

For updated version, please click on
<http://ocw.ump.edu.my>

Organic Chemistry

Alkyl Halides & Nucleophilic Substitution

by

Dr. Seema Zareen & Dr. Izan Izwan Misnon
Faculty Industrial Science & Technology

seema@ump.edu.my & iezwan@ump.edu.my



Alkyl Halides & Nucleophilic Substitution
By Seema Zareen

<http://ocw.ump.edu.my/course/view.php?id=152>

Expected Outcomes

In the end of this chapter, student will have the ability to:

- Classify alkyl halides
- Draw and name alkyl halides using IUPAC nomenclature
- Describe physical properties and example of alkyl halides
- Compare and write nucleophilic substitution (S_N2 and S_N1) and elimination (E1 and E2) of alkyl halides

Contents

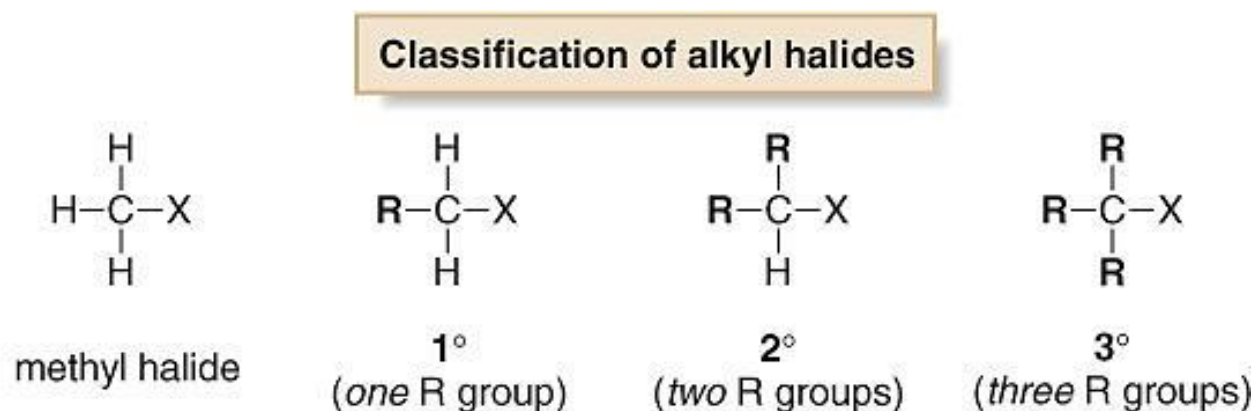
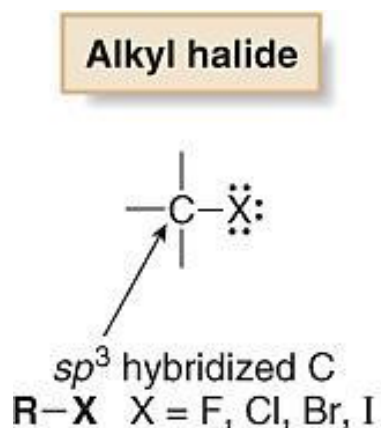
- Nomenclature
- Physical properties
- Nucleophilic substitution mechanism of alkyl halides
- Organic synthesis



Alkyl Halides and Nucleophilic Substitution

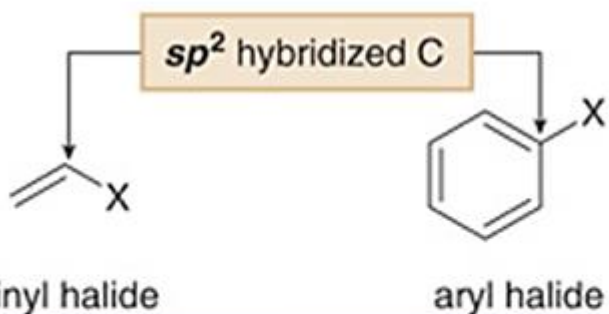
Introduction to Alkyl Halides

- **Alkyl halides** are organic molecules containing a halogen atom bonded to an sp^3 hybridized carbon atom.
- Alkyl halides are classified as **primary** (1°), **secondary** (2°), or **tertiary** (3°), depending on the number of carbons (**R** groups) bonded to the carbon with the halogen atom (the *head* carbon).
- The halogen atom in halides is often denoted by the symbol “**X**”.

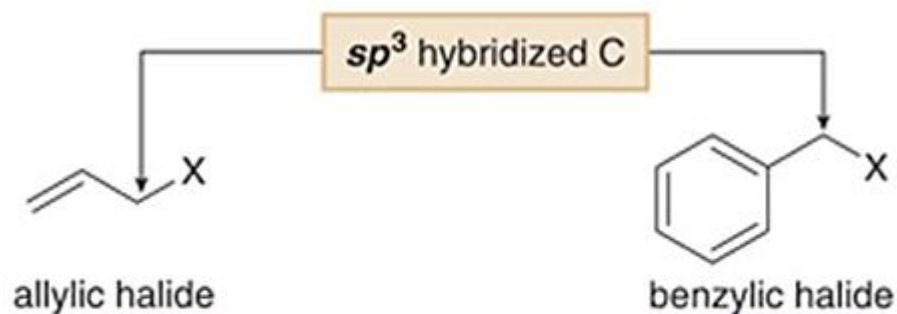


- There are other types of organic halides. These include **vinyl halides**, **aryl halides**, **allylic halides** and **benzylic halides**.
- **Vinyl halides** have a halogen atom (X) bonded to a carbon-carbon double bond.
- **Aryl halides** have a halogen atom bonded to a benzene ring.
- **Allylic halides** have X bonded to the carbon atom adjacent to a carbon-carbon double bond.
- **Benzylic halides** have X bonded to the carbon atom adjacent to a benzene ring.

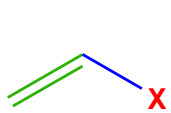
Four types of organic halides (RX)
having X near a pi-bond



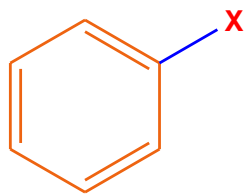
These organic halides are **unreactive** in the reactions



These organic halides do participate in the reactions



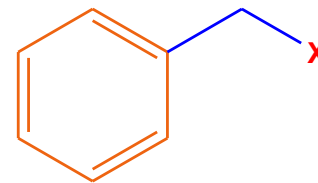
Vinyl halide



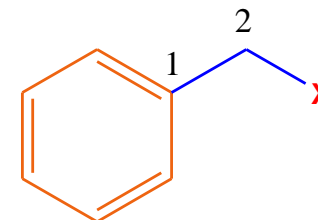
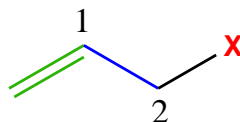
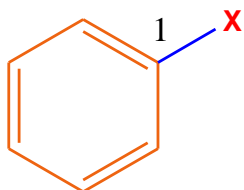
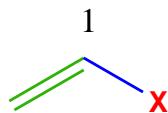
Aryl halide



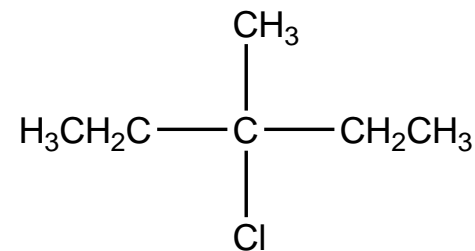
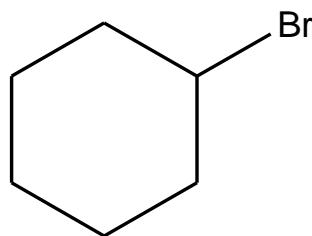
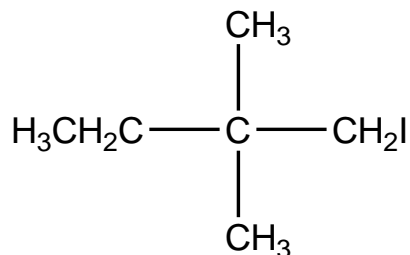
Allylic halide



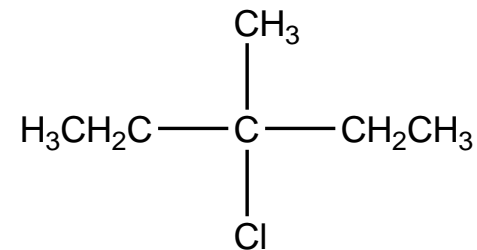
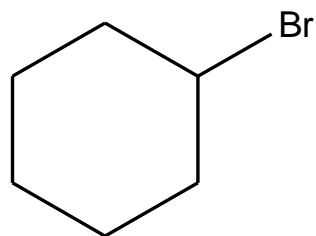
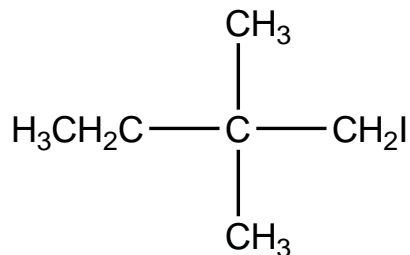
Benzylic halide



Examples of 1°, 2°, and 3° alkyl halides



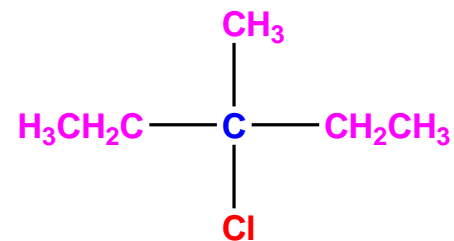
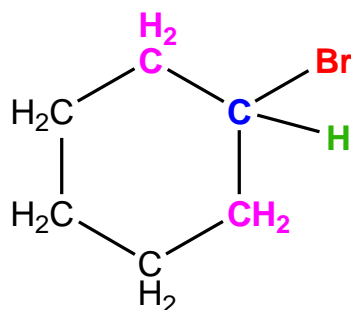
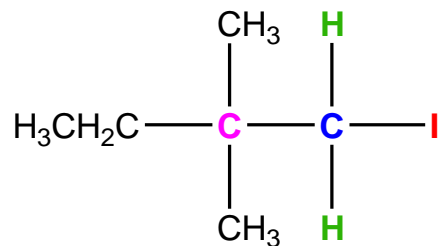
Examples of 1°, 2°, and
3° alkyl halides



1°

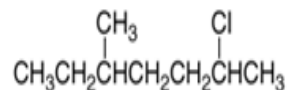
2°

3°

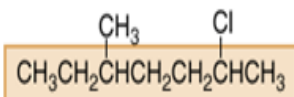


How To Name an Alkyl Halide Using the IUPAC System

Example Give the IUPAC name of the following alkyl halide:



Step [1] Find the parent carbon chain containing the halogen.

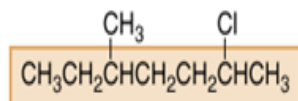


C's in the longest chain

- Name the parent chain as an **alkane**, with the halogen as a substituent bonded to the longest chain.

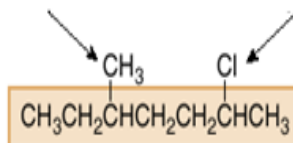
Step [2] Apply all other rules of nomenclature.

a. **Number** the chain.



- Begin at the end nearest the first substituent, either alkyl or halogen.

b. **Name and number** the substituents.

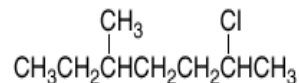


c. **Alphabetize:**

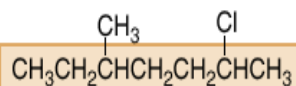
ANSWER:

How To Name an Alkyl Halide Using the IUPAC System

Example Give the IUPAC name of the following alkyl halide:



Step [1] Find the parent carbon chain containing the halogen.



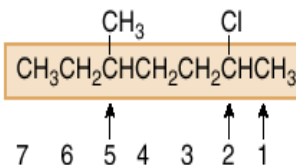
7 C's in the longest chain

7 C's ----> heptane

- Name the parent chain as an **alkane**, with the halogen as a substituent bonded to the longest chain.

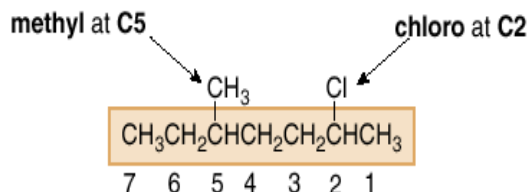
Step [2] Apply all other rules of nomenclature.

a. Number the chain.



- Begin at the end nearest the first substituent, either alkyl or halogen.

b. Name and number the substituents.

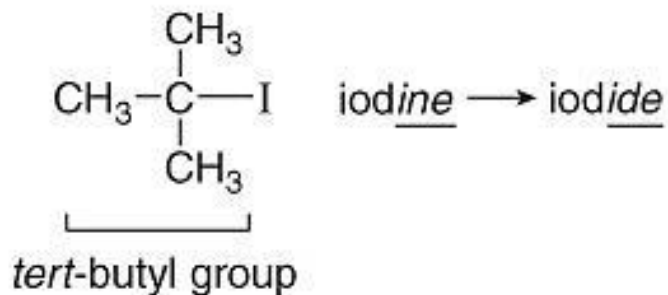


c. Alphabetize: **c** for chloro, then **m** for methyl.

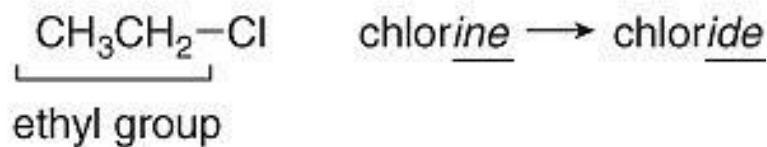
ANSWER: 2-chloro-5-methylheptane

- Common names are often used for simple alkyl halides. To assign a common name:
 - Name all the carbon atoms of the molecule as a single alkyl group.
 - Name the halogen bonded to the alkyl group.
 - Combine the names of the alkyl group and halide, separating the words with a space.

Common
names

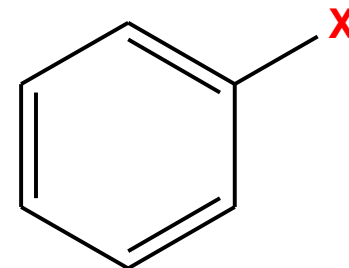
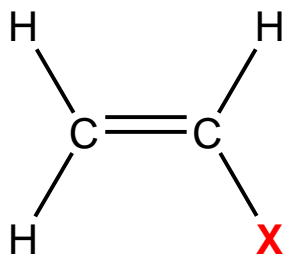
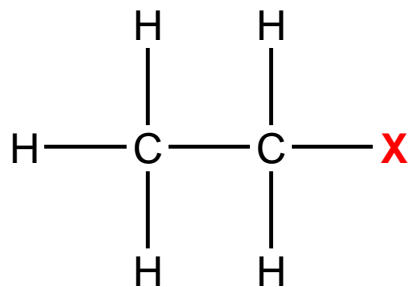


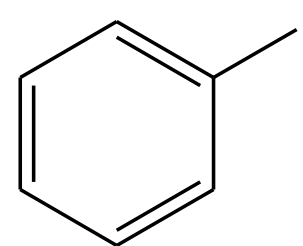
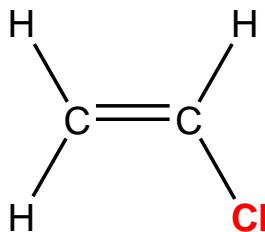
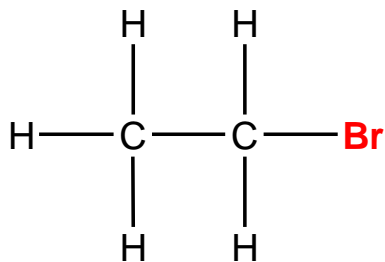
***tert*-butyl iodide**



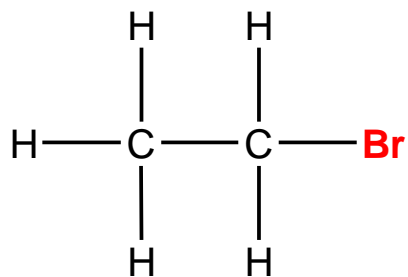
ethyl chloride

Examples of different type of alkyl halides

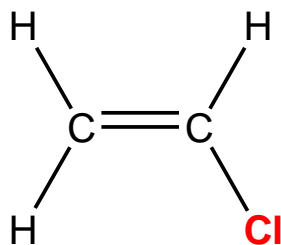




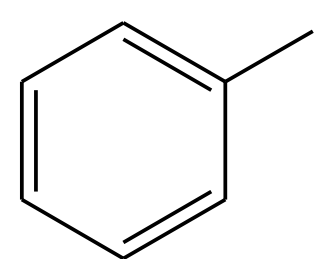
∴ X = F
Cl
Br
I



alkyl halide



vinyl halide



aryl halide

Physical Properties

- Alkyl halides are weak polar molecules. They exhibit **dipole-dipole interactions** because of their polar C—X bond, but because the rest of the molecule contains only C—C and C—H bonds, they are incapable of intermolecular hydrogen bonding.

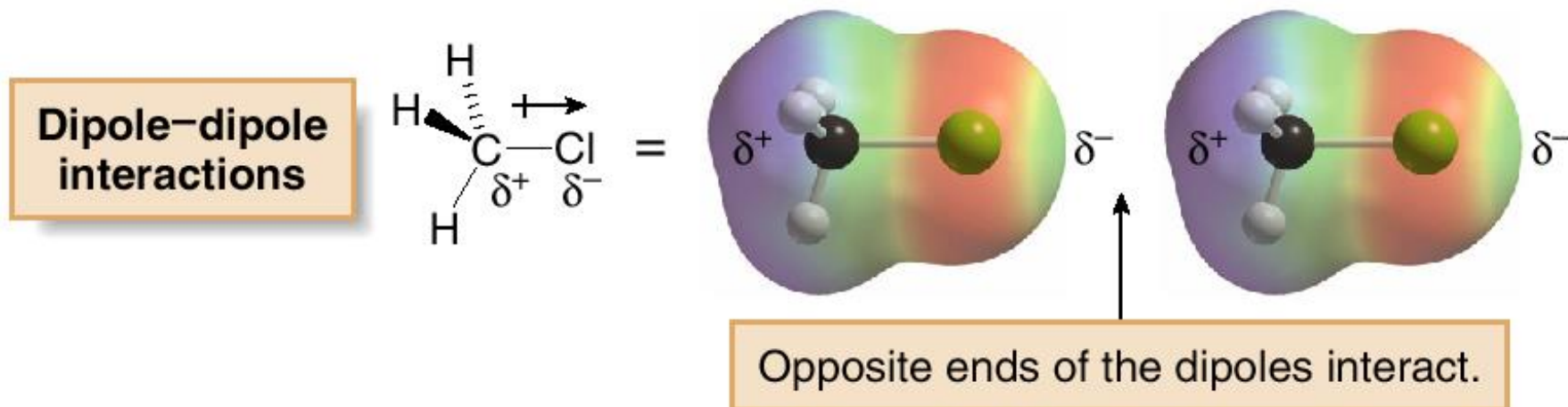


Table 7.1 Physical Properties of Alkyl Halides

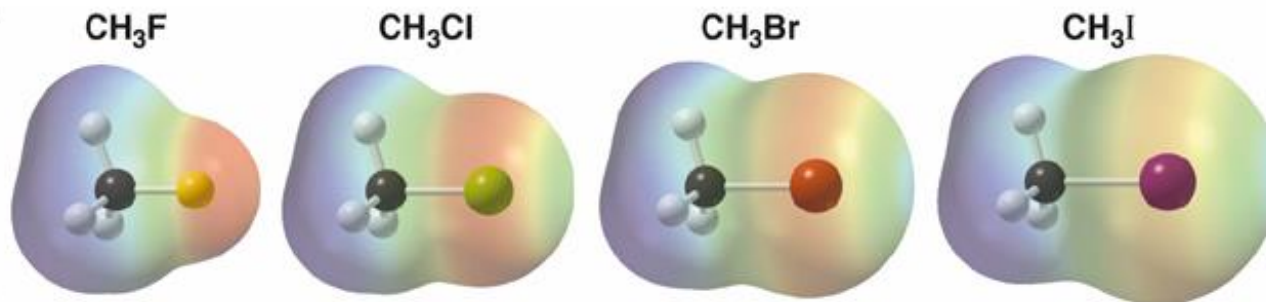
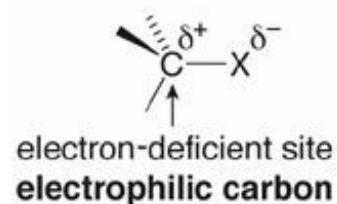
Property	Observation
Boiling point and melting point	<ul style="list-style-type: none"> Alkyl halides have higher bp's and mp's than alkanes having the same number of carbons. <div style="text-align: center; margin: 10px 0;"> CH_3CH_3 and $\text{CH}_3\text{CH}_2\text{Br}$ bp = -89°C bp = 39°C </div> Bp's and mp's increase as the size of R increases. <div style="text-align: center; margin: 10px 0;"> $\text{CH}_3\text{CH}_2\text{Cl}$ and $\text{CH}_3\text{CH}_2\text{CH}_2\text{Cl}$ </div> <div style="display: flex; justify-content: space-around; align-items: center; margin: 5px 0;"> <div style="text-align: center;"> $\text{mp} = -136^\circ\text{C}$ $\text{bp} = 12^\circ\text{C}$ </div> <div style="text-align: center;"> $\text{mp} = -123^\circ\text{C}$ $\text{bp} = 47^\circ\text{C}$ </div> <div style="border: 1px solid black; padding: 5px; margin-left: 20px;"> larger surface area— higher mp and bp </div> </div> Bp's and mp's increase as the size of X increases. <div style="text-align: center; margin: 10px 0;"> $\text{CH}_3\text{CH}_2\text{Cl}$ and $\text{CH}_3\text{CH}_2\text{Br}$ </div> <div style="display: flex; justify-content: space-around; align-items: center; margin: 5px 0;"> <div style="text-align: center;"> $\text{mp} = -136^\circ\text{C}$ $\text{bp} = 12^\circ\text{C}$ </div> <div style="text-align: center;"> $\text{mp} = -119^\circ\text{C}$ $\text{bp} = 39^\circ\text{C}$ </div> <div style="border: 1px solid black; padding: 5px; margin-left: 20px;"> more polarizable halogen— higher mp and bp </div> </div>
Solubility	<ul style="list-style-type: none"> RX is soluble in organic solvents. RX is insoluble in water.

The Polar Carbon-Halogen Bond

- The electronegative halogen atom in alkyl halides creates a polar C—X bond, making the carbon atom electron deficient. Electrostatic potential maps of four simple alkyl halides illustrate this point.

Electrostatic potential maps of
 four halomethanes (CH_3X)

General structure



- The polar C—X bond makes the carbon atom *electron deficient* in each CH_3X molecule.

Substitution Reaction



Nucleophile

- e⁻ rich (lone pair or π bond), can be ⊖ charge
- Seeks e⁻ deficient species

Electrophile

- e⁻ poor
- seeks e⁻ rich species

Leaving Group (LG)

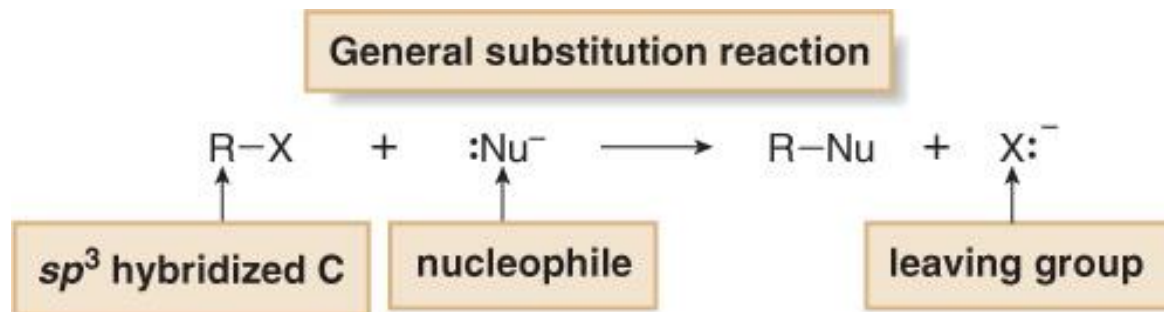
- group leaves and takes 2e⁻ with it
- stable groups (weak bases) make good LG

General reaction:

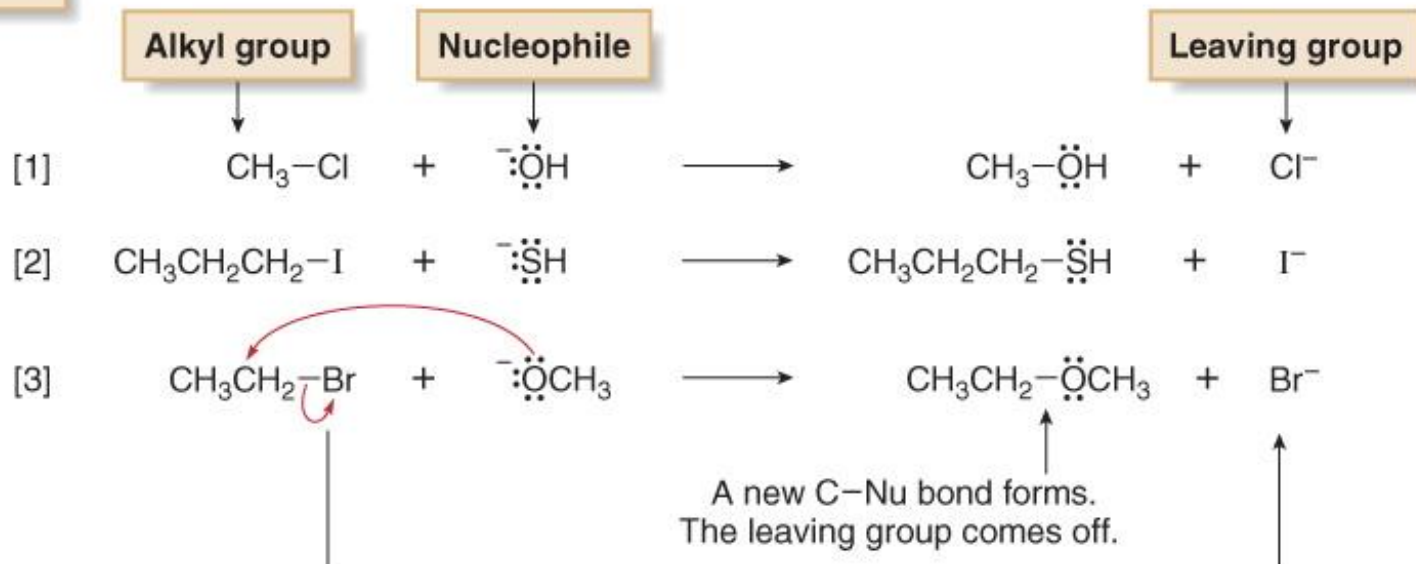


General Features of Nucleophilic Substitution

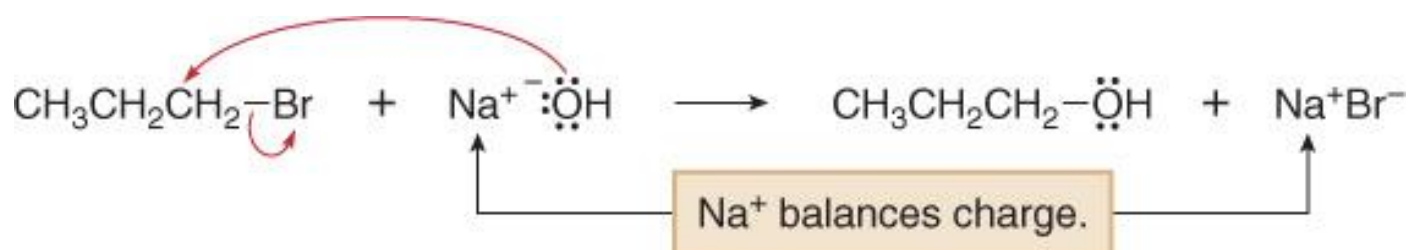
- Three components are necessary in any substitution reaction.



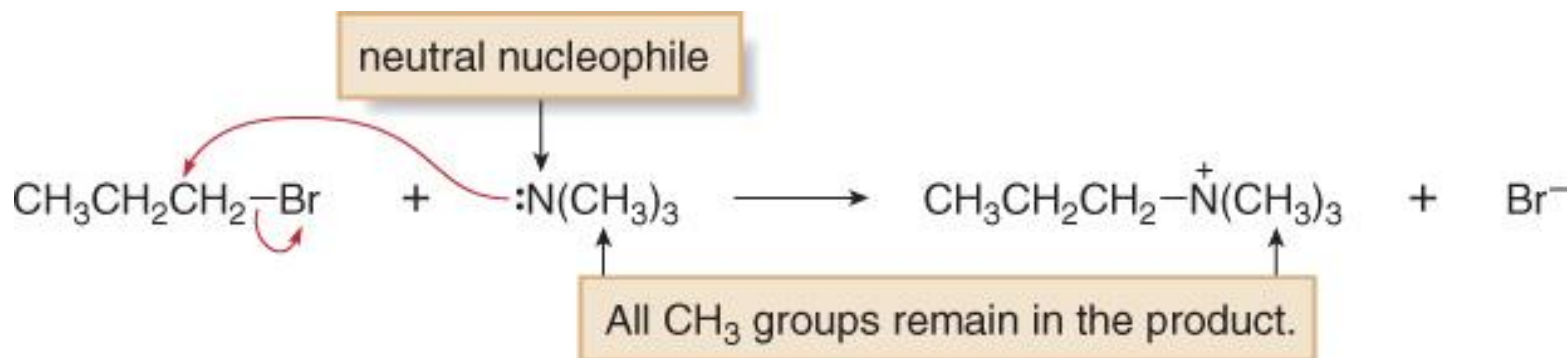
Examples



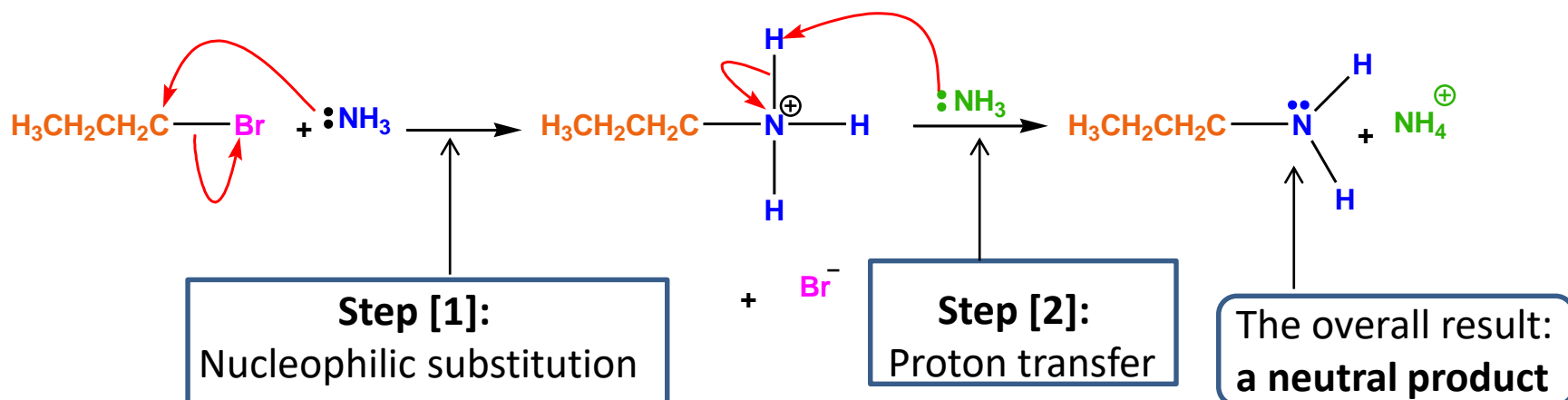
- Negatively charged nucleophiles like HO^- and HS^- are used as salts with Li^+ , Na^+ , or K^+ counterions to balance the charge. Since the identity of the counterion is usually inconsequential, it is often omitted from the chemical equation.



- When a neutral nucleophile is used, the substitution product bears a positive charge.



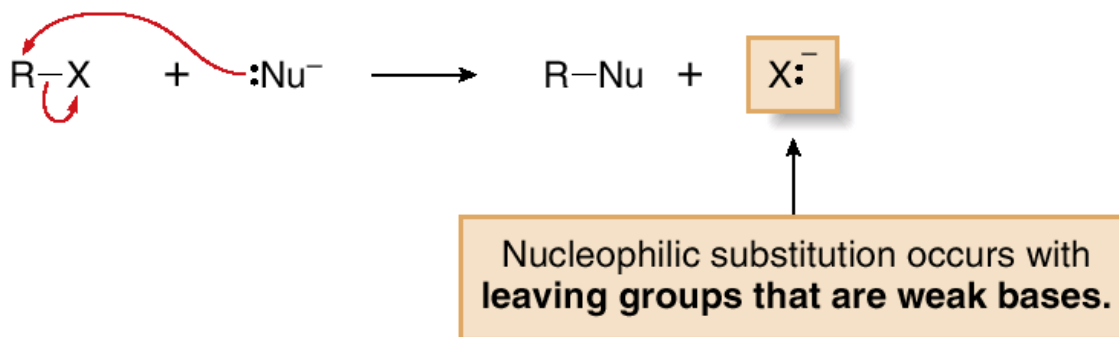
- Furthermore, when the substitution product bears a positive charge and also contains a proton bonded to O or N, the initially formed substitution product readily loses a proton in a Brønsted-Lowry acid-base reaction, forming a neutral product.



The Leaving Group

- In a nucleophilic substitution reaction of $R-X$, the $C-X$ bond is heterolytically cleaved, and the leaving group departs with the electron pair in that bond, forming $X:^-$. The more stable the leaving group $X:^-$, the better able it is to accept an electron pair.

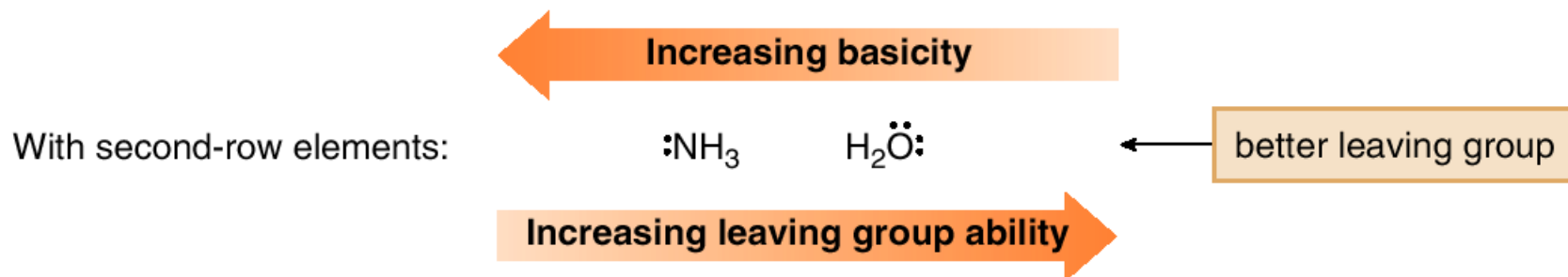
- In comparing two leaving groups, the better leaving group is the weaker base.



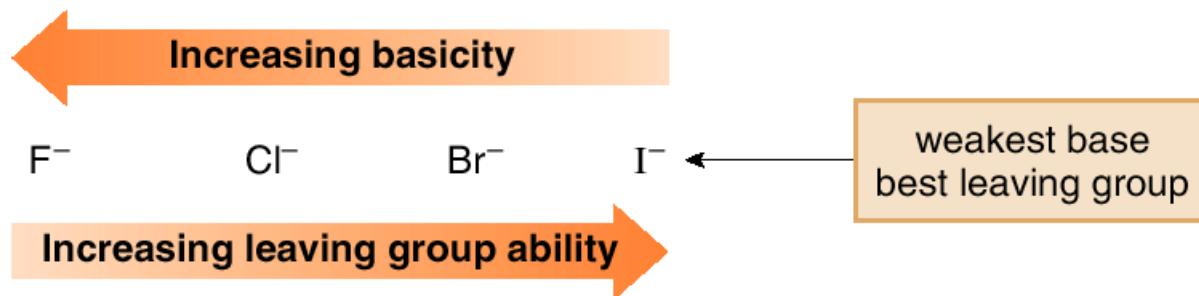
- For example, H_2O is a better leaving group than HO^- because H_2O is a weaker base.

- There are periodic trends in leaving group ability:

- Left-to-right across a row of the periodic table, basicity *decreases* so leaving group ability *increases*.



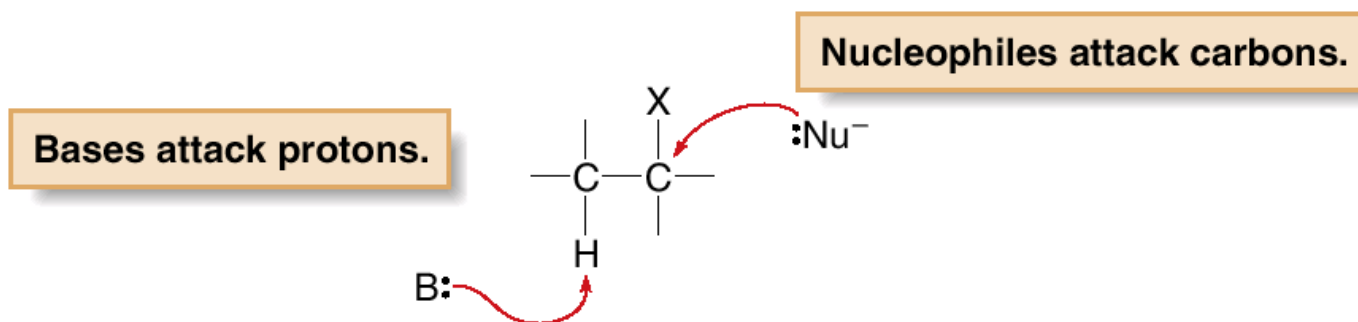
- Down a column of the periodic table, basicity *decreases* so leaving group ability *increases*.



The Nucleophile

- Nucleophiles and bases are structurally similar: both have a lone pair or a π bond. They differ in what they attack.

- Bases attack protons. Nucleophiles attack other electron-deficient atoms (usually carbons).



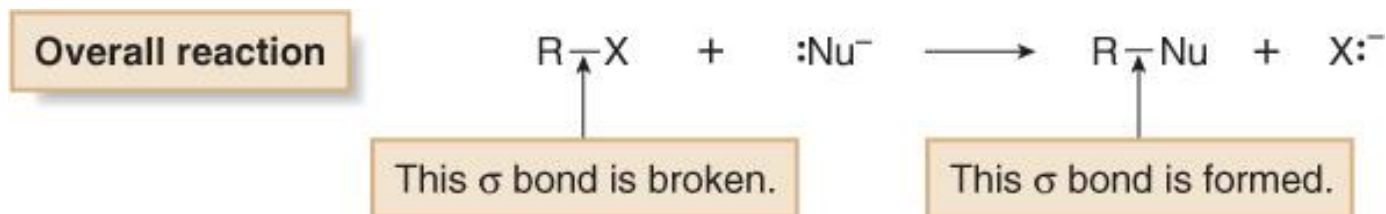
- Although Nucleophilicity and basicity are interrelated, they are fundamentally different.
 - ➔ **Basicity** is a measure of how readily **an atom donates its electron pair to a proton**. It is characterized by an equilibrium constant, K_a in an acid-base reaction.
 - ➔ **Nucleophilicity** is a measure of how readily **an atom donates its electron pair to other atoms**. It is characterized by a rate constant, k .

Table 7.4
Common Nucleophiles in Organic Chemistry

	Negatively charged nucleophiles			Neutral nucleophiles	
Oxygen	^-OH	^-OR	CH_3COO^-	H_2O	ROH
Nitrogen	N_3^-			NH_3	RNH_2
Carbon	^-CN	$\text{HC}\equiv\text{C}^-$			
Halogen	Cl^-	Br^-	I^-		
Sulfur	HS^-	RS^-		H_2S	RSH
		$\text{CH}_3\text{CH}_2\text{Cl}$ mp = -136°C bp = 12°C	and	$\text{CH}_3\text{CH}_2\text{Br}$ mp = -119°C bp = 39°C	<div style="border: 1px solid black; padding: 2px; display: inline-block;"> more polarizable halogen— higher mp and bp </div>
Solubility	<ul style="list-style-type: none"> • RX is soluble in organic solvents. • RX is insoluble in water. 				

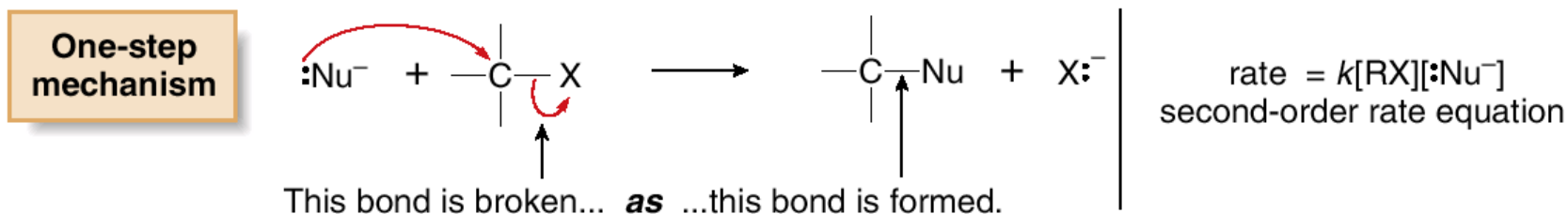
Mechanisms of Nucleophilic Substitution

In a nucleophilic substitution:



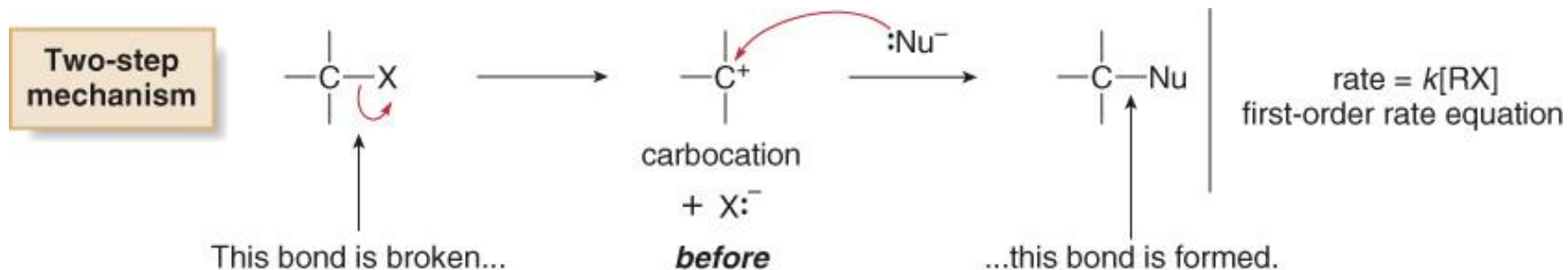
But what is the order of bond making and bond breaking? In theory, there are three possibilities.

[1] Bond making and bond breaking occur at the same time.



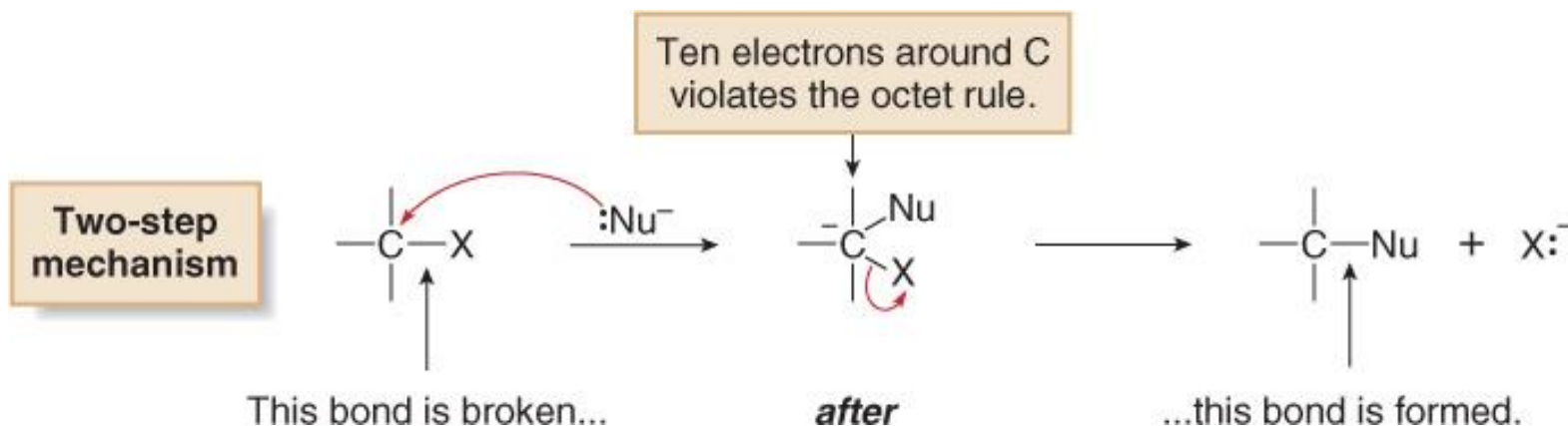
In this scenario, the mechanism is comprised of one step. In such a bimolecular reaction, the rate depends upon the concentration of both reactants, that is, the rate equation is second order.

[2] Bond breaking occurs before bond making.



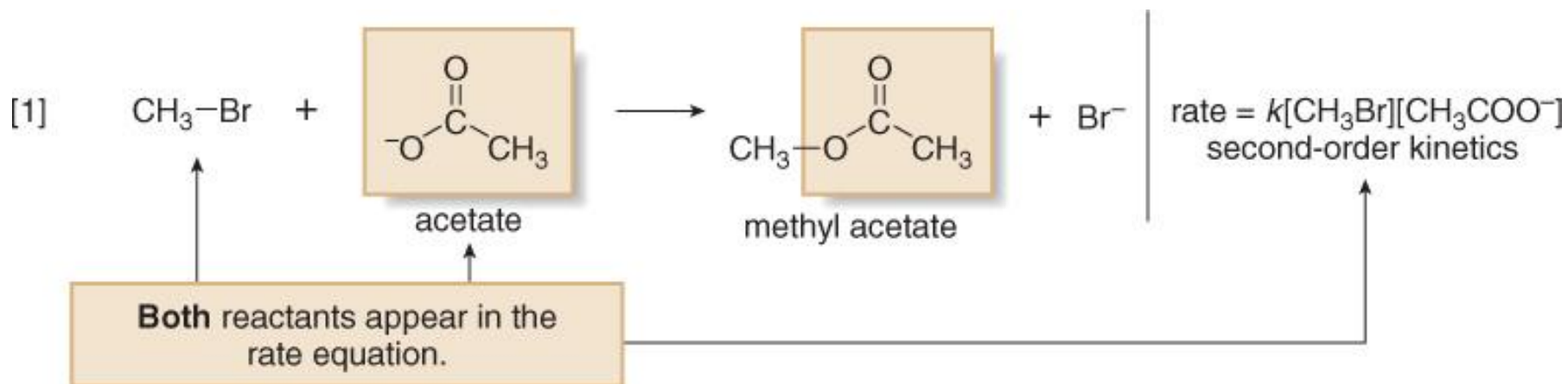
In this scenario, the mechanism has two steps and a carbocation is formed as an intermediate. Because the first step is rate-determining, the rate depends on the concentration of RX only; that is, the rate equation is first order.

[3] Bond making occurs before bond breaking.



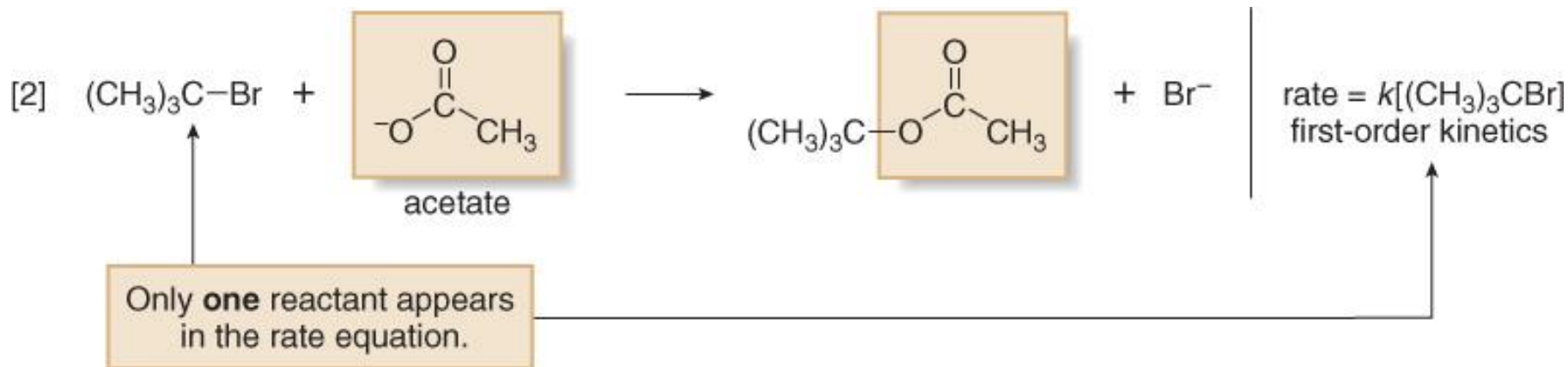
This mechanism has an inherent problem. The intermediate generated in the first step has **10 electrons around carbon**, violating the octet rule. Because two other mechanistic possibilities do not violate a fundamental rule, **this last possibility can be disregarded**.

Consider reaction [1] below:



Kinetic data show that the rate of reaction [1] depends on the concentration of both reactants, which suggests a **bimolecular reaction** with a **one-step mechanism**. This is an example of an **$\text{S}_{\text{N}}2$** (substitution nucleophilic bimolecular) mechanism.

Consider reaction [2] below:



Kinetic data show that the rate of reaction [2] depends on the concentration of only the alkyl halide. This suggests a **two-step mechanism** in which the rate-determining step involves the alkyl halide only. This is an example of an $\text{S}_{\text{N}}1$ (substitution nucleophilic unimolecular) mechanism.

S_N2

The mechanism of an S_N2 reaction would be drawn as follows. Note the curved arrow notation that is used to show the flow of electrons.

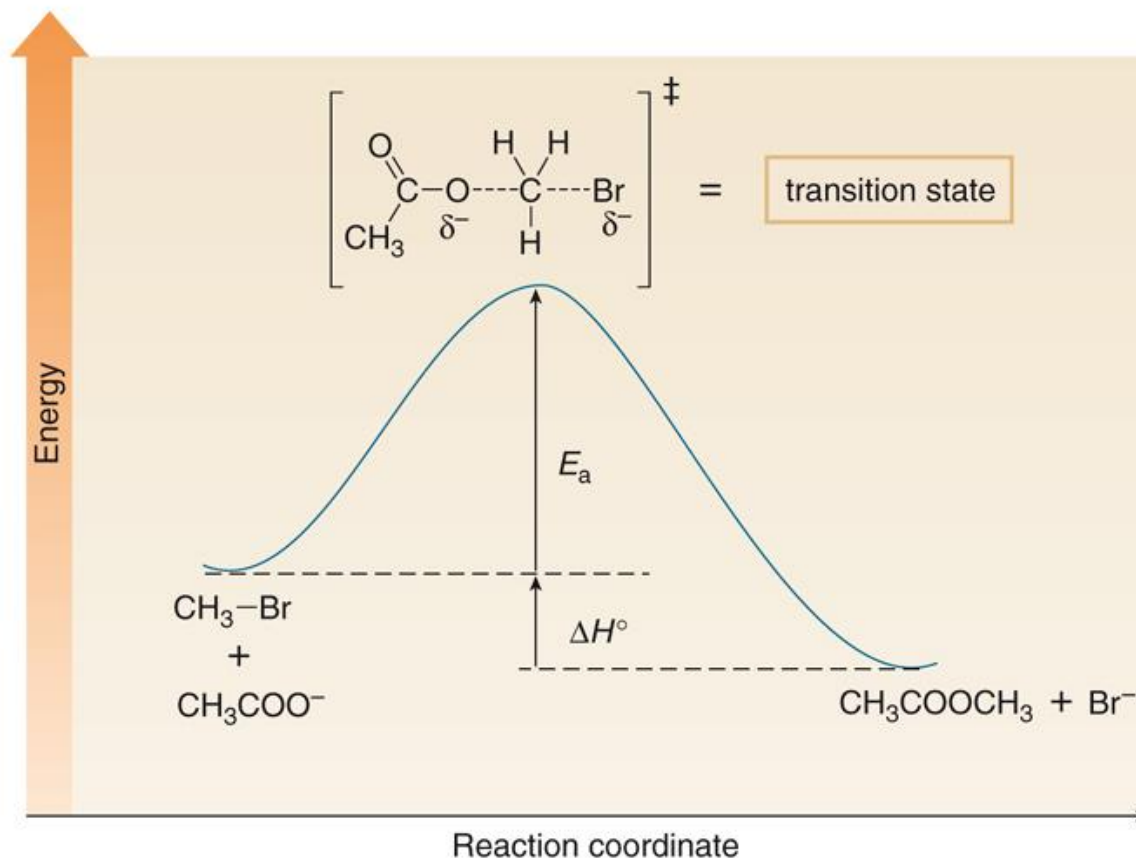
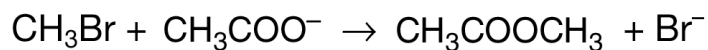


Mechanism 7.1 The S_N2 Mechanism

One step The C–Br bond breaks as the C–O bond forms.



An energy diagram for the S_N2 reaction:

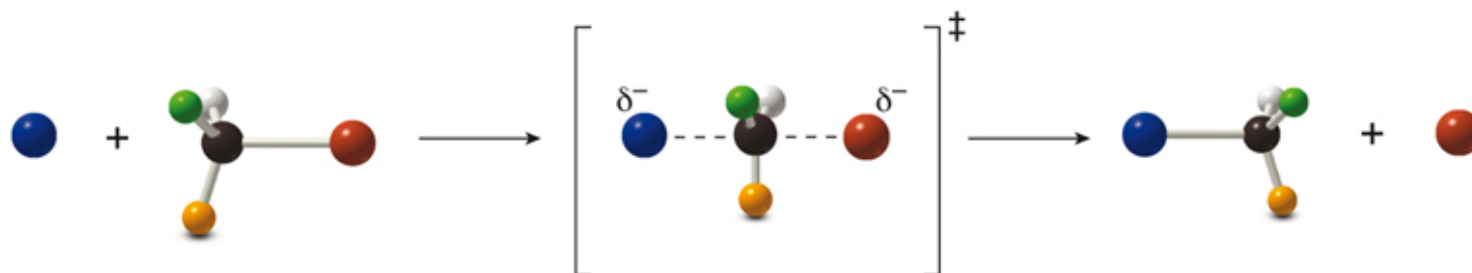


- In the transition state, the C–Br bond is partially broken, the C–O bond is partially formed, and both the attacking nucleophile and the departing leaving group bear a partial negative charge.

Stereochemistry:

- All S_N2 reactions proceed with backside attack of the nucleophile, resulting in *inversion of configuration* at a stereogenic center (**Walden inversion**).

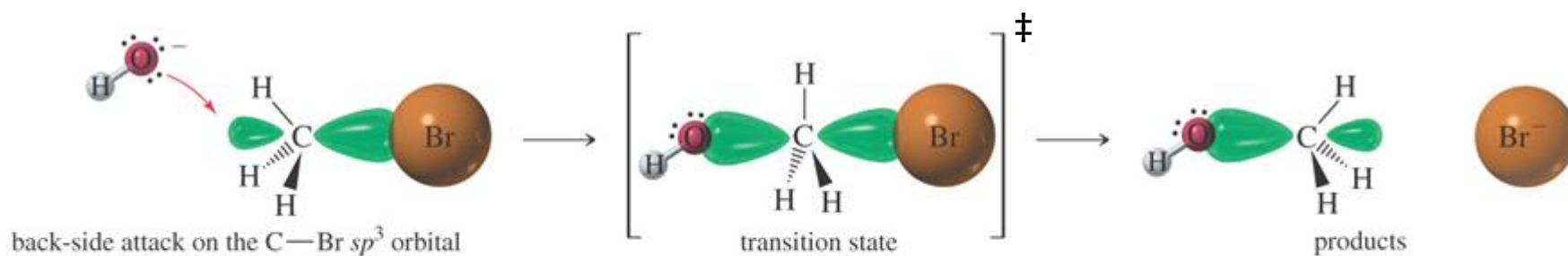
Stereochemistry of the S_N2 reaction



$:Nu^-$ and Br^- are 180° away from each other, on either side of a plane containing R , H , and D .

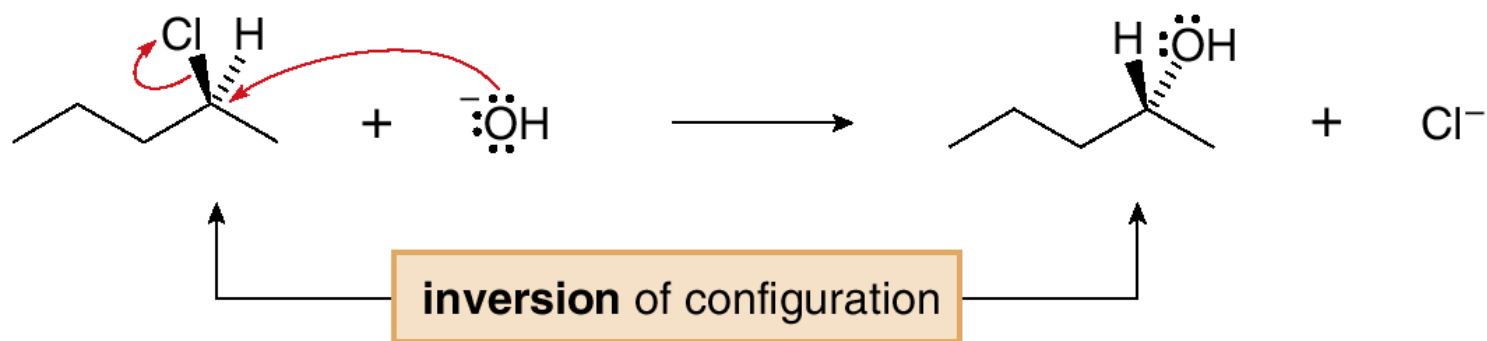
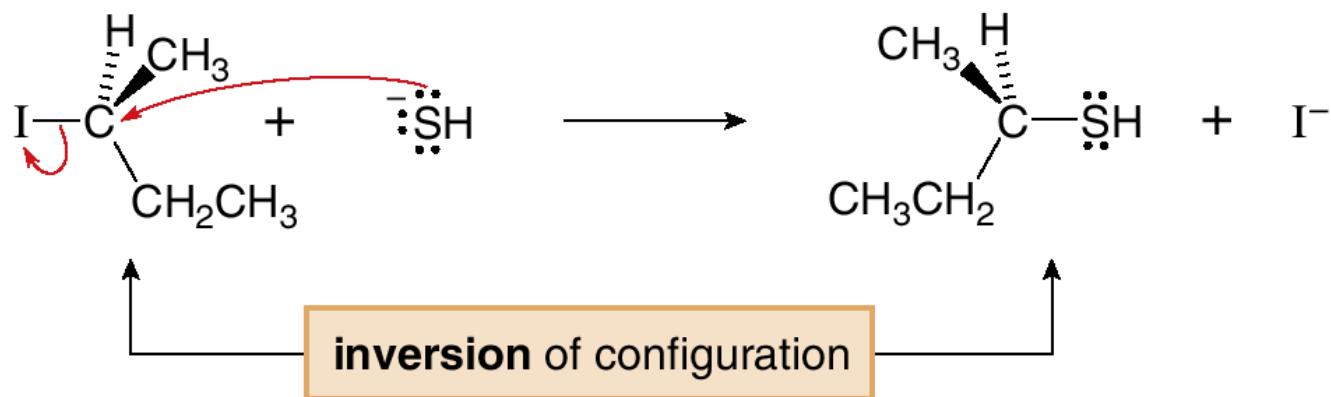
Stereochemistry of S_N2

Walden inversion

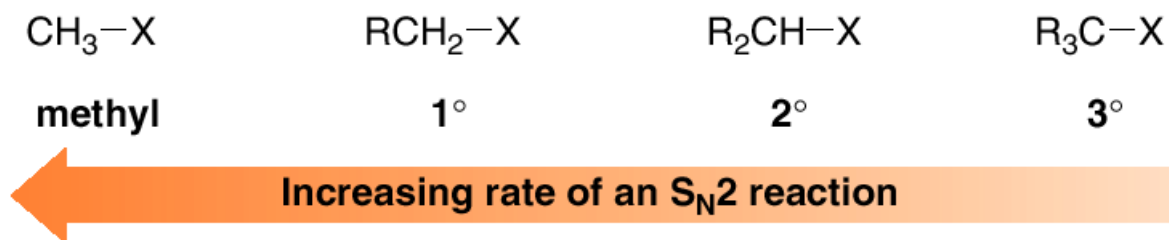


- The bond to the nucleophile in the product is always on the **opposite side** relative to the bond to the leaving group in the starting material.

Two examples of inversion of configuration in the S_N2 reaction:



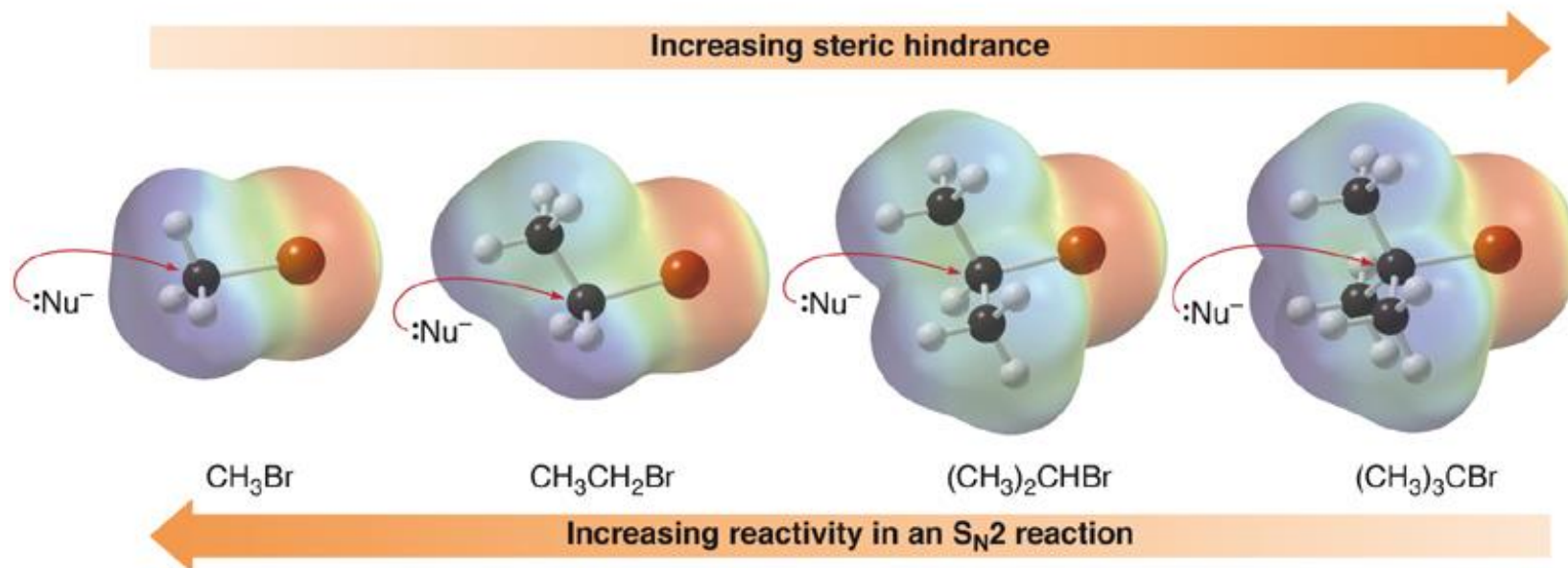
- As the number of R groups on the carbon with the leaving group *increases*, the rate of an S_N2 reaction *decreases*.



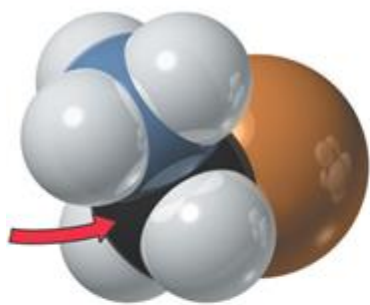
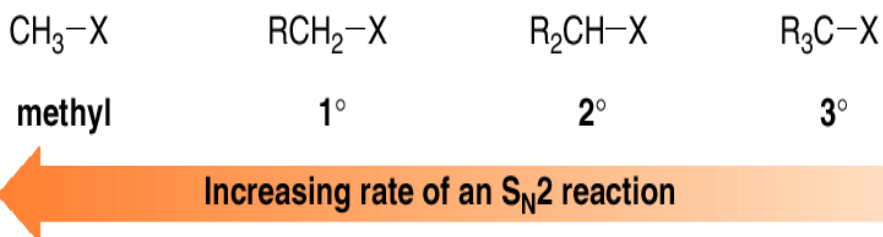
- Methyl and 1° alkyl halides undergo S_N2 reactions with ease.
- 2° Alkyl halides react more slowly.
- 3° Alkyl halides do not undergo S_N2 reactions. This order of reactivity can be explained by steric effects. Steric hindrance caused by bulky R groups makes nucleophilic attack from the backside more difficult, slowing the reaction rate.

Electrostatic potential maps illustrate the effects of steric hindrance around the carbon bearing the leaving group in a series of alkyl halides.

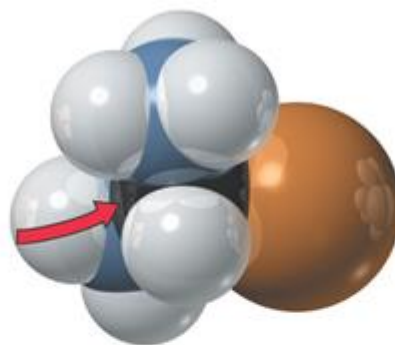
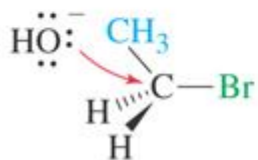
Steric effects in the S_N2 reaction:



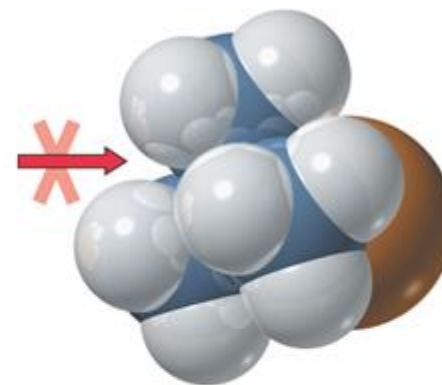
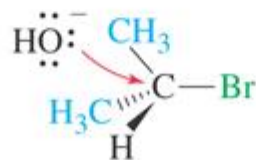
- As the number of R groups on the carbon with the leaving group *increases*, the rate of an S_N2 reaction *decreases*.



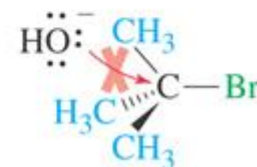
ethyl bromide (1°)
attack is easy



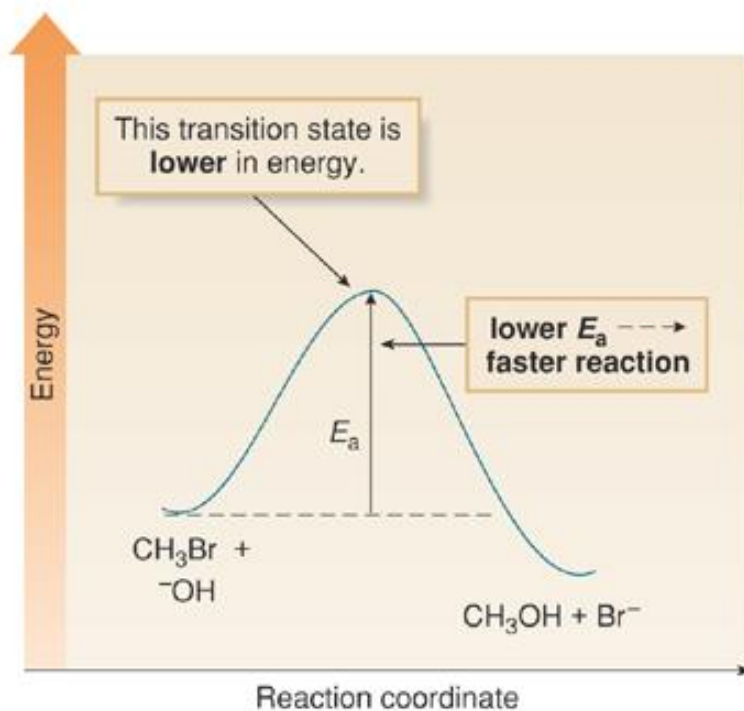
isopropyl bromide (2°)
attack is possible



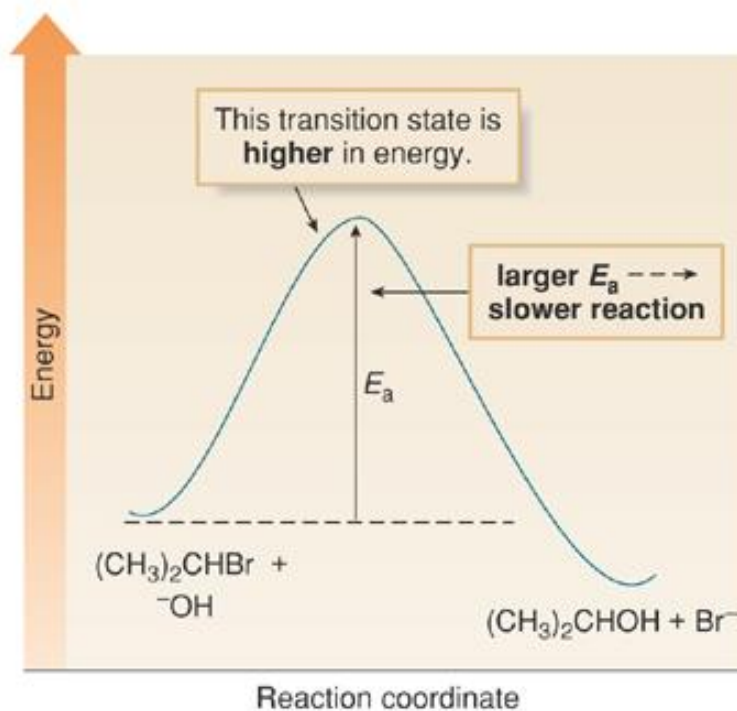
t-butyl bromide (3°)
attack is impossible



- The higher the E_a , the slower the reaction rate. Thus, any factor that increases E_a decreases the reaction rate (lower E_a means that a greater number of reacting molecules would reach the transition state).



- CH_3Br is an unhindered alkyl halide. The transition state in the $\text{S}_{\text{N}}2$ reaction is lower in energy, making E_a lower and increasing the reaction rate.



- $(\text{CH}_3)_2\text{CHBr}$ is a sterically hindered alkyl halide. The transition state in the $\text{S}_{\text{N}}2$ reaction is higher in energy, making E_a higher and decreasing the reaction rate.

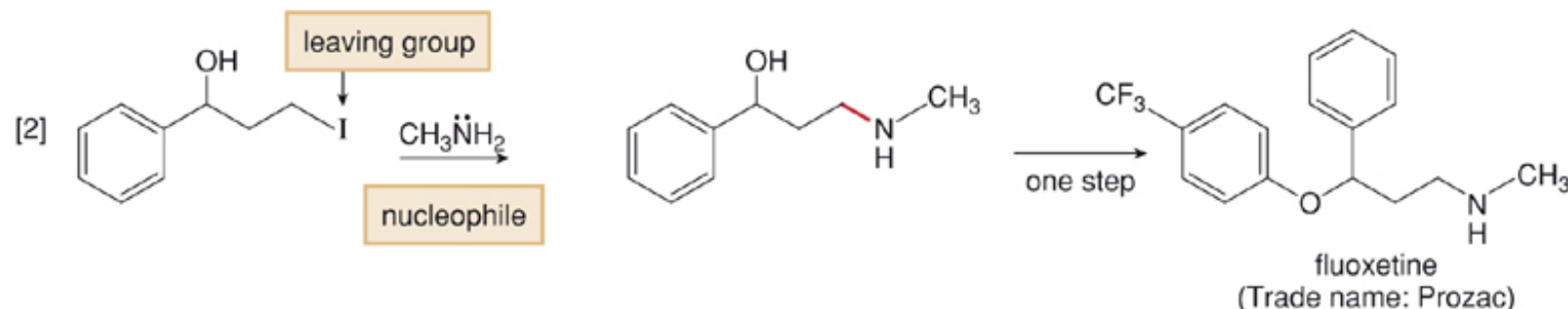
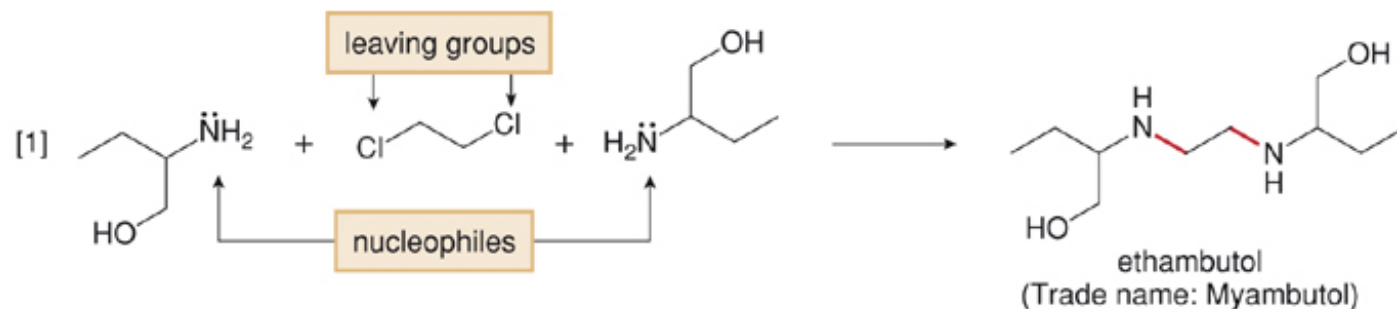
Table 7.5
Characteristics of the S_N2 Mechanism

Characteristic	Result
Kinetics	<ul style="list-style-type: none"> • Second-order kinetics; rate = $k[\text{RX}][:\text{Nu}^-]$
Mechanism	<ul style="list-style-type: none"> • One step
Stereochemistry	<ul style="list-style-type: none"> • Backside attack of the nucleophile • Inversion of configuration at a stereogenic center
Identity of R	<ul style="list-style-type: none"> • Unhindered halides react fastest. • Rate: $\text{CH}_3\text{X} > \text{RCH}_2\text{X} > \text{R}_2\text{CHX} > \text{R}_3\text{CX}$

S_N2 reactions in laboratory synthesis and biosynthesis

The S_N2 reaction is a key step in the laboratory synthesis of many important drugs.

Nucleophilic substitution in the synthesis of two useful drugs:



In both syntheses, the NH₂ group serves as a neutral nucleophile to displace halogen. The new bonds formed by nucleophilic substitution are drawn in red in the products.

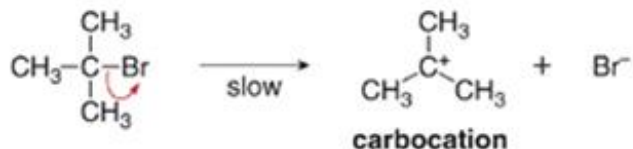
S_N1

The mechanism of an S_N1 reaction would be drawn as follows: Note the curved arrow formalism that is used to show the flow of electrons.



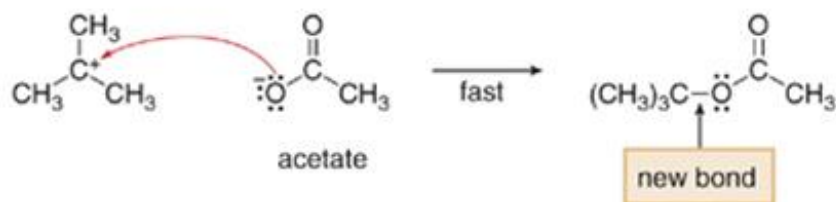
Mechanism 7.2 The S_N1 Mechanism

Step [1] The C–Br bond is broken.



- **Heterolysis of the C–Br bond** forms an intermediate **carbocation**. This step is rate-determining because it involves only bond cleavage.

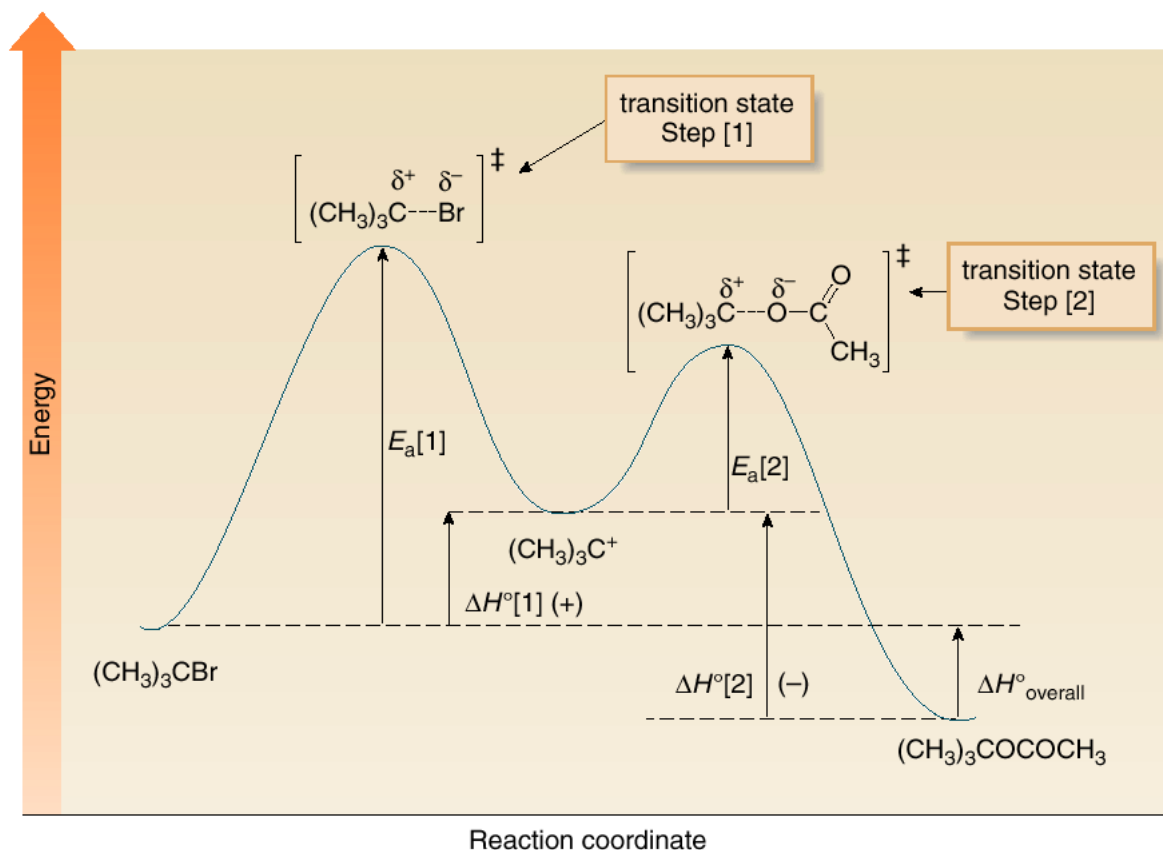
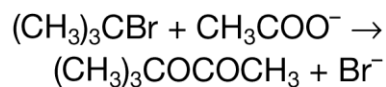
Step [2] The C–O bond is formed.



- **Nucleophilic attack of acetate** on the carbocation forms the new C–O bond in the product. This is a **Lewis acid–base reaction**; the nucleophile is the Lewis base and the carbocation is the Lewis acid. Step [2] is *faster* than Step [1] because no bonds are broken and one bond is formed.

Key features of the S_N1 mechanism are that it has two steps, and carbocations are formed as reactive intermediates.

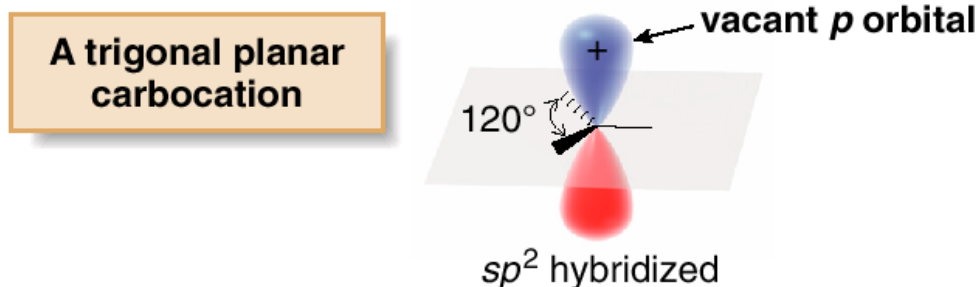
An energy diagram for the S_N1 reaction



- Since the S_N1 mechanism has two steps, there are two energy barriers.
- $E_a[1] > E_a[2]$ since Step [1] involves bond breaking and Step [2] involves bond formation.
- In each step only one bond is broken or formed, so the transition state for each step has one partial bond.
- The reaction is drawn with $\Delta H^\circ_{\text{overall}}$ as a negative value, since the products are lower in energy than the starting materials.

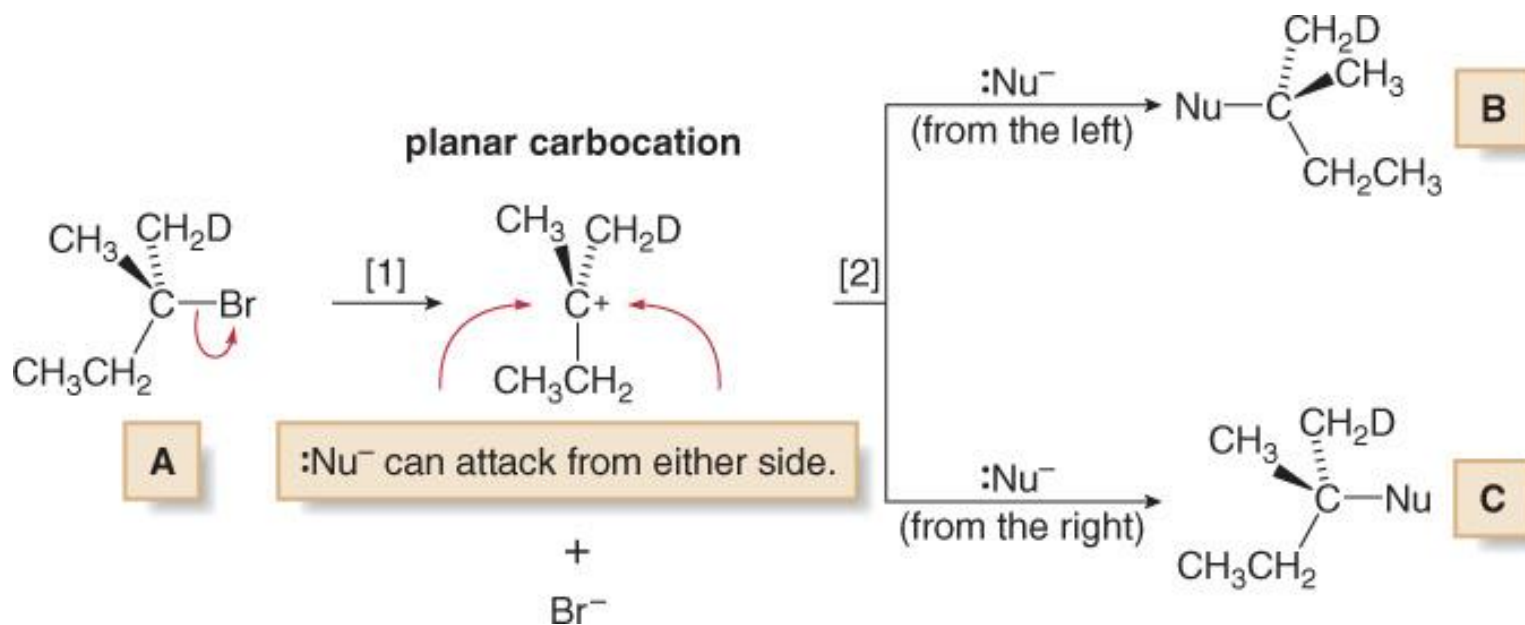
Stereochemistry

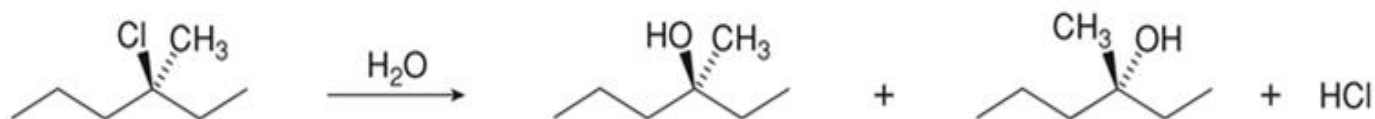
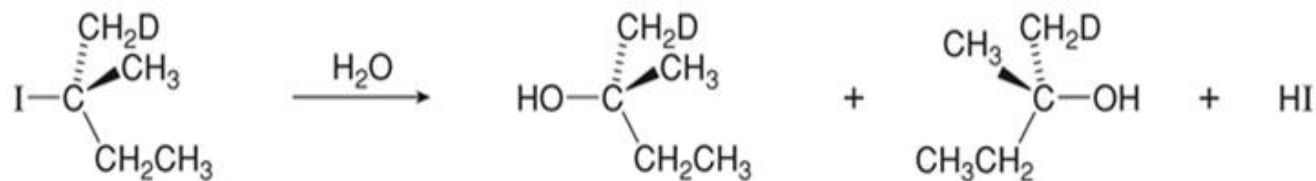
To understand the stereochemistry of the S_N1 reaction, we must examine the geometry of the carbocation intermediate.



- A carbocation (with three groups around C) is sp^2 hybridized and trigonal planar, and contains a vacant p orbital extending above and below the plane.

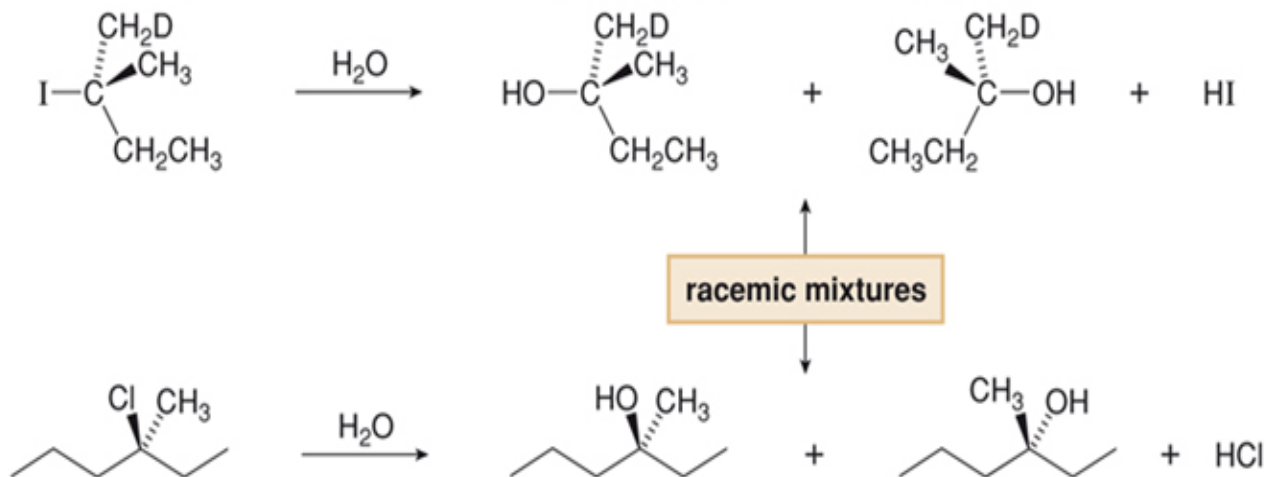
- Loss of the leaving group in Step [1] generates a planar carbocation that is achiral. In Step [2], attack of the nucleophile can occur on either side to afford two products which are a pair of enantiomers.
- Because there is no preference for nucleophilic attack from either direction, an equal amount of the two enantiomers is formed—a racemic mixture. We say that **racemization** has occurred.





- Nucleophilic substitution of each starting material by an S_N1 mechanism forms a of two products.
- With H_2O , a neutral nucleophile, the initial product of nucleophilic substitution (ROH_2^+) loses a proton to form the final neutral product, ROH.

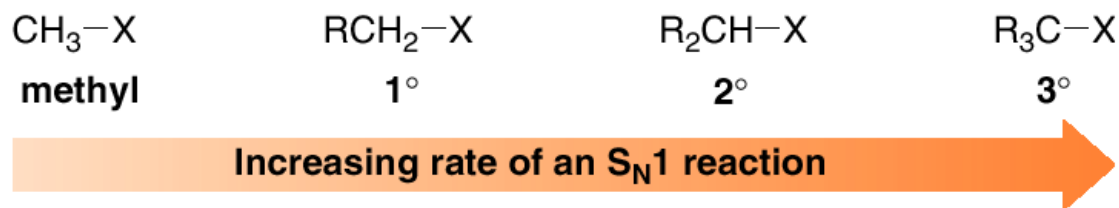
Two examples of racemization in the S_N1 reaction



- Nucleophilic substitution of each starting material by an S_N1 mechanism forms a **racemic mixture** of two products.
- With H_2O , a neutral nucleophile, the initial product of nucleophilic substitution (ROH_2^+) loses a proton to form the final neutral product, ROH.

- The rate of an S_N1 reaction is affected by the type of alkyl halide involved.

- As the number of R groups on the carbon with the leaving group *increases*, the rate of an S_N1 reaction *increases*.



- 3° Alkyl halides undergo S_N1 reactions rapidly.
- 2° Alkyl halides react more slowly.
- Methyl and 1° alkyl halides do *not* undergo S_N1 reactions.

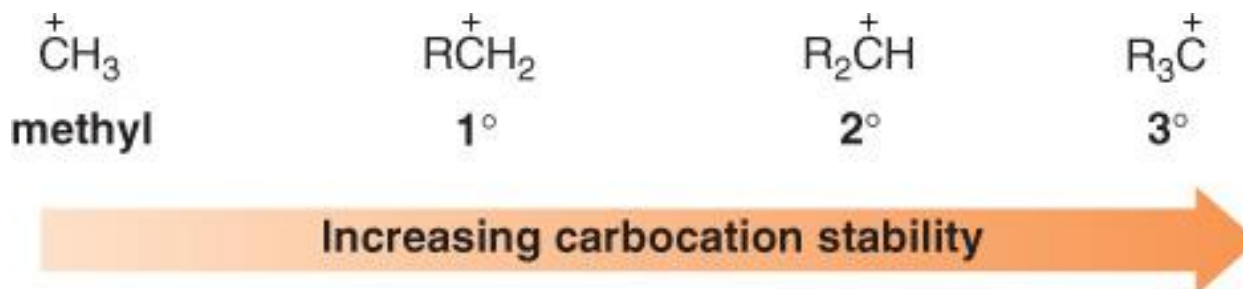
- This trend is exactly opposite to that observed in S_N2 reactions.

Table 7.6
Characteristics of the S_N1 Mechanism

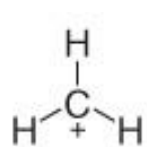
Characteristic	Result
Kinetics	<ul style="list-style-type: none"> • First-order kinetics; rate = $k[\text{RX}]$
Mechanism	<ul style="list-style-type: none"> • Two steps
Stereochemistry	<ul style="list-style-type: none"> • Trigonal planar carbocation intermediate • Racemization at a single stereogenic center
Identity of R	<ul style="list-style-type: none"> • More substituted halides react fastest. • Rate: $\text{R}_3\text{CX} > \text{R}_2\text{CHX} > \text{RCH}_2\text{X} > \text{CH}_3\text{X}$

Carbocation Stability

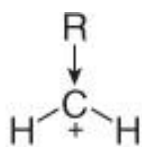
- The effect of the type of alkyl halide on S_N1 reaction rates can be explained by considering carbocation stability.
- Carbocations are classified as **primary (1°)**, **secondary (2°)**, or **tertiary (3°)**, based on the number of R groups bonded to the charged carbon atom. As the number of R groups increases, carbocation stability increases.



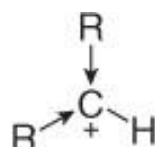
- The order of carbocation stability can be rationalized through **inductive effects** and **hyperconjugation**.
- **Inductive effects** are electronic effects that occur through σ **bonds**. Specifically, the inductive effect is the pull of electron density through σ bonds caused by electronegativity differences between atoms.
- Alkyl groups are **electron donating** groups that **stabilize a positive charge**. Since an alkyl group has several σ bonds, each containing electron density, it is more polarizable than a hydrogen atom, and better able to donate electron density.
- In general, the greater the number of alkyl groups attached to a carbon with a positive charge, the more stable will be the cation.



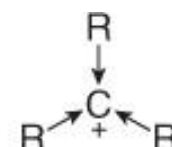
methyl



1°



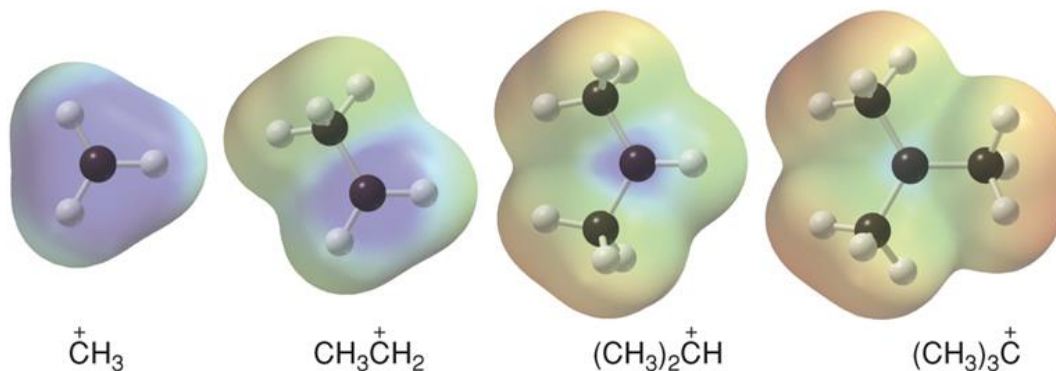
2°



3°

Increasing number of electron-donating R groups
Increasing carbocation stability

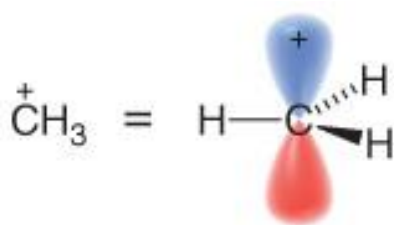
Electrostatic potential maps for
different carbocation



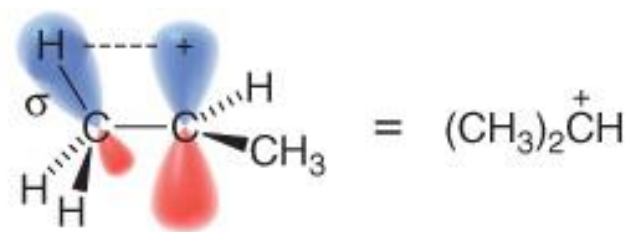
Increasing alkyl substitution
Increasing dispersal of positive charge

- Dark blue areas in electrostatic potential plots indicate regions low in electron density. As alkyl substitution increases, the region of positive charge is less concentrated on carbon.

- The order of carbocation stability is also a consequence of hyperconjugation.
- **Hyperconjugation** is the spreading out of charge by the overlap of an empty p orbital with an adjacent σ bond. This overlap (hyperconjugation) delocalizes the positive charge on the carbocation, spreading it over a larger volume, and this stabilizes the carbocation.
- **Example: CH_3^+ cannot be stabilized by hyperconjugation, but $(\text{CH}_3)_2\text{CH}^+$ can.**



This carbocation has no opportunity for orbital overlap with the vacant p orbital.



Overlap of the C—H σ bond with the adjacent vacant p orbital stabilizes the carbocation.

Table 7.5

Characteristics of the S_N2 Mechanism

Characteristic	Result
Kinetics	<ul style="list-style-type: none">• Second-order kinetics; rate = $k[\text{RX}][:\text{Nu}^-]$
Mechanism	<ul style="list-style-type: none">• One step
Stereochemistry	<ul style="list-style-type: none">• Backside attack of the nucleophile• Inversion of configuration at a stereogenic center
Identity of R	<ul style="list-style-type: none">• Unhindered halides react fastest.• Rate: $\text{CH}_3\text{X} > \text{RCH}_2\text{X} > \text{R}_2\text{CHX} > \text{R}_3\text{CX}$

Summary of SN¹ Reaction

Table 7.6

Characteristics of the S_N1 Mechanism

Characteristic	Result
Kinetics	<ul style="list-style-type: none">• First-order kinetics; rate = $k[\text{RX}]$
Mechanism	<ul style="list-style-type: none">• Two steps
Stereochemistry	<ul style="list-style-type: none">• Trigonal planar carbocation intermediate• Racemization at a single stereogenic center
Identity of R	<ul style="list-style-type: none">• More substituted halides react fastest.• Rate: $\text{R}_3\text{CX} > \text{R}_2\text{CHX} > \text{RCH}_2\text{X} > \text{CH}_3\text{X}$