}{f(x_{i-1}) - f(x_i)}\right]$$

**Step 3:** Calculate the approximate percent relative error,  $\varepsilon_a$ 

$$\varepsilon_a = \left| \frac{x_{i+1} - x_i}{x_{i+1}} \right| \times 100\%$$

**Step 4:** Compare  $\varepsilon_s$  with  $\varepsilon_a$ . If  $\varepsilon_a < \varepsilon_s$ , the computation is stopped. Otherwise, repeat **Step 2**.



### **SECANT METHOD (Cont.)**



#### **Example 9**

Determine one of the real root(s) of  $f(x) = -12 - 21x + 18x^2 - 2.4x^3$  by using secant method with initial guesses of  $x_{-1} = 1.0$  and  $x_0 = 1.3$ . Perform the computation until  $\varepsilon_a < 5\%$ .

#### Solution

First iteration,
$$x_{-1} = 1.0$$
 and  $x_0 = 1.3$   
 $f(1.0) = -17.4$   
 $f(1.3) = -14.528$ 

$$\begin{aligned} x_1 &= x_0 - \left[ \frac{f(x_0)(x_{-1} - x_0)}{f(x_{-1}) - f(x_0)} \right] \\ &= 1.3 - \left[ \frac{-14.1528(1 - 1.3)}{-17.4 + 14.1528} \right] = 2.6075 \\ \varepsilon_a &= \left| \frac{2.6075 - 1.3}{2.6075} \right| \times 100\% = 50.14\% > \varepsilon_s \end{aligned}$$



### **SECANT METHOD (Cont.)**



Solution (Cont.)

Continue the second iteration and the results are summarised as follows.

No. of Iteration	i	<i>x</i> <sub><i>i</i>-1</sub>	x <sub>i</sub>	$f(x_{i-1})$	$f(x_i)$	$x_{i+1}$	ε <sub>a</sub> (%)
1	0	1	1.3	-17.4	-14.1527	2.6075	50.14
2	1	1.3	2.6075	-14.1528	13.0780	1.9796	31.72
3	2	2.6075	1.9796	13.0780	-1.6519	2.0500	3.44

Therefore, after three iterations the approximated root of f(x) is  $x_3 = 2.0500$  with  $\varepsilon_a = 3.44\%$ .



### Conclusion

# Bracketing MethodOpen MethodNeed two initial guessesCan involve one or more initial guessesThe root is located within an interval<br/>prescribed by a lower and an upper<br/>bound.Not necessarily bracket the root.

Always work but converge slowly

Do not always work (can diverge) but when they do they usually converge much more quickly.





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