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# Organic Chemistry

## Alkyl Halides & Elimination Reaction

by

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By Seema Zareen

<http://ocw.ump.edu.my/course/view.php?id=152>

# Expected Outcomes

In the completion of this chapter, student will have the ability to:

- Classify alkyl halides
- Draw and name alkyl halides using IUPAC nomenclature
- Describe physical properties and example of alkyl halides
- Compare and write nucleophilic substitution ( $S_N2$  and  $S_N1$ ) and elimination (E1 and E2) of alkyl halides

# Contents

- Organic synthesis
- mechanism of elimination

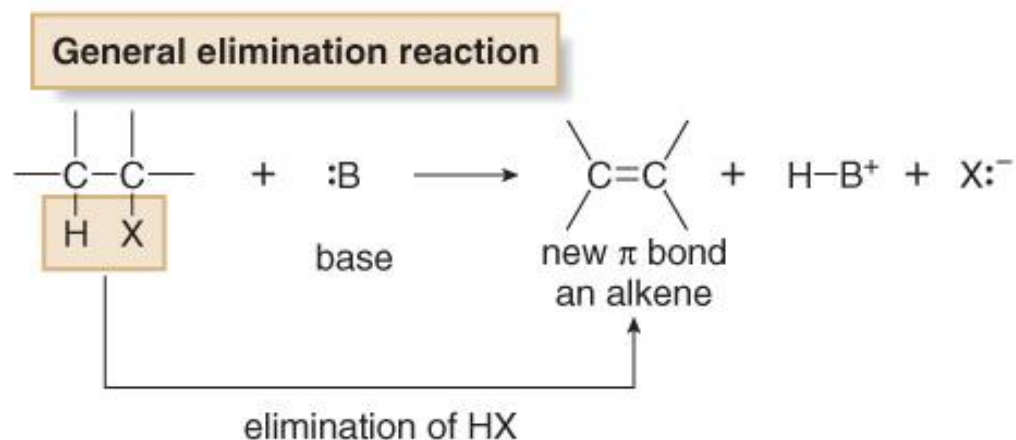


# Elimination reactions

## General Features:

- Elimination reactions involve the loss of elements from the starting material to form a new  $\pi$  bond in the product.

◆ Alkyl halides undergo elimination reactions with Brønsted–Lowry bases. The elements of HX are lost and an alkene is formed.



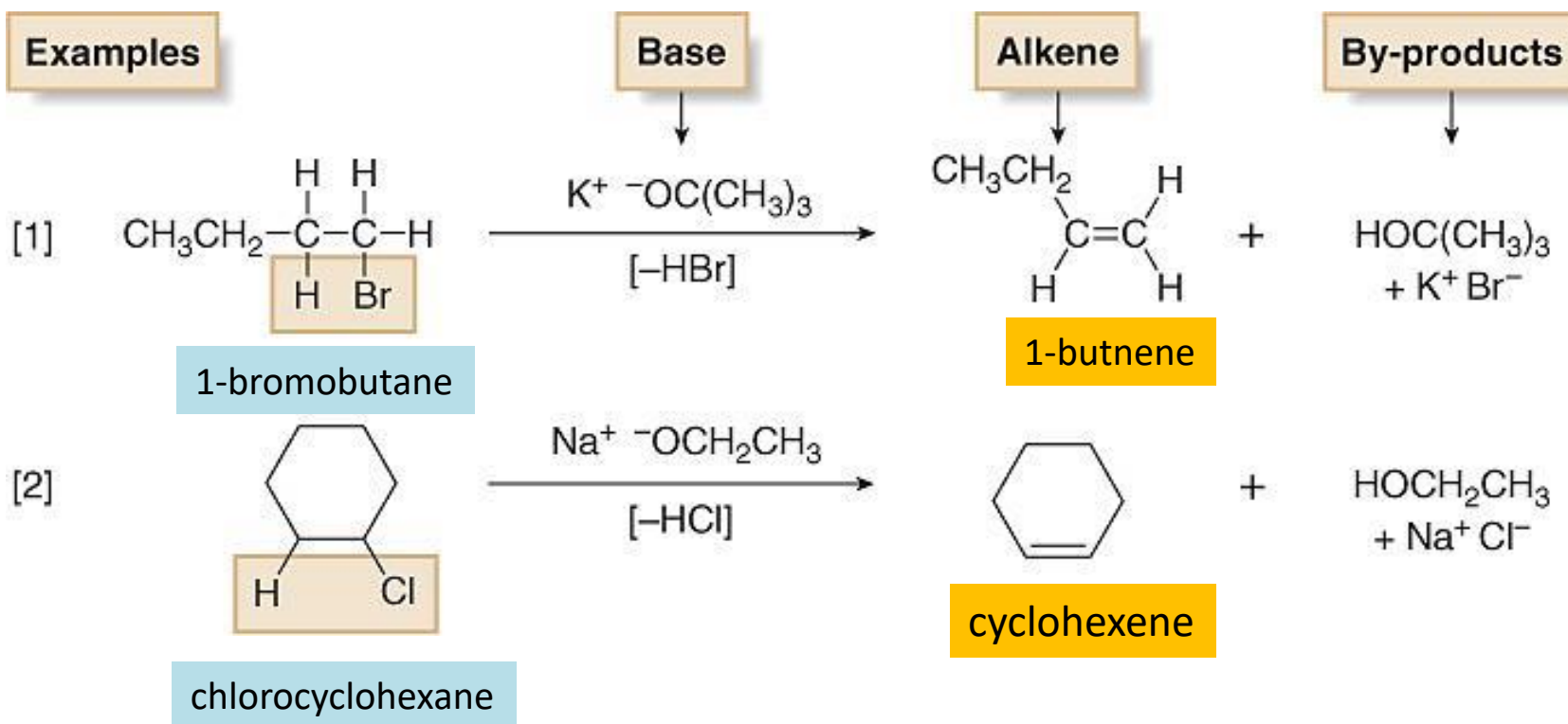
- The most common bases used in elimination reactions are negatively charged oxygen compounds, such as  $\text{HO}^-$  and its alkyl derivatives,  $\text{RO}^-$ , called alkoxides.

**TABLE 8.1 Common Bases Used in Dehydrohalogenation**

$\text{Na}^+ \text{ } ^-\text{OH}$	sodium hydroxide
$\text{K}^+ \text{ } ^-\text{OH}$	potassium hydroxide
$\text{Na}^+ \text{ } ^-\text{OCH}_3$	sodium methoxide
$\text{Na}^+ \text{ } ^-\text{OCH}_2\text{CH}_3$	sodium ethoxide
$\text{K}^+ \text{ } ^-\text{OC}(\text{CH}_3)_3$	potassium <i>tert</i> -butoxide

# Elimination Reaction with Strong Bases

- Equations [1] and [2] illustrate examples of elimination reactions. In both reactions a base removes the elements of an acid, HX, from the organic starting material.



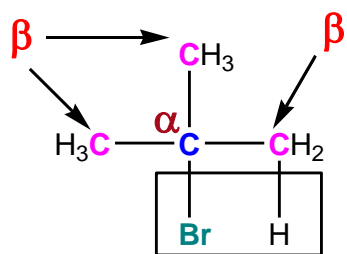
# Alkyl Halide & Elimination Reaction

- **Beta elimination** (elimination of a H on a carbon at the  $\beta$ -position relative to the carbon bearing the halide atom)
- What if there are >1 type of  $\beta$ - hydrogen, elimination of either leading to the formation of >1 alkene. Which alkene formation is favoured ?
- **Saytzeff (Zaitsev) rule**: In elimination reactions, the alkene with the greatest number of alkyl groups on the doubly-bonded carbon atoms predominates in the product mixture.

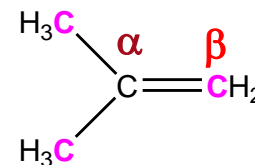
# Products of Elimination Reaction:

- To draw any product of dehydrohalogenation—Find the  $\alpha$  carbon. Identify all  $\beta$  carbons with H atoms. Remove the elements of H and X from the  $\alpha$  and  $\beta$  carbons and form a  $\pi$  bond.

Three identical  $\beta$  C's:

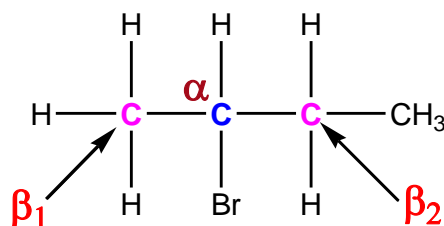


2-bromo-2-methylpropane

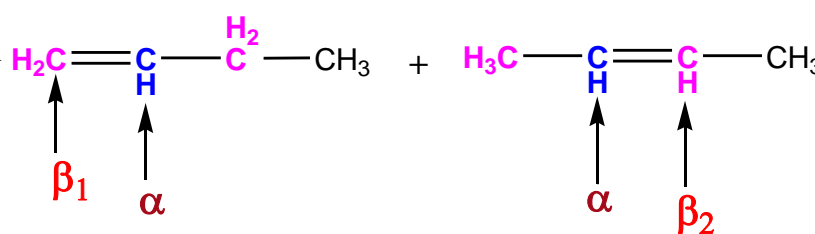


One alkene is formed.

Two different  $\beta$  C's:



2-bromobutane



Two constitutional isomers are formed



# Mechanisms of Elimination

- There are two mechanisms of elimination—E2 and E1.
- E2 mechanism—bimolecular elimination
- E1 mechanism—unimolecular elimination
- The E2 and E1 mechanisms differ in the timing of bond cleavage and bond formation, analogous to the  $S_N2$  and  $S_N1$  mechanisms.
- E2 and  $S_N2$  reactions have some features in common, as do E1 and  $S_N1$  reactions.

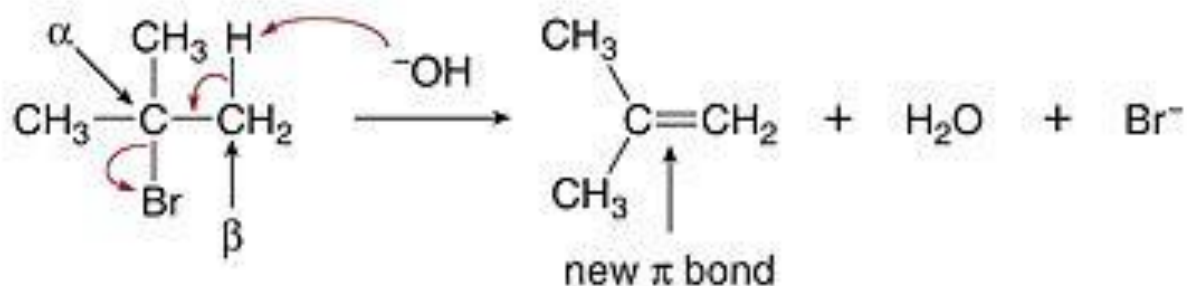
## E2 Mechanism

- The most common mechanism for dehydrohalogenation is the E2 mechanism.
- The reaction is **concerted** - all bonds are broken and formed in a single step.



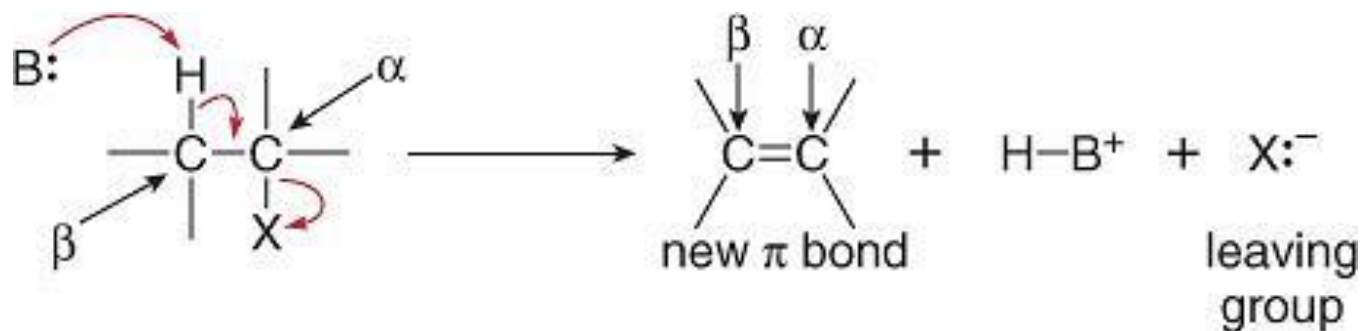
## MECHANISM 8.1

### The E2 Mechanism



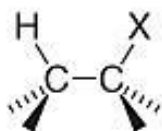
- ◆ The base  $^-\text{OH}$  removes a proton from the  $\beta$  carbon, forming  $\text{H}_2\text{O}$  (a by-product).
- ◆ The electron pair in the  $\beta$  C–H bond forms the new  $\pi$  bond.
- ◆ The leaving group  $\text{Br}^-$  comes off with the electron pair in the C–Br bond.

- Dehydrohalogenation is an example of  $\beta$  elimination.
- The curved arrow formalism shown below illustrates how four bonds are broken or formed in the process.



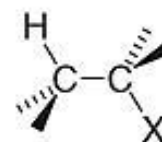
# Stereochemistry of the E2 Reaction

- The transition state of an E2 reaction consists of four atoms from an alkyl halide—one hydrogen atom, two carbon atoms, and the leaving group (X)—all aligned in a plane. There are two ways for the C—H and C—X bonds to be coplanar.



H and X are on the **same** side.

**syn periplanar**

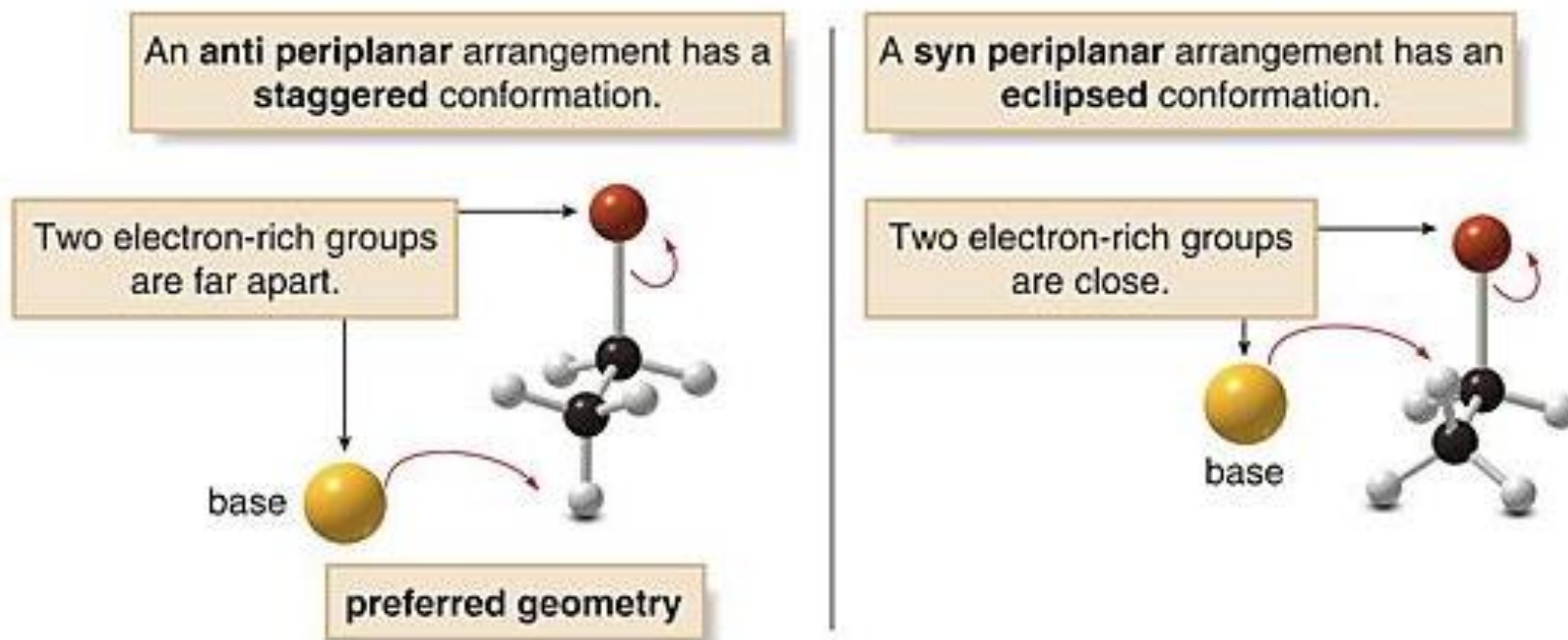


H and X are on **opposite** sides.

**anti periplanar**

- ◆ The H and X atoms can be oriented on the same side of the molecule. This geometry is called *syn periplanar*.
- ◆ The H and X atoms can be oriented on opposite sides of the molecule. This geometry is called *anti periplanar*.

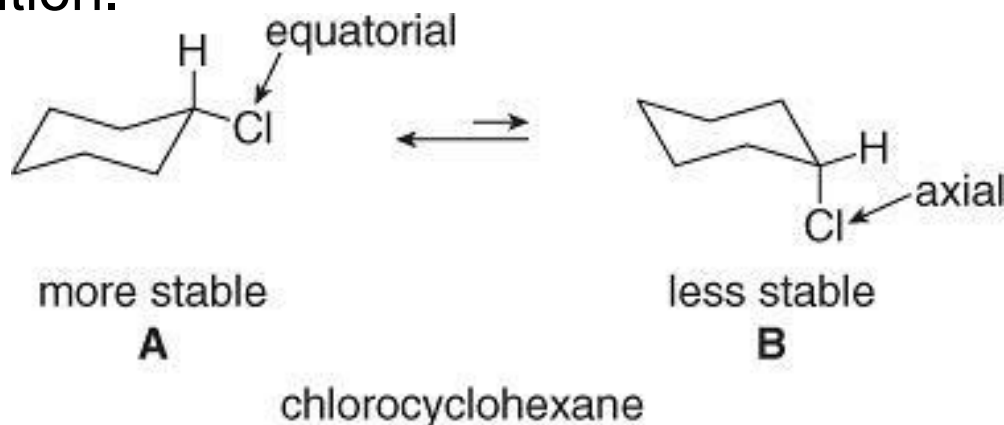
# Anti Conformation Preferred for the E2 Reaction



- **E2 elimination** occurs most often in the anti periplanar geometry. This arrangement allows the molecule to react in the lower energy staggered conformation, and allows the incoming base and leaving group to be further away from each other.

# Elimination in Cyclic Systems:

- The stereochemical requirement of an anti periplanar geometry in an E2 reaction has important consequences for compounds containing six-membered rings.
- Consider chlorocyclohexane which exists as two chair conformers. Conformer A is preferred since the bulkier Cl group is in the equatorial position.



- For E2 elimination, the C-Cl bond must be anti periplanar to the C—H bond on a  $\beta$  carbon, and this occurs only when the H and Cl atoms are both in the axial position. The requirement for trans diaxial geometry means that elimination must occur from the less stable conformer, B.

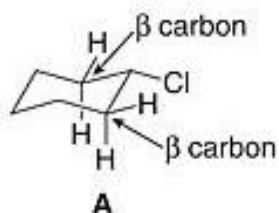


- For Elimination, the Cl group must be in the axial position of cyclohexane ring

**Figure 8.8** The trans diaxial geometry for the E2 elimination in chlorocyclohexane

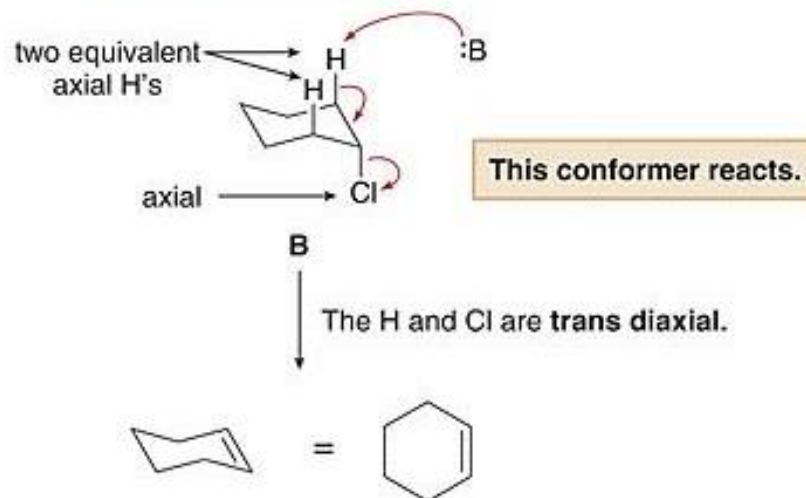
- In conformer **A** (equatorial Cl group), a  $\beta$  C-H bond and a C-Cl bond are never anti periplanar; therefore, no E2 elimination can occur.
- In conformer **B** (axial Cl group), a  $\beta$  C-H bond and a C-Cl bond are **trans diaxial**; therefore, E2 elimination occurs.

Conformer A (equatorial Cl):



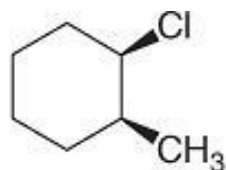
no reaction with this conformer

Conformer B (axial Cl):

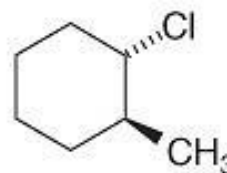




## E2 dehydrohalogenation of *cis*- and *trans*-1-chloro-2-methylcyclohexane:



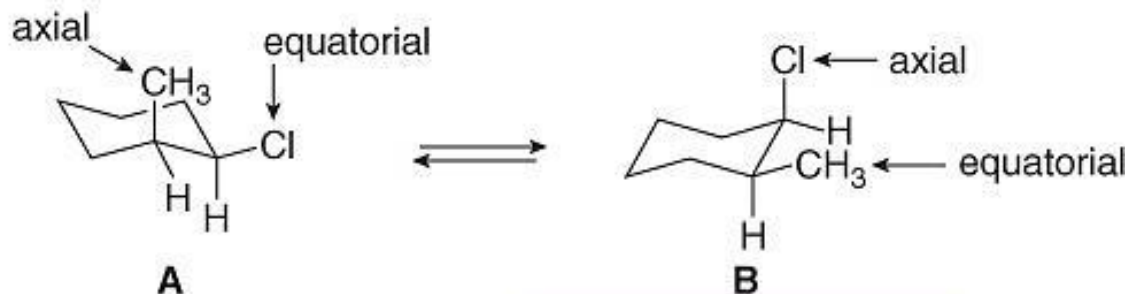
*cis*-1-chloro-2-methyl-  
cyclohexane



*trans*-1-chloro-2-methyl-  
cyclohexane

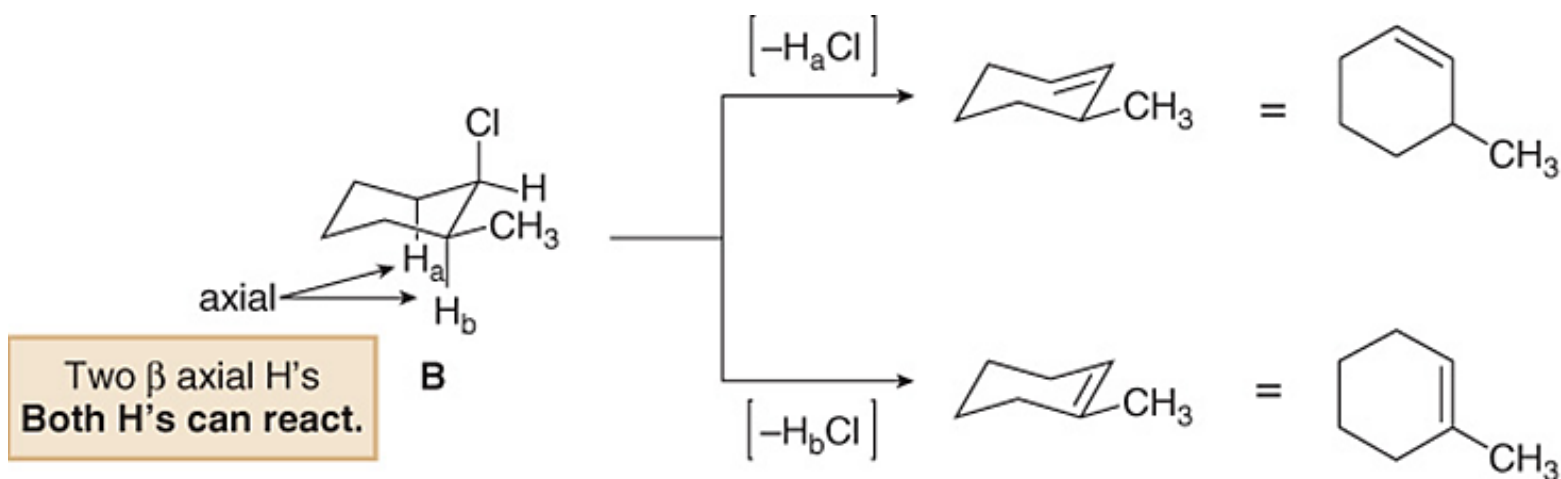
- The *cis* isomer exists as two conformers, A and B, each of which has one group axial and one group equatorial. E2 reaction must occur from conformer B, which contains an axial Cl atom.

cis isomer



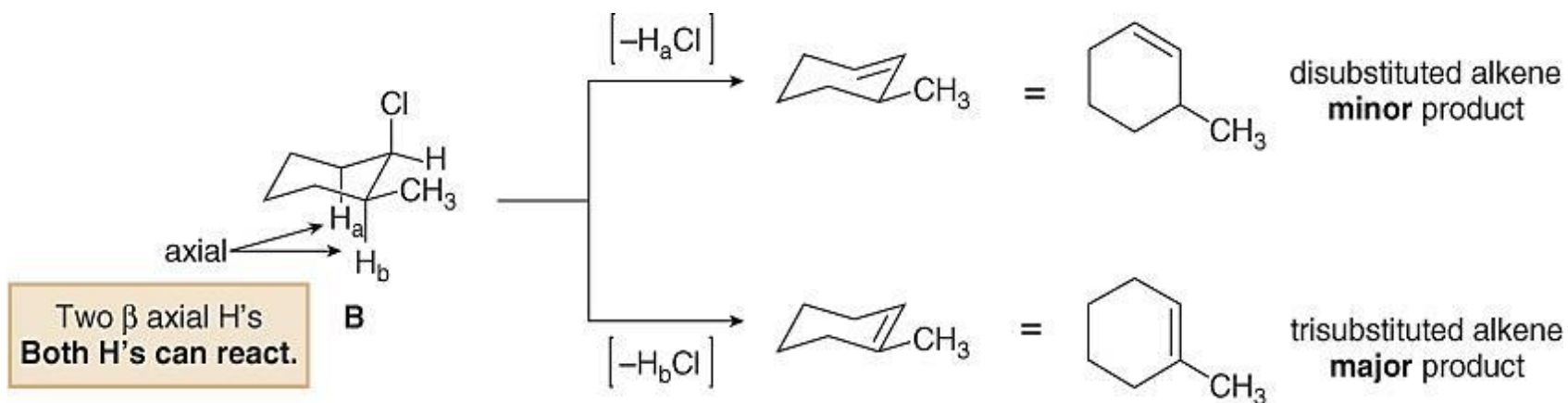
This conformer reacts.

- Because conformer B has two different axial  $\beta$  hydrogens, labeled  $H_a$  and  $H_b$ , E2 reaction occurs in two different directions to afford **two** alkenes.
- The major product contains the more stable trisubstituted double bond, as predicted by the **Saytzeff** (Zaitsev) rule. (The more substituted alkene is the more stable).

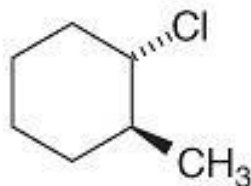


## Saytzeff (Zaitsev) rule:

(The more substituted alkene is the more stable).



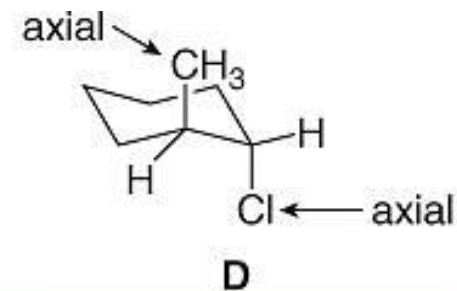
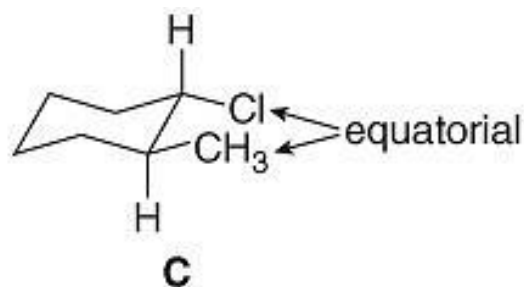
- **The trans isomer** of 1-chloro-2-methylcyclohexane exists as two conformers: C, having two equatorial substituents, and D, having two axial substituents.



*trans*-1-chloro-2-methyl-  
cyclohexane

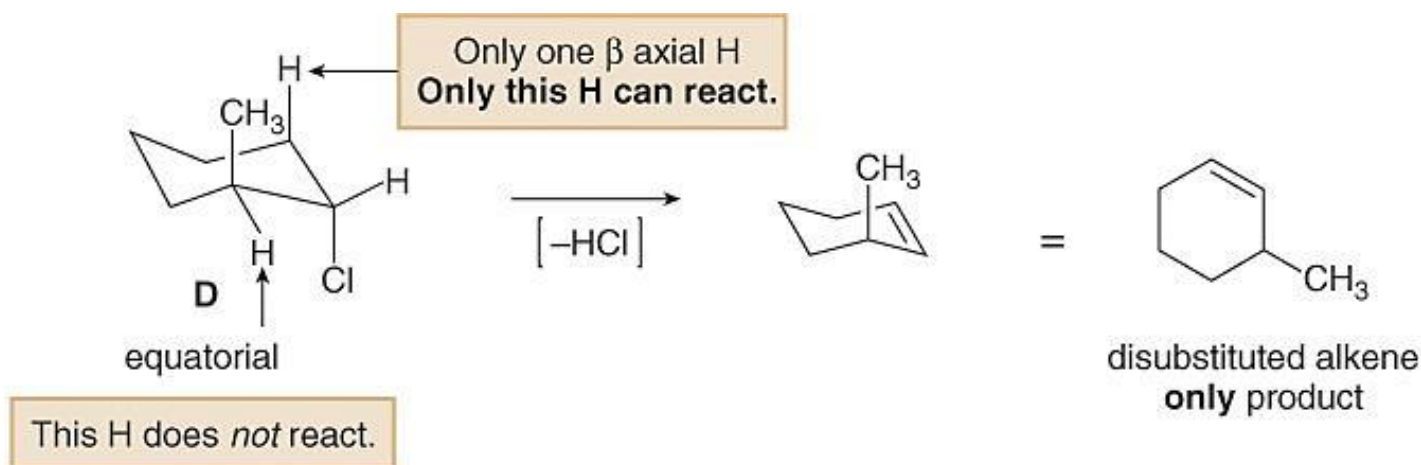
- E2 reaction must occur from D, since it contains an axial Cl atom.

trans isomer



This conformer reacts.

- Because conformer D has only one axial  $\beta$  H, E2 reaction occurs only in one direction to afford a single product. This is not predicted by the Zaitzev rule.



- ◆ In conclusion, with substituted cyclohexanes, E2 elimination must occur with a trans diaxial arrangement of H and X, and as a result of this requirement, the more substituted alkene is not necessarily the major product.

# Alkyl halides & Elimination Reaction

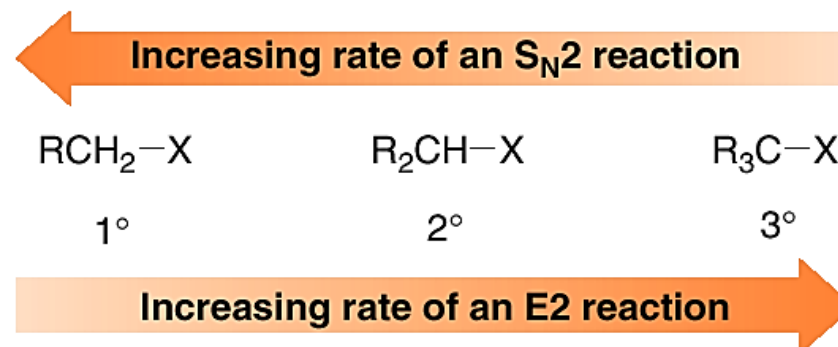
## **Regioselectivity (regioselective)**

A regioselective reaction is one in which one direction of bond making or breaking occurs preferentially over all other possible directions.

# Alkyl Halides and Elimination Reactions

## Mechanisms of Elimination—E2

- The  $S_N2$  and E2 mechanisms differ in how the R group affects the reaction rate.
- As the number of R groups on the carbon with the leaving group increases, the rate of the E2 reaction increases.

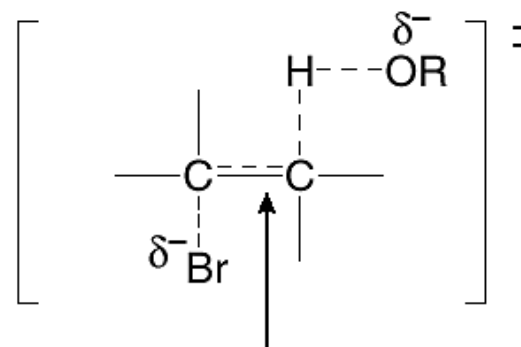


# Alkyl Halides and Elimination Reactions

## Mechanisms of Elimination—E2

- The increase in E2 reaction rate with increasing alkyl substitution can be rationalized in terms of transition state stability.
- In the transition state, the double bond is partially formed. Thus, increasing the stability of the double bond with alkyl substituents stabilizes the transition state (i.e., lowers  $E_a$ , which increases the rate of the reaction).

Transition state for an E2 reaction  
with an alkoxide  $^-OR$  as base



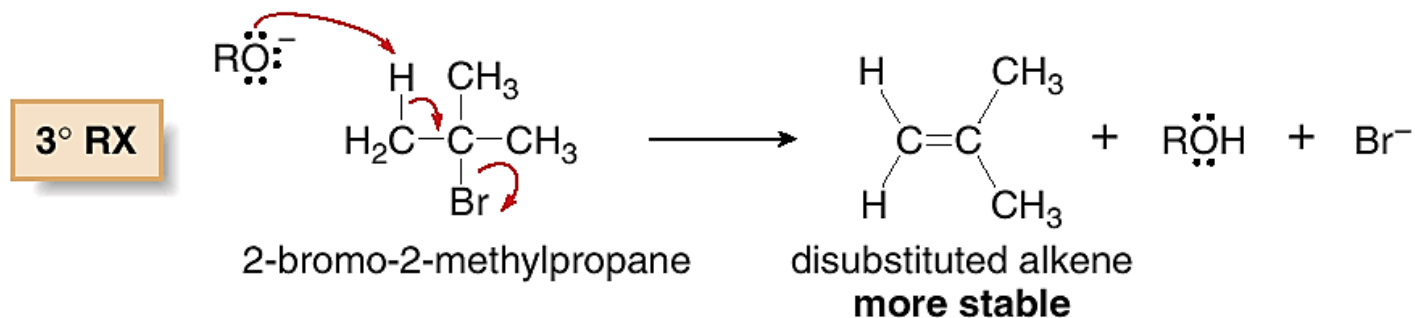
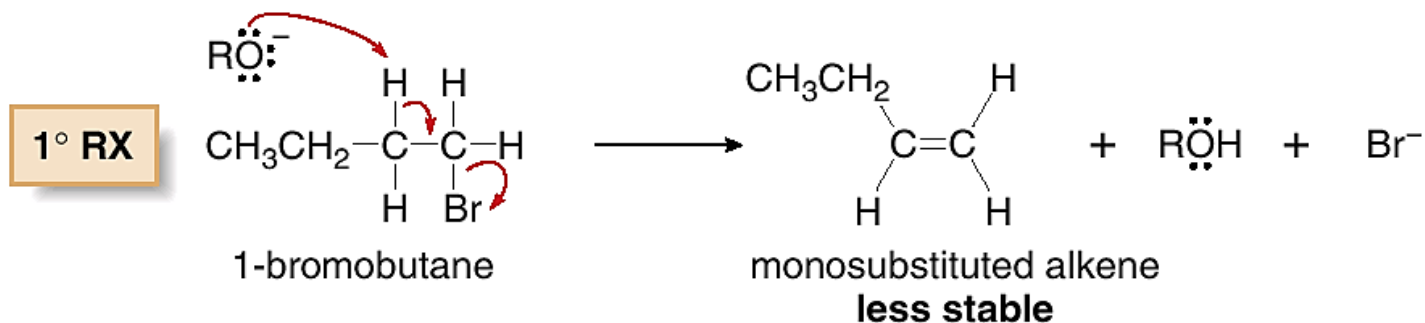
The double bond is partially formed.



# Alkyl Halides and Elimination Reactions

## Mechanisms of Elimination—E2

- Increasing the number of R groups on the carbon with the leaving group forms more highly substituted, more stable alkenes in E2 reactions.
- In the reactions below, since the disubstituted alkene is more stable, the 3° alkyl halide reacts faster than the 1° alkyl halide.



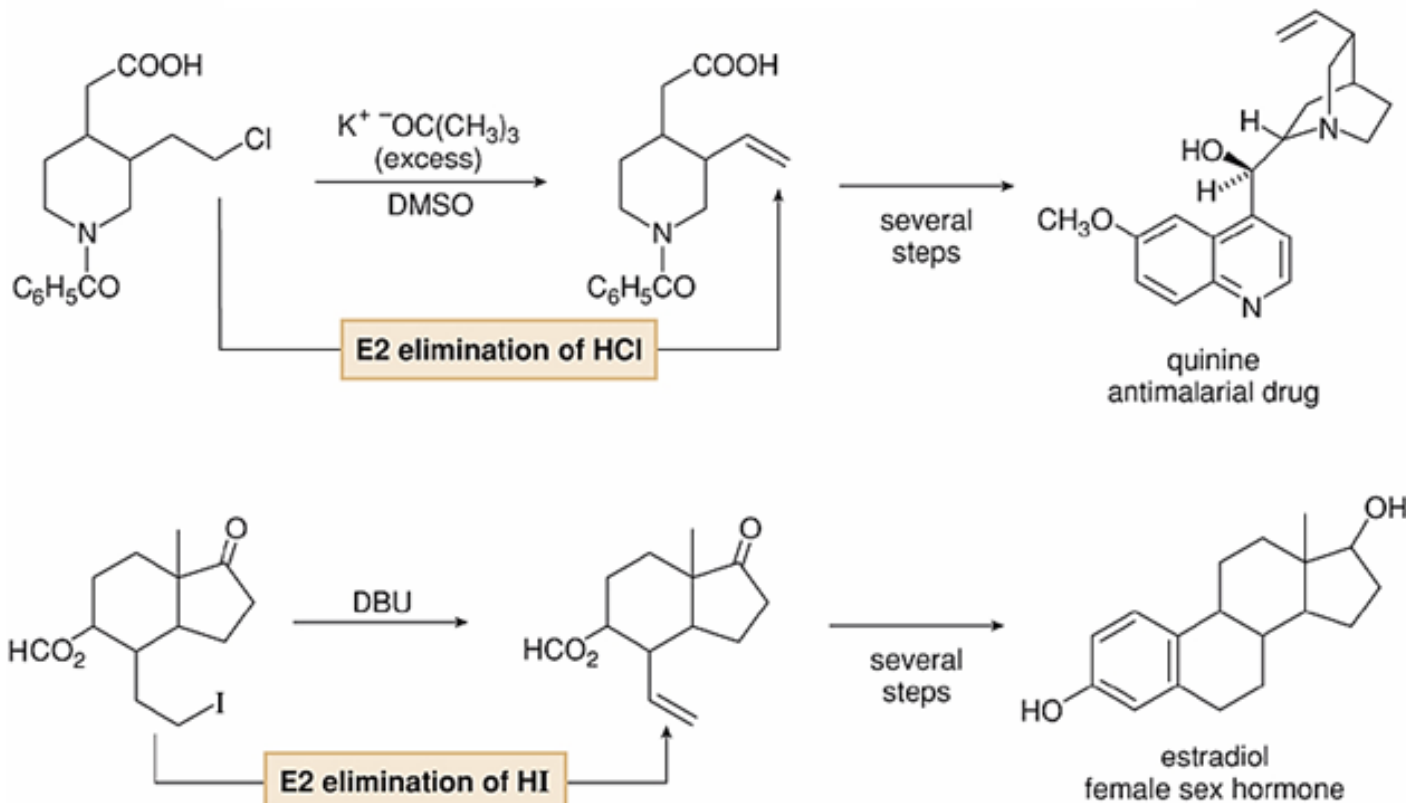
# Alkyl Halides and Elimination Reactions

## Mechanisms of Elimination—E2

Two examples of the E2 reaction used in organic synthesis



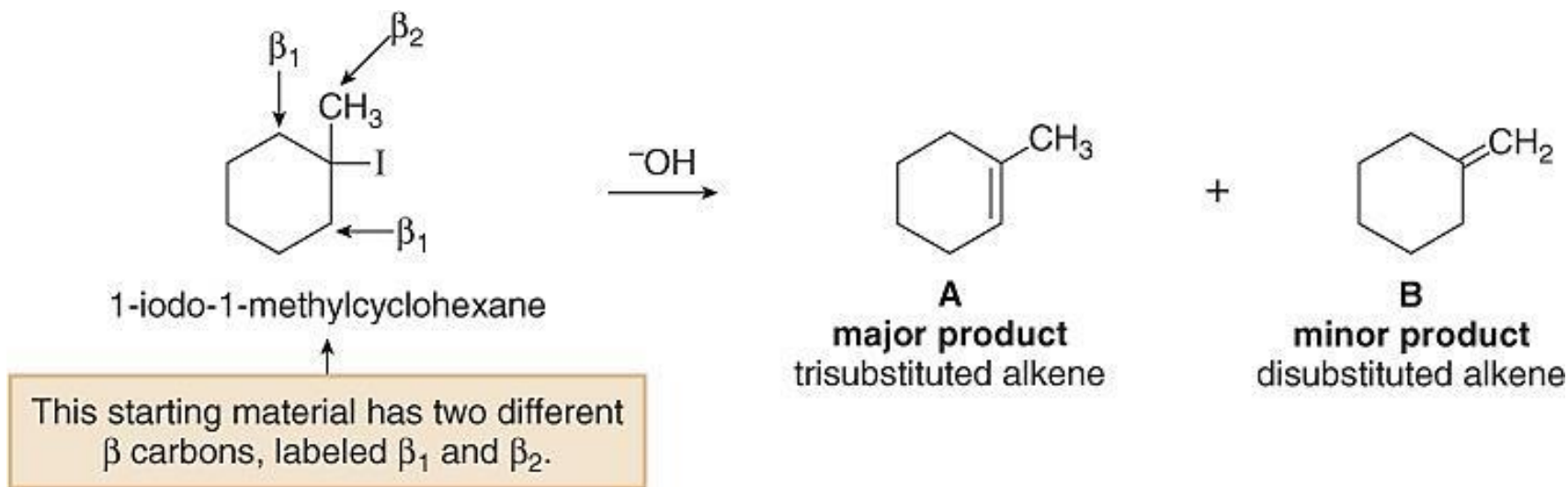
Quinine, a natural product isolated from the bark of the Cinchona tree native to the Andes Mountains, is a powerful antipyretic—that is, it reduces fever—and so for centuries, it was the only effective treatment for malaria.



# Alkyl Halides and Elimination Reactions

## The Zaitsev (Saytzeff) Rule

- Recall that when alkyl halides have two or more different  $\beta$  carbons, more than one alkene product is formed.
- When this happens, one of the products usually predominates.
- The major product is the more stable product—the one with the more substituted double bond.
- This phenomenon is called the **Zaitsev rule**.



# E1 Reactions

- The dehydrohalogenation of  $(\text{CH}_3)_3\text{CCl}$  with  $\text{H}_2\text{O}$  to form  $(\text{CH}_3)_2\text{C}=\text{CH}_2$  can be used to illustrate the second general mechanism of elimination, the E1 mechanism.
- An E1 reaction exhibits first-order kinetics:

$$\text{rate} = k[(\text{CH}_3)_3\text{CCl}]$$

- The E1 reaction proceeds via a two-step mechanism: the bond to the leaving group breaks first before the  $\pi$  bond is formed. The slow step is **unimolecular**, involving only the alkyl halide.
- The E1 and E2 mechanisms both involve the same number of bonds broken and formed. The only difference is timing. In an E1, the leaving group comes off before the  $\beta$  proton is removed, and the reaction occurs in two steps. In an E2 reaction, the leaving group comes off as the  $\beta$  proton is removed, and the reaction occurs in one step.



## Mechanism 8.2 The E1 Mechanism

Step [1] The C–I bond is broken.



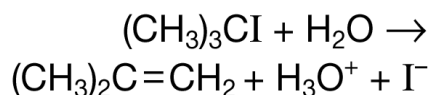
- **Heterolysis of the C–I bond** forms an intermediate **carbocation**. This is the same first step as the  $\text{S}_{\text{N}}1$  mechanism. It is responsible for the first-order kinetics because it is rate-determining.

Step [2] A C–H bond is cleaved and the  $\pi$  bond is formed.

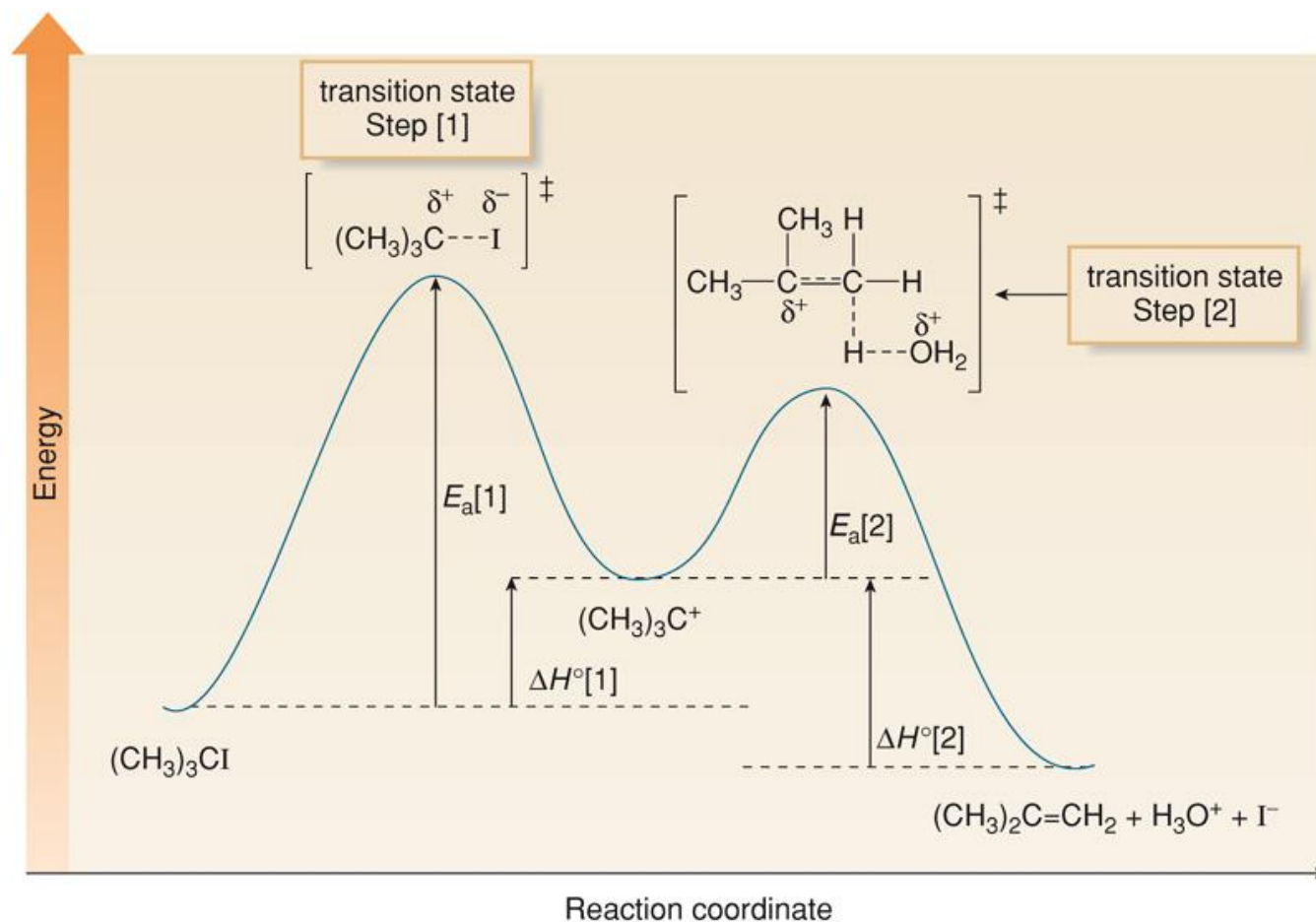


- **A base** (such as  $\text{H}_2\text{O}$  or  $\text{I}^-$ ) **removes a proton from a carbon adjacent to the carbocation** (a  $\beta$  carbon). The electron pair in the C–H bond is used to form the new  $\pi$  bond.

## Energy diagram for an E1 reaction:

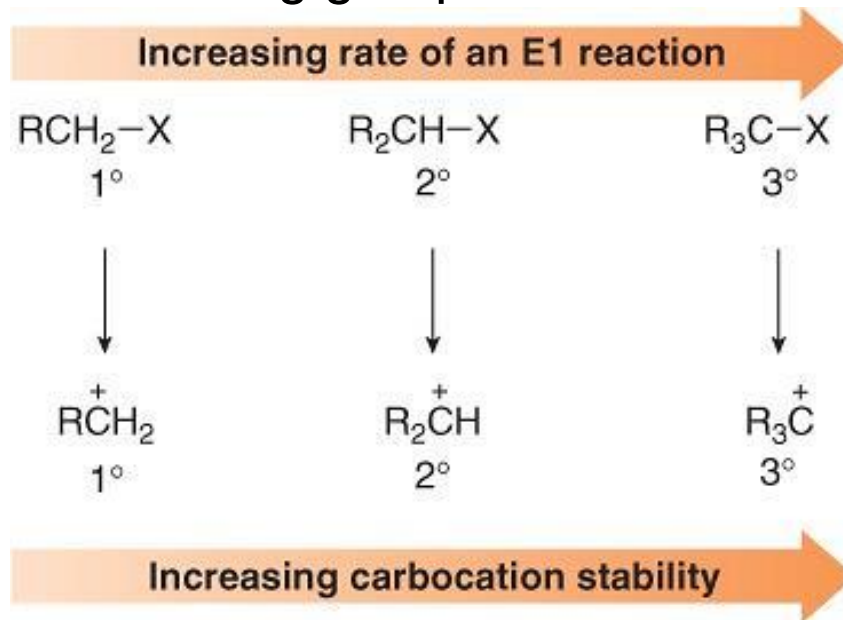


- Since the E1 mechanism has two steps, there are two energy barriers.
- Step [1] is rate-determining;  $E_a[1] > E_a[2]$  making the transition state for Step [1] higher in energy.



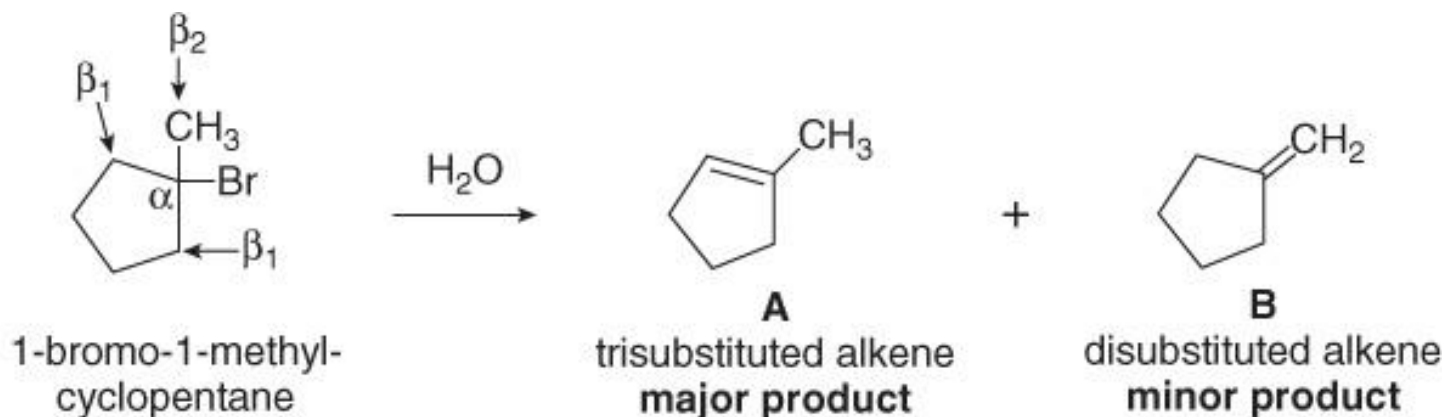
## Effect of alkyl halide type on E1

- The rate of an E1 reaction increases as the number of R groups on the carbon with the leaving group increases.



- The strength of the base usually determines whether a reaction follows the E1 or E2 mechanism. Strong bases like  $\text{OH}^-$  and  $\text{OR}^-$  favor E2 reactions, whereas weaker bases like  $\text{H}_2\text{O}$  and  $\text{ROH}$  favor E1 reactions.

- E1 reactions are **regioselective**, favoring formation of the more substituted, more stable alkene.
- Zaitsev's rule applies to E1 reactions also.



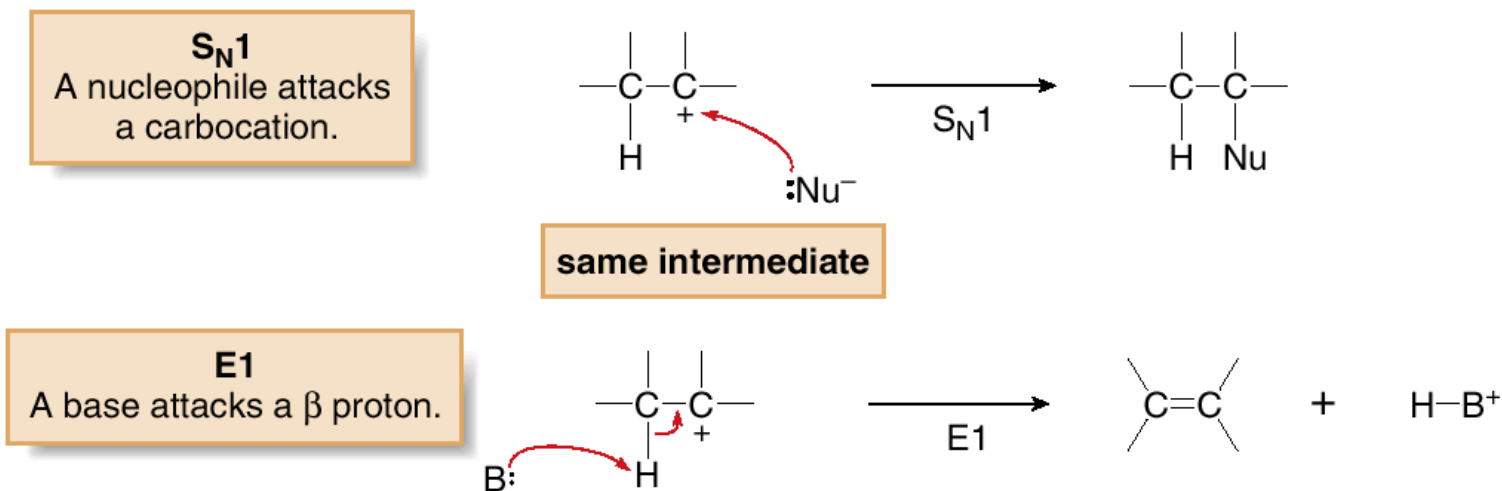
two different  $\beta$  carbons  
labeled  $\beta_1$  and  $\beta_2$

**Regioselective  
reaction**



# $S_N1$ and $E1$ Reactions

- $S_N1$  and  $E1$  reactions have exactly the same first step—formation of a carbocation. They differ in what happens to the carbocation.

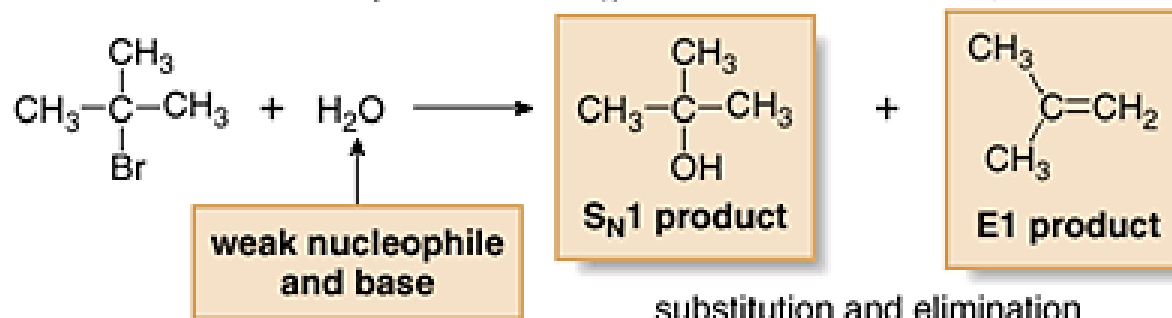


- In an  $S_N1$  reaction, a nucleophile attacks the carbocation, forming a substitution product.
- In an  $E1$  reaction, a base removes a proton, forming a new  $\pi$  bond.

Because E1 reactions often occur with a competing  $S_N1$  reaction, E1 reactions of alkyl halides are much less useful than E2 reactions

- With weak nucleophiles or bases

- A mixture of  $S_N1$  and E1 products results.
- Rationale: A weak base or nucleophile favors  $S_N1$  and E1 mechanisms, and both occur.
- Example:



## When is the Mechanism E1 or E2?

- The strength of the base is the most important factor in determining the mechanism for elimination. **Strong bases favor the E2 mechanism.** **Weak bases favor the E1 mechanism.**

*Table 8.4*

### A Comparison of the E1 and E2 Mechanisms

#### E2 mechanism

- Much more common and useful.
- Favored by strong, negatively charged bases, especially  $\text{OH}^-$  and  $\text{OR}^-$ .
- The reaction occurs with  $1^\circ$ ,  $2^\circ$ , and  $3^\circ$  alkyl halides. Order of reactivity:  $\text{R}_3\text{CX} > \text{R}_2\text{CHX} > \text{RCH}_2\text{X}$ .

#### E1 mechanism

- Much less useful because a mixture of  $\text{S}_{\text{N}}1$  and E1 products usually results.
- Favored by weaker, neutral bases, such as  $\text{H}_2\text{O}$  and  $\text{ROH}$ .
- This mechanism does not occur with  $1^\circ$  RX because they form highly unstable  $1^\circ$  carbocations.

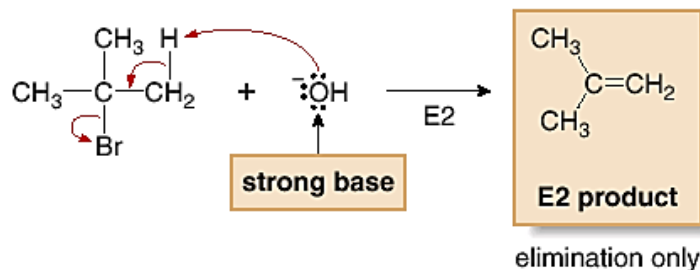
# Predicting the Mechanism from the Reactants— $S_N1$ , $S_N2$ , E1 or E2.

Determining whether an [alkyl halide reacts](#) by an  $S_N1$ ,  $S_N2$ , E1, or E2 mechanism

## [1] 3° Alkyl halides ( $R_3CX$ react by all mechanisms except $S_N2$ .)

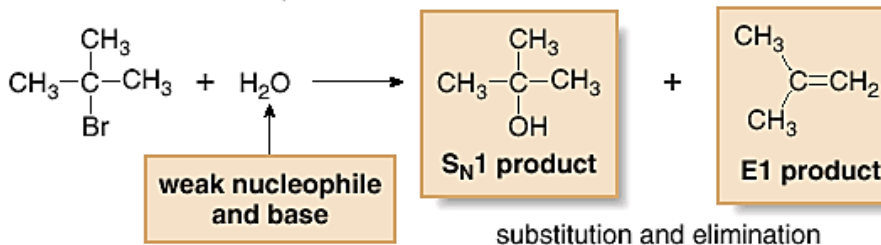
- With strong bases

- **Elimination occurs by an E2 mechanism.**
- Rationale: A strong base or nucleophile favors an  $S_N2$  or E2 mechanism, but 3° halides are too sterically hindered to undergo an  $S_N2$  reaction, so only E2 elimination occurs.
- Example:



- With weak nucleophiles or bases

- **A mixture of  $S_N1$  and E1 products results.**
- Rationale: A weak base or nucleophile favors  $S_N1$  and E1 mechanisms, and both occur.
- Example:

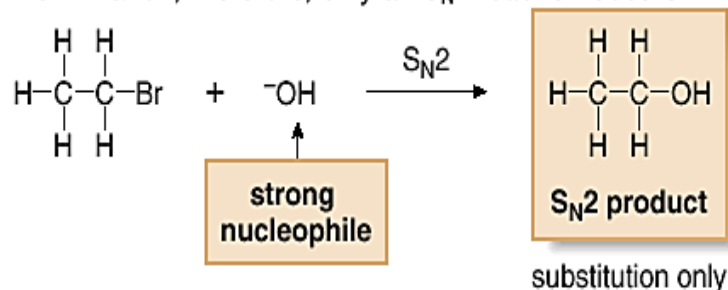


## [2] 1° Alkyl halides (RCH<sub>2</sub>X react by S<sub>N</sub>2 and E2 mechanisms.)

- With strong nucleophiles

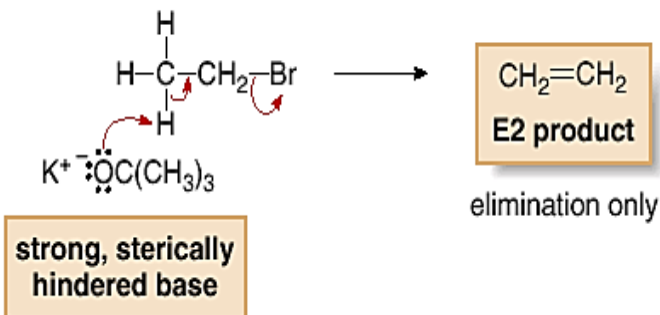
- **Substitution occurs by an S<sub>N</sub>2 mechanism.**
- Rationale: A strong base or nucleophile favors S<sub>N</sub>2 or E2, but 1° halides are the least reactive halide type in elimination; therefore, only an S<sub>N</sub>2 reaction occurs.

- Example:



- With strong, sterically hindered bases

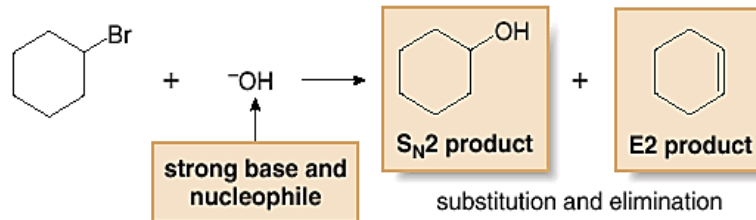
- **Elimination occurs by an E2 mechanism.**
- Rationale: A strong, sterically hindered base cannot act as a nucleophile, so elimination occurs and the mechanism is E2.
- Example:



### [3] 2° Alkyl halides ( $R_2CHX$ react by all mechanisms.)

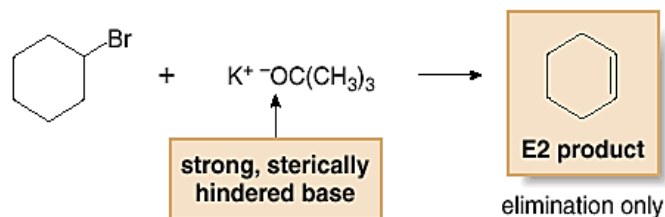
- With strong bases and nucleophiles

- **A mixture of  $S_N2$  and  $E2$  products results.**
- Rationale: A strong base that is also a strong nucleophile gives a mixture of  $S_N2$  and  $E2$  products.
- Example:



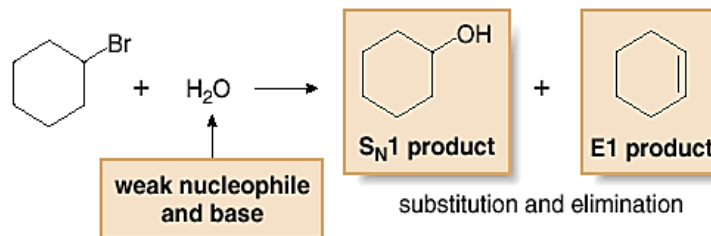
- With strong, sterically hindered bases

- **Elimination occurs by an  $E2$  mechanism.**
- Rationale: A strong, sterically hindered base cannot act as a nucleophile, so elimination occurs and the mechanism is  $E2$ .
- Example:



- With weak nucleophiles or bases

- **A mixture of  $S_N1$  and  $E1$  products results.**
- Rationale: A weak base or nucleophile favors  $S_N1$  and  $E1$  mechanisms, and both occur.
- Example:



# Summary

- Alkyl halides undergo two kinds of nucleophilic substitution: **SN1** and **SN2**, and two kinds of elimination: **E1** and **E2**.
- SN2 and E2 are bimolecular one-step reactions
- SN1 and E1 are unimolecular two step reactions
- SN1 lead to a mixture of stereoisomers
- SN2 inverts the configuration on an asymmetric carbon
- The major product of a elimination is the most stable alkene
- SN2 and E2 are favoured by strong nucleophile/strong base
- SN2 reactions are favoured by primary alkyl halides
- E2 reactions are favoured by tertiary alkyl halides